Double Doctorate



University of Paris Pierre Louis School of Public Health: Epidemiology and Biomedical Sciences



University of Split School of Medicine *Translational Research in Biomedicine* 

**Doctoral Thesis** 

# The use of reporting guidelines as an educational intervention for teaching research methods and writing

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In front of a jury cor	nposed of :			
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## **Double Doctoral Degree**

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## Supervisor

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## **Abbreviations**

BMJ	British Medical Journal
CONSORT	Consolidated Standards of Reporting Trials
EQUATOR	Enhancing the QUAlity and Transparency Of health Research
E & E	Elaboration and Explanation
ECR	Early Career Researcher
HTA	Health Technology Assessment
ICMJE	International Committee of Medical Journal Editors
ISLE-ReST	Spatial Lifecourse Epidemiology Reporting Standards (ISLE-ReSt)
MARE-S	Medical Abortion Reporting of Efficacy – STROBE
PARIHS	Promoting Action on Research Implementation in Health Services
PRISMA	Preferred Reporting Items for Systematic Reviews and Meta-Analyses
RECORD	REporting of studies Conducted using Observational Routinely-collected health Data
RG	Reporting guideline
ROSES-I	CONSISE statement on the REporting of SEroepidemiologic Studies for
	influenza
STREGA	STrengthening the REporting of Genetic Association Studies
STROBE	STrengthening the Reporting of OBservational studies in Epidemiology
	Strengthening the Reporting of Observational Studies in Epidemiology
STROBE-AMS	for AntiMicrobial Stewardship
	A EULAR extension of STROBE guidelines
STROBE-EULAR	
STROBE-ME	STrengthening the Reporting of OBservational studies in Epidemiology - Molecular Epidemiology
STROBE-NI	Strengthening the Reporting of Observational Studies in Epidemiology for Newborn Infection
STROBE-NUT	Strengthening the Reporting of Observational Studies in Epidemiology- NUTritional epidemiology
STROBE-RDS	Strengthening the Reporting of Observational Studies in Epidemiology for Respondent-Driven Sampling studies
STROBE-SBR	Strengthening the Reporting of Observational Studies in Epidemiology for Simulation-Based Research
STROBE-SIIS	STROBE Extension for Sport Injury and Illness Surveillance (STROBE- SUS)
STROBE-Vet	Strengthening the Reporting of Observational Studies in Epidemiology – Veterinary
STROME-ID	Strengthening the Reporting of Molecular Epidemiology for Infectious
TREND	Transparent Reporting of Evaluations with Nonrandomized Designs



## **Scientific Portfolio**

## Articles

- Sharp MK, Utrobičić A, Gómez G, Cobo E, Wager E, Hren D. The STROBE extensions: protocol for a qualitative assessment of content and a survey of endorsement. *BMJ Open*. 2017;7:e019043. [1]
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- Sharp MK, Bertizzolo L, Rius R, Wager E, Gómez G, Hren D. Using the STROBE statement: survey findings emphasized the role of journals in enforcing reporting guidelines. *Journal* of Clinical Epidemiology. 2019;116:26–35. [4]
- Sharp MK, Glonti K, Hren D. Online survey about the STROBE statement highlighted diverging views about its content, purpose, and value. *Journal of Clinical Epidemiology*. 2020; 123:100-106. [5]

#### **Oral Presentations**

- **Sharp MK**, Tokalić R, Wager E, Hren D. Journal endorsement of STROBE and its extensions: a cross-sectional bibliometric survey. Oral presentation presented at: European Public Health Association 2019 Conference; 2018 Nov 27; Ljubljana, Slovenia [6]
- Sharp MK. Attitudes towards and experiences with the STROBE reporting guideline: an online survey. Oral presentation presented at: Mediterranean Editors and Translators 2019 Meeting; 2019 Sep 28; Split, Croatia. [7]
- Sharp MK. Implementing a reporting guideline for observational studies: obstacles and opportunities. Oral presentation presented at: Departmental Seminar, University College Cork School of Public Health; 2019 Dec 5; Cork, Ireland. [8]

### Posters

Sharp MK. A Qualitative Assessment of the STROBE Extensions: Laying the Groundwork for Future Educational Interventions. Poster presented at the Ninth International Congress on Peer Review and Scientific Publication; 2017 Sep 10; Chicago, USA. [9]



## **Other Scientific Publications**

\*\*Marked items are related to reporting guidelines and the doctoral thesis

- Sharp MK. Ocrelizumab in Primary Progressive and Relapsing Multiple Sclerosis. *New England Journal of Medicine*. 2017;376(17):1692–4. [10]
- Sharp MK, Haneef R, Ravaud P, Boutron I. Dissemination of 2014 dual antiplatelet therapy (DAPT) trial results: a systematic review of scholarly and media attention over 7 months. *BMJ Open.* 2017;7(11):e014503. [11]
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- Olsen M, **Sharp MK**, Bossuyt PM. From the theoretical to the practical: how to evaluate the ethical and scientific justifications of randomized clinical trials. *Journal of Clinical Epidemiology*. 2018;Jul 1;99:170–1. [13]
- Sandberg DE, Gardner M, Callens N, Mazur T, the DSD-TRN Psychosocial Workgroup, and Accord Alliance. Interdisciplinary care in disorders/differences of sex development (DSD): The psychosocial component of the DSD—Translational research network. *American Journal of Medical Genetics Part C: Seminars in Medical Genetics* 2017;175(2):279–92.
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- Sharp MK. Public mental health in 2018: EUPHA Report on the mental health track at Ljubljana 2018 [Internet]. 2019 May [cited 2019 Sep 14]. Available from: https://eupha.org/repository/advocacy/Public\_mental\_health\_in\_2018\_-EUPHA report on the mental health track at Ljubljana 2018.pdf [16]
- \*\* Hawwash, D, Sharp MK, Argaw A, Kolsteren P, Lachat C. Usefulness of applying research reporting guidelines as Writing Aid software: a crossover randomised controlled trial. *BMJ Open.* 2019;9:e030943. [17]



## Résumé

Les publications dans le domaine de la recherche biomédicale qui rapportent les méthodes et les résultats de façon incomplète sont un problème connu qui persiste tout au long de l'histoire moderne. Dans de nombreux domaines scientifiques les résultats des études n'ont pas pu être reproduits et répliqués, souvent en raison de rapports incomplets. Lorsqu'il n'y a pas suffisamment d'information sur la façon dont une étude a été conçue, réalisée et analysée, les chercheurs et les cliniciens sont incapables d'utiliser les résultats pour informer les politiques de santé et les soins cliniques. Pour faire face à ce problème, des lignes directrices pour la rédaction des rapports ont été élaborées afin d'établir les éléments essentiels que les auteurs doivent rapporter lors de la discussion des résultats d'une étude. La ligne directrice pour la rédaction des rapports d'études observationnelles a été crée en 2007 : STROBE (STrengthening the Reporting of OBservational studies in Epidemiology), qui contient 22 éléments et des lignes directrices à l'intention des auteurs d'études cas-témoin, de cohortes et d'études transversales, est soutenue par de nombreuses revues et de nombreux groupes éditoriaux, mais à des taux assez faibles. Le manque de sensibilisation est répandu et on ne sait pas très bien ce que les auteurs pensent de STROBE. En outre, bien que STROBE ait été développé pour des domaines et des méthodologies spécifiques par la création de "extensions", on ne connait pas bien les perceptions de ces documents, leur contenu ou leur utilité. Afin de mieux mettre en œuvre STROBE ses lignes directrices, il est nécessaire de mener des recherches sur la façon de faire des auteurs et sur la façon dont leur environnement affecte leur travail.

Le travail de cette thèse a été guidé par la stratégie d'application des connaissances de Promoting Action on Research Implementation in Health Services (PARIHS). Cette approche tient compte 1) des données probantes, 2) du contexte et 3) des facilitateurs afin de mener à bien la recherche. La transformation de STROBE, qui n'était qu'une simple ligne directrice, en un outil éducatif a nécessité de recherches sur ces trois axes.

J'ai commencé par examiner le contenu des extensions STROBE pour identifier les forces et les faiblesses des éléments de la liste de contrôle. Les résultats ont révélé que le contenu des extensions STROBE est problématique, car il est parfois redondant, ce qui pourrait indiquer une mauvaise compréhension des concepts au sein de STROBE ou des problèmes liés à son contenu. Parallèlement, j'ai déterminé les taux d'approbation de ces extensions afin d'établir le contexte de publication dans lequel les auteurs travaillent. J'ai constaté que les revues ne promeuvent généralement pas STROBE et que le langage qu'elles utilisent est ambigu et vague. Les extensions STROBE sont approuvées à des taux extrêmement bas, ou ne sont pas approuvé du tout.

Ensuite, j'ai évalué la connaissance, les expériences et les attitudes des chercheurs à l'égard de la liste de vérification STROBE. Cela a permis d'établir les facilitateurs, le timing et les facteurs de motivation (contexte) et les perceptions (preuves) de son utilisation. Le deuxième projet a révélé qu'il existe un grand désaccord quant au niveau de spécificité souhaité du STROBE et à son utilité. En général, les auteurs ne s'opposent pas à son utilisation, mais souvent il n'y a pas une forte motivation. Leurs coauteurs ne l'utilisent pas et les journaux n'en ont pas besoin. Les auteurs peuvent également avoir des perceptions qui nuisent à la promotion du STROBE, comme la confiance excessive dans leurs capacités.



Les travaux des deux premiers projets ont fourni le contenu et le soutien à la creéation d'une intervention éducative intégrée dans le processus d'écriture, accessible à un public mondial, opensource et éditable.

**Mots-clés :** Études d'observation, lignes directrices pour l'établissement de rapports, lignes directrices comme sujet, conception de la recherche épidémiologique, diffusion de l'information/méthodes, STROBE



## Abstract

Poor reporting of biomedical research has been a persistent and prominent problem throughout modern history. In many different scientific fields, study results have failed to be reproduced and replicated, oftentimes due to incomplete reporting. When information is missing about how a study was designed, conducted, and analyzed, researchers and clinicians are unable to use results to inform health policies and clinical care. To address this issue, reporting guidelines (RG) were created to establish the minimum criteria that authors need to disclose when discussing study results. A reporting guideline for observational studies was published in 2007 which contains 22-items and guidance for authors of case-control, cohort, and crosssectional studies. The Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) Statement is supported by many journals and editorial groups, however, at quite low rates. Lack of awareness is widespread and it is unclear what authors think about STROBE. Furthermore, while STROBE been expanded upon for specific fields and methodologies through the creation of "extensions" - little is known about perceptions towards these documents, their content, or usefulness. In order to better implement STROBE and reporting guidelines like it, research is needed into current processes by authors and how their environment affects their work.

The work in this dissertation was guided by the Promoting Action on Research Implementation in Health Services (PARIHS) knowledge translation strategy. This approach looks at the 1) evidence, 2) context, and 3) facilitators in order to implement research successfully. Transforming STROBE from simply a reporting guideline into an educational tool required investigation into these three facets.

I began by investigating the content in the STROBE extensions to identify strengths and weaknesses in the checklist items. Results found that the content in the STROBE extensions is problematic as it is sometimes redundant – potentially indicating a poor understanding of the concepts within STROBE or issues with its content. Concurrently, I determined the endorsement rates of the extensions to establish the publishing context in which authors are working. I found that journals are largely not endorsing STROBE and the language that they use is ambiguous and vague. The STROBE extensions are endorsed at extremely low rates or not at all.

Next, I assessed researcher's awareness of, experiences with, and attitudes towards the STROBE checklist. This established the facilitators, timing and motivators (context), and perceptions (evidence) of use. The second project found that there is a large disagreement regarding the level of specificity desired in STROBE and its usefulness. Generally, authors are not opposed to using it but there is often no strong motivating force. Their coauthors do not use it and journals are not requiring it. Authors also hold some internal views that are detrimental to the promotion of STROBE, such as the over-confidence in one's abilities.

The work from the first two projects provided the content and support for an educational intervention that is integrated within the writing process, accessible by a worldwide audience, and open-source and editable. It is built using R and is open-source and editable via GitHub and the repository is publicly launched alongside this dissertation.



**Keywords:** Observational studies, reporting guidelines, guidelines as topic, Epidemiologic research design, information dissemination/methods, STROBE



## Synthèse des travaux de thèse Introduction au gâchis de la recherche

On estime qu'environ 85% de la recherche biomédicale est perdue [18]. La perte peut être créée tout au long du processus de recherche. Dès les premières étapes de la conception de l'étude, les choses peuvent mal tourner. Un chercheur peut poser les mauvaises questions, utiliser la mauvaise conception ou analyser les données avec les mauvaises méthodes. Même si ces étapes sont effectuées correctement, le rapport d'étude peut manquer, volontairement ou par inadvertance, des informations clés.

Les rapports incomplets sont contraires à l'éthique et ils entravent les progrès de la recherche créant une "crise de reproductibilité" car les résultats ne peuvent être répliqués, reproduits ou interprétés avec précision [19,20]. Les cliniciens sont incapables de prendre des décisions au sujet des soins, les lecteurs se retrouvent avec des questions sans fin et la généralisabilité et la crédibilité de la recherche sont incertaines. De plus, les recherches insuffisamment rapportées sont ensuite exclues des examens systématiques et des méta-analyses qui sont conçus pour regrouper et synthétiser les résultats de nombreuses études différentes.

## Introduction aux lignes directrices pour la rédaction de rapports

Maintenant, il y a la plus grande reconnaissance des problèmes de biais de publication et de recherche mal rapportée puisque le nombre d'examens systématiques publiés a augmenté au cours des dernières années [21]. Les tentatives visant à résoudre les problèmes de rapport ont été



axées sur les efforts visant à mieux structurer et orienter la rédaction scientifique au moyen des lignes directrices pour la rédaction des rapports (DR) [18,22,23]. Les lignes directrices en matière de rapports sont généralement organisées sous la forme d'une liste de contrôle qui contient les éléments contextuels et méthodologiques essentiels qui doivent être rapportés lors de la description des résultats d'une étude. Cette liste de contrôle peut également être accompagnée d'un diagramme de flux et un document d'Explication et d'Élaboration (E&E) supplémentaire qui fournit les descriptions plus détaillées des éléments demandés et les exemples de bons rapports tirées.

Le mouvement initial des lignes directrices pour les rapports a commencé à se concentrer sur les essais contrôlés randomisés (ECR) - les études généralement considérées comme "la norme d'excellence" en recherche clinique [24–26]. En 1996, le groupe SORT en collaboration avec le groupe de travail Asilomar a publié la déclaration CONSORT (Consolidated Standards of Reporting Trials Statement) [27,28]. CONSORT contenait un diagramme de flux et une liste de vérification de 21 points [27].

Plusieurs mises à jour de la déclaration CONSORT ont été publiées depuis. De plus, la déclaration CONSORT a inspiré de nombreuses ramifications, connues comme les extensions, qui fournissent des lignes directrices plus nuancées et spécialisées pour différents types d'études, d'interventions ou de données [29]. Son succès a suscité un intérêt croissant pour la rédaction de lignes directrices pour d'autres types d'études, domaines et méthodes.

#### **Introduction au STROBE**

Plus de dix ans après la publication de CONSORT, l'attention s'est finalement tournée vers la recherche observationnelle. Il est essentiel de concevoir et d'analyser soigneusement les études



observationnelles parce qu'elles ne sont pas structurées de manière à tenir compte de facteurs externes. Donc, elles sont particulièrement sujettes aux biais et à la confusion [30–32]. En raison de la complexité de la conception et de l'exécution des études observationnelles, elles ont été considérées comme les études "les plus nécessaires et les plus difficiles" à mener [33]. Les études observationnelles sont menées dans les situations réelles et elles permettent d'étudier l'impact des politiques de santé sur les populations et d'explorer la répartition des résultats en matière de santé entre les groupes [30]. Certaines la recherche observationnelle permet également aux participants d'être suivis pendant de plus longues périodes ce qui signifie que l'on peut évaluer les changements relatifs aux résultats de la santé tout au long de la vie. De plus, les études observationnelles permettent d'obtenir un plus grand nombre de participants à un prix abordable que les ECR [30]. Cela permet d'étudier les différences entre les sous-groupes de la population (p. ex. différents groupes d'âge, sous-types de maladies) [34]. Étant donné l'étendue des sujets que les études observationnelles peuvent couvrir, il n'est pas surprenant qu'il s'agisse du modèle d'étude le plus commun utilisé en recherche biomédicale [35].

En raison de la forte prévalence des études observationnelles dans la littérature et des rapports pauvres signifie qu'une grande partie de la littérature médicale présente des problèmes. La recherche a montré que les éléments concernant la méthodologie et les résultats des études observationnelles étaient particulièrement mal rapportés [36–41]. Les détails sur les participants, la collecte de données et les analyses sont des problèmes courants. Le manque de données sur le nombre de personnes admissibles à participer, qui ont consenti à participer et qui n'ont pu répondre remet en question la généralisabilité des résultats. Attendu que les données manquantes, la fiabilité des instruments de collecte de données utilisés, la façon dont les données ont été analysées et les divulgations manquantes des sources de financement peuvent être inquiétantes car les motifs de



certains récits ou résultats peuvent être cachés. Par conséquent, il est essentiel d'établir les lignes directrices en matière de rapports pour la recherche observationnelle afin de renforcer la réplicabilité et la reproductibilité et d'inspirer une plus grande confiance dans la fiabilité des résultats.

Afin de s'assurer que les éléments essentiels sont rapportés lors de la discussion des résultats d'une étude observationnelle, la ligne directrice en matière de rapports a été créée en 2007. La déclaration STROBE (STrengthening the Reporting of OBservational studies in Epidemiology) est une liste de vérification avec 22 points qui détaille les renseignements clés nécessaires pour communiquer les résultats d'une étude observationnelle [42]. Il est également accompagné d'un document d'explication et d'élaboration (E&E) [43]. Comme la déclaration CONSORT, au cours de la dernière décennie, STROBE a donné naissance aux nombreuses extensions différentes pour diverses méthodes et divers domaines (p. ex., l'épidémiologie nutritionnelle). [44–57].

#### **Promotion du STROBE**

#### Par les groupes éditoriaux

Depuis sa publication, STROBE a été approuvé par le Comité international des rédacteurs de revues médicales (ICMJE) [58]. L'ICMJE promeut l'utilisation du STROBE en l'incluant dans ses Recommandations pour la conduite, l'édition et la publication des travaux scientifiques dans les revues médicales - un document standard qui est utilisé et encouragé par des milliers de revues biomédicales [59]. Parmi les lignes directrices générales sur la rédaction et la publication d'articles universitaires, les Recommandations contiennent les informations explicites sur quelques autres



lignes directrices en matière de rapports, telles que CONSORT, et elles invitent les auteurs à rechercher d'autres lignes directrices qui pourraient être pertinentes pour leurs travaux. Par exemple, le réseau EQUATOR (Enhancing the QUAlity and Transparency Of health Research), une initiative internationale créée en 2008 qui fournit des ressources et de la formation sur la façon de développer, de diffuser et de mettre en œuvre les lignes directrices en matière de rapports [60,61].

#### Par les revues biomédicales

En plus de la promotion de l'ICMJE et du travail d'EQUATOR, les revues individuelles peuvent soutenir ou "approuver" les lignes directrices en les mentionnant aux chercheurs dans leurs "instructions aux auteurs". Ces instructions expliquent en détail comment soumettre un manuscrit détaillant les résultats d'une étude. Lorsque les revues approuvent les lignes directrices pour la rédaction des rapports, les détails et la force de l'approbation varient énormément [62].

L'exigence consiste généralement à demander aux auteurs de soumettre une liste de vérification dûment remplie et/ou un diagramme de flux qui indique les numéros de texte ou de page du manuscrit où le lecteur peut trouver l'information relative à chaque élément de la liste de vérification. Par ailleurs, la revue pourrait demander aux auteurs de simplement vérifier que chaque élément a été référé, mais de ne pas fournir d'autres détails. Lorsque les revues se contentent de suggérer aux auteurs d'utiliser des lignes directrices, il n'y a pas de mécanisme d'application ou de vérification. Malgré les problèmes répandus de rapports mentionnés plus haut et la nécessité urgente de se référer à une base de données factuelle biaisée et fragmentée, de nombreuses revues



négligent la non-adhésion à lesdites lignes directrices ou elles ne sont pas au courant de l'existence de ces dernières.

En fait, le manque de la prise de conscience des lignes directrices pour la rédaction des rapports semble être commun pour les éditeurs de revues. Dans une étude portant sur l'approbation de CONSORT dans les revues médicales chinoises, de nombreux éditeurs (43/54) ont indiqué qu'ils n'étaient pas au courant de l'existence de CONSORT bien qu'une fois introduit, ils étaient disposés à l'adopter dans l'avenir [63]. La majorité des éditeurs de revues dentaires (19/34) ne connaissaient pas non plus le réseau EQUATOR [64] et près de la moitié des éditeurs en chef de revues vétérinaires (32/68) ne savaient pas ce qu'était une ligne directrice pour la rédaction des rapports avant de recevoir un questionnaire à ce sujet [65].

En général, les lignes directrices pour la rédaction des rapports ne sont pas suffisamment approuvées par les revues [66]. Toutefois, comparativement à CONSORT [67,68], STROBE a des taux d'approbation beaucoup plus bas [69]. Par exemple, en oncologie et en hématologie, le CONSORT a été approuvé plus de deux fois plus souvent (33,3 % vs 13,4 %, n = 231) [70], tandis qu'en pédiatrie une différence de cinq fois (20 % vs 4 %, n = 69) [71] a été observée. D'autres domaines comme la dentisterie (12,8 %, n = 109) [72] et l'urologie et la néphrologie (5,4 %, n = 55) [73] ont des taux d'approbation aussi faibles pour le STROBE.

Malgré ces faibles taux d'approbation et une méconnaissance des lignes directrices, certains sont encore optimistes quant à leur impact potentiel. Dans le cadre d'une étude menée auprès d'auteurs et de éditeurs participant à la publication de recherches en santé liées à la déclaration TREND (Transparent Reporting of Evaluations with Nonrandomized Designs), les participants étaient d'avis que l'omission de renseignements dans les articles de revues était un problème courant



(éditeurs n = 43; auteurs n = 56). La plupart croient également que les auteurs, les éditeurs de revues et les pairs examinateurs devraient utiliser les lignes directrices [74].

Cependant, la question des faibles taux d'utilisation n'est pas aussi simple et tout le monde n'accepte pas lesdites lignes directrices. En plus de signaler un manque de connaissances, les éditeurs de revues vétérinaires ont également signalé d'autres obstacles à l'utilisation, notamment: 1) la croyance que leurs politiques actuelles étaient suffisantes (c.-à-d. qu'elles constituaient une résistance au changement), 2) la croyance que les lignes directrices n'étaient pas suffisamment précises pour répondre à leurs besoins (p. ex., domaine ou type d'étude) et 3) la crainte que les auteurs préfèrent que les revues soient moins strictes et moins chargées (p. ex. aucune exigence des lignes directrices) [65]. Ce manque répandu de sensibilisation et de croyances négatives signifie que les revues peuvent être moins susceptibles d'approuver les lignes directrices. Il s'agit là d'un problème car les revues sont un canal de communication clé pour les auteurs, ce qui signifie qu'à leur tour, moins d'auteurs peuvent aussi être conscients de l'existence et de l'importance de lesdites lignes directrices.

#### Utilisation du STROBE par les auteurs

Lorsque les revues n'exigent pas de lignes directrices complètes pour la rédaction des rapports avec la soumission d'un manuscrit, les auteurs ne sont pas vraiment incités à modifier leur comportement [75]. À ma connaissance, seulement deux études ont interrogé directement les auteurs au sujet de leur expérience avec une ligne directrice [74,76]. Les auteurs (n = 56) qui ont répondu aux questions sur la ligne directrice TREND ont signalé des problèmes à plusieurs niveaux qui ont influé sur la probabilité d'utiliser les lignes directrices pour la rédaction des rapports [74]. Page | 18



Bien que les auteurs croient que l'utilisation d'une ligne directrice améliorerait la qualité de leur manuscrit, de nombreuses inquiétudes ont également été exprimées. Les préoccupations d'ordre pratique (p. ex. le temps supplémentaire nécessaire pour remplir la liste de vérification), les croyances individuelles au sujet de l'expérience et des connaissances antérieures d'une personne, le soutien de son milieu de travail et la promotion par les revues sont quelques-uns des thèmes clés qui sont ressortis. Dans l'enquête sur l'extension PRISMA, Burford et ses collaborateurs ont interrogé les auteurs de l'avis systématique (n = 151) sur les éléments proposés dans leur liste de vérification [76]. Les auteurs pensent que les plus importants facilitateurs de l'utilisation sont l'approbation de la revue et l'incorporation des lignes directrices dans les logiciels existants. D'autre part, certains obstacles communs étaient les limites de temps et de mots imposées par les revues [76]. Bien que ces études donnent un aperçu précieux du point de vue des auteurs sur certaines lignes directrices pour la rédaction des rapports, ces échantillons étaient petits et les résultats ne peuvent pas nécessairement être généralisés aux auteurs qui utilisent la déclaration STROBE ou d'autres lignes directrices pour la rédaction des rapports.

## Passer d'un outil de reporting a un outil pédagogique

Plutôt que de s'attendre à ce que les chercheurs qui utilisent STROBE soient tout à fait suffisants sur le plan épidémiologique et statistique, nous devrions nous attendre à ce que de nombreux auteurs qui utilisent la liste de vérification ne comprennent pas tous les concepts qu'elle contient. STROBE est spécialisé et il nécessite des connaissances de base pour l'utiliser. Bien que STROBE ne soit qu'un outil de reporting, pour certains auteurs qui n'ont jamais suivi de cours sur



les méthodes épidémiologiques, STROBE prend une nouvelle vie comme outil éducatif [77]. Le document d'explication et d'élaboration (E&E) du STROBE reconnaît les lacunes des connaissances de l'auteur et il fournit des exemples de bons rapports "afin d'améliorer l'utilisation, la compréhension et la diffusion de la déclaration du STROBE " [43].

Le concept nouvel du STROBE comme une liste de vérification interactive ou un outil éducatif qui offre une voie plus directe vers des informations nuancées et des exemples de bons rapports permet à l'utilisateur de gagner ou de renforcer son éducation sur des sujets critiques. Une bonne façon d'y parvenir est de créer des outils qui intègrent les ressources pédagogiques dans les flux de travail actuels des auteurs. Il est justifié d'intervenir tôt dans le processus de rédaction car certains soutiennent qu'intervenir à l'étape de la révision d'un manuscrit est trop tard dans le processus de recherche [78]. Les résultats d'un examen de la portée des interventions de 2019 visant à améliorer le respect des lignes directrices pour la rédaction des rapports ont montré que la majorité des interventions ont été menées dans les revues [79]. Il y a très peu d'interventions axées sur les premières étapes de la recherche et peu de travail est fait pour étudier la compréhension de lesdites lignes directrices [79]. Une grande partie de la recherche médicale est fondée sur l'observation et la valeur pratique du STROBE peut être étendue afin de fournir un cadre pour l'enseignement des principes de la recherche scientifique et de l'établissement de rapports en médecine.



#### **Objectifs**

Le doctorat s'articule autour de quatre objectifs de soutien pour atteindre l'objectif final: Développer une intervention éducative structurée basée sur la déclaration STROBE pour l'enseignement des méthodes de recherche observationnelle et du reportage. Pour donner un aperçu des données probantes entourant l'utilisation de STROBE, de l'environnement dans lequel il est utilisé (par exemple, la publication biomédicale) et des facilitateurs et des obstacles à l'utilisation, je visais à: 1) classer les changements apportés aux extensions afin d'identifier les forces et les faiblesses de la liste de vérification STROBE originale; 2) déterminer la prévalence et la typologie de l'approbation par les revues dans les domaines liés aux extensions; 3) évaluer la connaissance, les expériences et les attitudes des chercheurs actuels à l'égard de la liste de vérification STROBE; et 4) élaborer et évaluer un instrument d'évaluation de l'acceptation et de l'utilisation d'une ligne directrice en matière de rapports.

#### Cadre

Cette thèse s'inscrivait dans une approche à plusieurs étapes visant à améliorer l'utilisation des lignes directrices en matière de rapports, car elle visait à explorer les questions au niveau des lignes directrices, des auteurs et des revues [20]. Pour décomposer les interactions complexes qui influent sur l'utilisation des lignes directrices par les auteurs, on a eu recours à la stratégie d'application des connaissances PARIHS (Promoting Action on Research Implementation in Health Services, soit *promouvoir* l'*action* en application de la recherche aux services de santé) [80–82]. Le cadre Page | 21



PARIHS comprend trois éléments de base (chacun comprenant des composantes multiples et distinctes) qui déterminent le succès de la mise en œuvre de la recherche (Figure 1):

- <u>Preuve</u> : la force et la nature de la preuve telle qu'elle est perçue par de multiples intervenants (c'est-à-dire évaluer le contenu, les adaptations et l'acceptation);
- 2. <u>Contexte</u> : la qualité du contexte ou de l'environnement dans lequel la recherche est réalisée (c'est-à-dire l'environnement dans lequel les auteurs évoluent), et

3. <u>Facilitation</u> : processus par lesquels la mise en œuvre est facilitée (c'est-à-dire les facteurs personnels et environnementaux qui influencent l'utilisation) (Helfrich et al., 2009)





Figure 1. Cadre PARIHS appliqué à la mise en œuvre du STROBE

## Chapitre un: la perspective du journal et les extensions STROBE

La première étude du travail de doctorat s'est concentrée sur la nature des preuves et du contexte du STROBE en se concentrant sur les extensions du STROBE, leur contenu et leur mise en œuvre. Une évaluation qualitative des extensions STROBE a été réalisée afin de mieux comprendre les domaines de contenu qui sont pleinement suffisants, souvent mal compris ou afin de les développer. Cela a permis d'établir une partie du contenu et une orientation nécessaire à une intervention éducative.

Ensuite, afin de mieux comprendre l'environnement actuel dans lequel travaillent les auteurs, j'ai évalué la prévalence et la typologie actuelles de l'approbation du STROBE et des extensions. Cette évaluation de l'approbation a démontré la variabilité de la formulation de



l'approbation et elle a établi une importante question de classification pour la documentation et les données probantes relatives à l'approbation du STROBE. Il a révélé de fréquentes formulations ambiguës et dénuées de sens et il a attiré l'attention sur des méthodes d'approbation plus optimales. Ce travail a également permis de déterminer si les extensions étaient approuvées par les revues pertinentes.

## Chapitre deux: le point de vue de l'auteur sur STROBE

Après avoir utilisé une approche plus objective et bibliométrique axée sur les données pour découvrir les problèmes liés au contenu du STROBE et à sa mise en œuvre actuelle par les revues, j'ai cherché à explorer ces questions telles que perçues par les auteurs. Par conséquent, le deuxième projet s'est concentré sur l'exploration des perceptions de l'auteur au sujet du contenu, de la structure et de l'utilisation du STROBE. J'ai développé et j'ai distribué un sondage en ligne ciblant les auteurs d'études observationnelles. Ce sondage a été conçu pour évaluer la connaissance, l'expérience et les attitudes des chercheurs actuels à l'égard de la liste de vérification du STROBE. Les auteurs ont été interrogés sur leur connaissance du STROBE et des extensions ainsi que sur leurs attitudes et leurs croyances quant à son utilité, sa facilité d'utilisation et les avantages perçus. On leur a également posé des questions sur leur utilisation actuelle du STROBE et sur le moment de son utilisation.

Dans le cadre du ce projet, j'ai également élaboré et évalué un instrument d'évaluation de l'acceptation et de l'utilisation d'une ligne directrice en matière de rapports. Cet instrument s'inspire



de travaux antérieurs dans le domaine de l'évaluation des technologies de la santé (ETS) qui visent à évaluer systématiquement la façon dont les utilisateurs interagissent directement et indirectement avec les technologies, à découvrir les conséquences de leur utilisation et à éclairer la prise de décisions futures [83–86]. Jusqu'à présent, cette méthodologie n'avait pas été étendue à l'utilisation des lignes directrices en matière de rapports et il n'existait pas non plus d'outils permettant d'évaluer systématiquement les interactions de l'auteur avec lesdites lignes directrices. Mais surtout, ce projet a permis d'établir les facteurs personnels et environnementaux qui influencent l'utilisation du STROBE et de mieux comprendre les problèmes actuels auxquels sont confrontés les auteurs.

## Chapitre trois: une intervention pédagogique pour l'enseignement des méthodes de recherche et de l'écriture

Selon les résultats des projets réalisés dans les chapitres un et deux, l'intervention doit 1) tenir compte des différentes écoles de pensée et d'une grande variété d'approches, de domaines et de méthodologies ; 2) inclure des informations nuancées provenant des extensions STROBE ; 3) permettre une modification facile par un public mondial lorsque de nouvelles méthodes sont disponibles ; 4) s'adapter à un public divers, reconnaissant que les processus actuels du travail peuvent avoir plus de valeur pour les chercheurs en début de carrière ; 5) ne pas s'imposer dans le déroulement du travail. Compte tenu de tout cela, il a été décidé que les interventions devraient être créées de manière transparente, qu'elles devraient être librement accessibles et éditables ainsi qu'adaptées à un public diversifié. Par conséquent, le projet final (chapitre trois) est un livre à



la *source ouverte* en ligne, créé en rapport, qui peut être librement consulté et édité par la communauté épidémiologique. Un travail de collaboration a été établi avec d'autres chercheurs qui ont offert une solution technique pour intégrer l'information dans le flux de travail de rédaction sous la forme d'un ajout pour Microsoft Word. Ensemble, avec cet outil d'aide à l'écriture, le STROBE peut être utilisé plus efficacement à la fois comme outil d'aide à l'écriture et comme outil pédagogique.

#### Discussion

Le travail de cette thèse a été guidé par la stratégie d'application des connaissances de Promoting Action on Research Implementation in Health Services (PARIHS) qui contient trois éléments de base (données probantes, contexte et facilitation) qui influencent la façon dont la mise en œuvre de la recherche peut être réussie (Harvey & Kitson, 2016 ; Helfrich et coll., 2009 ; A. L. Kitson et coll., 2008 ; Stetler et coll., 2011). Pour transformer le STROBE, qui n'était qu'une simple ligne directrice pour la présentation de rapports, en un outil éducatif, il fallait étudier ces trois facettes.

#### Les données probantes

J'ai commencé par examiner les preuves entourant l'acceptabilité (c'est-à-dire l'endossement) du STROBE par les revues, et son acceptabilité comme une base méthodologique pour les extensions du STROBE. En évaluant qualitativement le contenu des extensions du STROBE, nous avons eu un aperçu des domaines qui pourraient devoir être ajoutés à la liste de



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contrôle et à l'intervention éducative (Sharp, Hren, et coll., 2018). Cette évaluation a fourni des données probantes sur les domaines susceptibles d'être mal compris par les auteurs, sur la façon dont le programme STROBE est actuellement étendu à différents domaines et méthodologies et sur la façon dont l'information peut être utilisée pour aider à former davantage les auteurs à l'avenir.

La deuxième partie du premier projet a permis de poursuivre l'étude des données probantes entourant l'acceptabilité du STROBE et de ses extensions (Sharp, Tokalić, et coll., 2018). Les revues n'endossent pas généralement le STROBE, ni ses extensions, et le langage qu'elles utilisent est ambigu et vague. De plus, une bonne partie de l'information a été trouvée dans des endroits (p. ex., les politiques éditoriales) autres que les instructions aux auteurs, ce qui constitue un autre obstacle à la sensibilisation et à la mise en œuvre des lignes directrices sur les rapports, car ce n'est peut-être pas un endroit intuitif où les auteurs peuvent chercher. Des formulations et des suggestions vagues plutôt que des exigences peuvent également miner l'importance des lignes directrices pour la rédaction de rapports.

#### Le contexte

Ensuite, j'ai enquêté sur la façon dont les auteurs considéraient le contexte dans lequel ils menaient leurs travaux. Un environnement favorable est important pour le succès des lignes directrices en matière de déclaration. Si la culture dominante, les rôles de leadership et les structures organisationnelles n'appuient pas le STROBE, cela pourrait constituer des obstacles considérables à l'utilisation. L'enquête visait à recueillir les commentaires de ceux qui avaient déjà utilisé le STROBE, de ceux qui en connaissaient l'existence mais ne l'avaient jamais utilisé et de ceux qui ne connaissaient pas son existence avant l'invitation à l'enquête. Les journaux ont été la principale



source de motivation, d'application et de communication au sujet du STROBE et des directives de déclaration. Leur soutien est essentiel. Le deuxième projet a également confirmé qu'une approche d'évaluation des technologies de la santé (ETS) peut s'appliquer au STROBE (et aux lignes directrices de déclaration), mais qu'elle doit tenir davantage compte du milieu de l'édition universitaire.

#### Les facilitateurs

En plus d'avoir un environnement favorable, les auteurs doivent aussi posséder les caractéristiques personnelles qui facilitent l'utilisation du STROBE. Quinze pour cent (n = 150) des participants au sondage (n = 1015) ont partagé leurs perceptions et leurs idées par le biais d'une rétroaction qualitative. Les résultats ont montré qu'il y avait beaucoup de désaccord sur le niveau de spécificité souhaité dans le STROBE et sur son utilité. En général, les auteurs n'étaient pas opposés à l'utilisation du STROBE mais, en l'absence d'exigence de journal, ils ont exprimé qu'il n'y avait souvent pas de forte force de motivation externe. Leurs co-auteurs ne l'utilisaient pas et les revues ne l'exigeaient pas. En outre, lorsque certains utilisaient le STROBE, comme l'exige une revue, ils étaient découragés car il n'était pas utilisé par la rédaction ou les pairs examinateurs, ce qui faisait de l'exécution du STROBE un simple fardeau administratif. Enfin, et c'est peut-être le problème le plus difficile à résoudre: le rejet de l'utilité du STROBE par des expressions d'assurance ou de confiance excessive en ses capacités.

Les auteurs qui ont participé à un sondage en ligne ont partagé leurs points de vue sur leur environnement et les facteurs qui facilitent l'utilisation. Les réponses ont révélé de multiples malentendus sur l'objet et le contenu de STROBE et de grands désaccords sur le niveau de spécificité souhaité. Les auteurs ont également exprimé certaines opinions internes qui sont



préjudiciables à la promotion du STROBE, comme la confiance en soi exagérée. En outre, les résultats ont souligné la nécessité de mettre en place de meilleurs mécanismes d'incitation et d'application car il n'existe souvent pas de force de motivation forte pour utiliser le STROBE. Les entraîneurs l'utilisent rarement et la plupart des revues ne l'exigent pas.

#### Conclusion

Les interventions précoces axées sur les chercheurs en début de carrière sont peut-être les plus prometteuses, mais l'auditoire d'une intervention éducative sera diversifié et le contenu doit être souple. Les résultats ont aidé à fournir le contenu et le soutien d'une intervention éducative qui est à code source ouvert, modifiable et accessible par un public mondial.



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## Introduction

#### Introduction to research waste

It is estimated that about 85% of biomedical research is wasted [18]. Waste can be created throughout the process of conducting research. From the initial stages of study conception, things can go wrong. A researcher can ask the wrong questions, use the wrong design, or analyze the data with the wrong methods. Even if these steps are done properly, the study report can be, either purposefully or inadvertently, missing key information. Selective and incomplete information in a research article makes replication, critical appraisal, and interpretations difficult or impossible. With inadequate reporting, results cannot properly inform clinical practice and health policies, meaning that the same research questions need to be asked again and again, creating unnecessary duplicative work and waste in research [87].

Incomplete reporting is unethical and hinders progress in research, creating a "reproducibility crisis" as results cannot be replicated, reproduced, nor accurately interpreted [19,20]. Clinicians are unable to make decisions about care, readers are left with endless questions, and the generalizability and credibility of research is uncertain. Furthermore, inadequately reported research is then excluded from systematic reviews and meta-analyses, which are designed to pool together and synthesize the results from many different studies.

This is worsened by the fact that the results of roughly half of all funded research studies are also never published [88] with reports detailing negative or null findings being far less likely to be published [89,90]. Similar to when research is simply unavailable, when study results are poorly reported there is no way to integrate them into reviews and meta-analyses. Paired with the



large amount of unpublished literature, the final results are then less conclusive and possibly biased, especially in a positive direction. This leaves us with a misleading evidence base where research is essentially unusable in guiding future research and clinical care. In addition to the human costs, the inaccessible nature of research is also literally fiscally expensive, resulting in a waste of as much as \$240 billion in annual worldwide health research expenditures [91].

#### Introduction to reporting guidelines

There is now a greater recognition of the problems of publication bias and poorly reported research as the amount of systematic reviews and meta-analyses being published has increased in recent years [92]. Attempts to address the reporting problems have focused on efforts to provide more structure and guidance to scientific writing through the use of reporting guidelines (RG) [18,22,23]. RG are commonly organized in the form of a checklist which contains essential contextual and methodological items that need to be reported when describing the results of a study. For example, clear definitions and rationale must be given about how participants were deemed eligible to participate, how they were recruited and tracked throughout the course of the study, and what information was collected from them and how. The items in this checklist promote reproducibility and replicability and aim to give readers sufficient information to help them judge a study's quality and generalizability. This checklist may also be accompanied by a flow diagram, detailing information about things such as how many people were initially contacted, how many people declined to participate, and how many people dropped out of the study. Furthermore, the checklist may also be paired with an additional Elaboration and Explanation (E&E) document which provides more detailed descriptions of why the requested



items are important and gives examples of "good reporting" from real research articles. This additional information attempts to provide some education alongside the strict writing guidance.

The initial reporting guideline movement began focused on randomized control trials (RCT) -- studies generally considered to be the "gold standard" in clinical research [24–26]. RCTs are studies in which patients are assigned to either an experimental (i.e., they receive an intervention) or a control group (i.e., they receive the standard of care or a placebo) and are followed to see if there are any differences between the groups in certain pre-determined outcomes. The random allocation of participants to groups reduces confounders, making the groups more comparable at baseline, thus allowing researchers to more easily draw causal inferences on whether a treatment or procedure has any impact. [93] Confounders are especially important to consider in all of health research as they are variables that can result in spurious associations, masking real relationships between independent (exposures) and dependent (outcomes) variables. [94]

Efforts to improve the quality of RCTs harken back to 1993 when the Standardized Reporting of Trials (SORT) Statement was created in an effort to address the inadequate reporting in randomized clinical trials (RCT) [24]. At the same time and independently, another group was also working on providing guidance for reporting. So, in 1996, the SORT group, in collaboration with the Asilomar Working Group, published the Consolidated Standards of Reporting Trials (CONSORT) Statement [28,27]. CONSORT contained a flow-diagram which showed how many participants were approached, engaged in, and completed the study. It also contained a 21-item checklist which listed the key pieces of information which were necessary to judge the quality of a study [27].



Several updates to the CONSORT Statement have since been published. These updates have modified and added items to the checklist and provided even more detailed guidance. Furthermore, CONSORT has inspired many offshoots, known as extensions, which provide more nuanced and specialized guidance for different study designs, interventions, or data types [29]. Studies have shown that when biomedical research journals support or endorse the use of CONSORT, there are improvements in the reporting of the study methods and results [95–99]. A systematic review of 50 different interventions (involving 16,604 RCTs) demonstrated that journals which endorsed CONSORT had significant improvement on five of 22 items (of the 2001 CONSORT checklist) and similar positive effects for another 15 items [96]. Whereas, another study found that when journals took an active implementation stance (i.e., regulated monitoring and enforcement) for the CONSORT for Abstracts guideline, there were improvements in the reporting [95]. CONSORT was a pioneer in demonstrating the potential benefits of reporting guidelines. Its success has generated a growing interest in the production of guidelines for other study designs, fields, and methods.

#### **Introduction to STROBE**

More than ten years after the publication of CONSORT, the attention finally turned to observational research. Creating CONSORT and deciding upon the essential items for reports of randomized control trials was a complex task – one which involved some of the best experts in the world working on the issue over many years. Deciding what items are essential for an observational study is arguably an even more difficult task.



Clinical trials are often more structured and "clean-cut" to perform as, by design, they have experimental and control groups. These groups allow researchers to control for external factors, (i.e., confounders and biases) which can easily influence results. For example, groups are generally balanced such that one is not older, wealthier, or healthier than the other. Additionally, participants and even researchers can be blinded to an intervention, such that one or both groups do not know if the participant is getting the intervention or not, thus they cannot influence the results in the way that they want. Careful design and analysis of observational studies is essential because they are not structured to control for these external factors, thus they are especially prone to bias and confounding [30–32].

In an ideal world, people would be able to use randomized control trials more often, however, sometimes it is simply unethical or unreasonable to conduct an RCT [32]. For example, it would be immoral and impractical to design an RCT to investigate socioeconomic impacts on health (e.g., you cannot assign someone's geographic location, race, income, gender, etc.) or surgical procedures.

Observational studies are also particularly useful for those working on health policies and in comparative effectiveness research as they allow comparisons between already-in-use interventions in order to determine which may be most effective. Furthermore, observational research is greatly beneficial for those using "big data" from sources like social media, electronic medical records, billing data, or health registry – all fields which have seen large growth in the past several decades [100]. Given the breadth of topics that observational studies can cover, it is no surprise that it is the most common study design used in biomedical research [35].

Due to the complex design and conduct of observational studies, they have been deemed to be "the most necessary and difficult" studies to conduct [33]. Observational studies are


conducted in real-world settings and can investigate the impact of health policies on populations and explore the distribution of health outcomes across groups [30]. One of the biggest benefits of observational research is that it can affordably provide a larger number of participants in comparison to RCTs [30]. This allows investigations into differences between subgroups in the population (e.g., different age groups, disease subtypes) and can promote a broader generalizability of findings as the sample is larger and may be more representative of the population [34]. RCTs simply cannot achieve these same results.

Observational research can broadly be divided into three main study designs: crosssectional, case-control, and cohort studies. Cross-sectional studies can provide a "snapshot" in time and establish the prevalence of certain conditions whereas case-control and cohort studies give a temporal dimension to the data. These latter two study designs are particularly useful as they can offer a prospective or retrospective dimension to disease occurrence and associations with exposures. [32] Additionally, with a larger study timeframe, researchers can then take a life course perspective on health -- investigating critical periods of exposure, accumulative risk, and how varying biopsychosocial factors influence health throughout life [101–103]. Observational research which allows participants to be followed for longer periods of time also fosters evaluations of changes in health outcomes throughout the lifespan.

Due to a high prevalence of observational studies in the literature, widespread poor reporting means that an enormous amount of the medical literature has issues. Research has shown that some authors have trouble even correctly identifying the type of study that they have conducted. For example, in a 2018 study evaluating articles published in the field of neurosurgery, 40.6% of their sample (91/244) misclassified their study design. Cohort studies were the most common design mislabeled as case-control studies. [104] This misclassification



Except where otherwise noted, this is work licensed under https://creativecommons.org/licenses/by-nc-nd/3.0/fr/ has implications for indexing, synthesis methods, and statistical analyses as incorrect measurements may have been reported, thus distorting results. Incorrectly reported metrics (e.g., giving odds ratio instead of relative risk) may then influence results of systematic reviews and meta-analyses if these errors are not caught.

Research has also shown that items concerning the methodology and results of observational studies are particularly poorly reported [36–41,105]. Details about participants, data collection, and analyses are common problems. While missing details on how many people were eligible to participate, consented, and lost to follow-up questions the generalizability of results. On the other hand, missing data on the reliability of the data collection instruments used, how the data was analyzed, and missing disclosures of funding sources can be worrying for different reasons. This missing information can mask motives for certain narratives or may result in certain null or unfavorable outcomes not being reported. Therefore, a reporting guideline for observational research is critically needed to promote appropriate interpretations of study findings and to foster transparency and the reproducibility of results.

To ensure that essential items are reported when discussing the results of an observational study, a reporting guideline was created in 2007. The STrengthening the Reporting of OBservational studies in Epidemiology (STROBE) Statement is a 22-item checklist that details the key information needed when reporting the results of an observational study [42] (Figure 1). It is also accompanied by an Explanation and Elaboration (E&E) document that provides further details for each checklist item and gives examples of good reporting [43]. Similar to CONSORT, over the past decade, STROBE has spawned many different extensions for various methods and fields (Table 1). [45–57,106–108]



## *Table.* The Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) Statement: Checklist of Items That Should Be Addressed in Reports of Observational Studies

Item	ltem Number	Recommendation
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract. (b) Provide in the abstract an informative and balanced summary of what was done and what was found.
Introduction Background/ rationale	2	Explain the scientific background and rationale for the investigation being reported.
Objectives Methods	3	State specific objectives, including any prespecified hypotheses.
Study design	4	Present key elements of study design early in the paper.
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection.
Participants	6	<ul> <li>(a) Cohort study: Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up.</li> <li>Case-control study: Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls.</li> <li>Cross-sectional study: Give the eligibility criteria, and the sources and methods of selection of participants.</li> <li>(b) Cohort study: For matched studies, give matching criteria and number of exposed and unexposed.</li> <li>Case-control study: For matched studies, give matching criteria and the number of controls per case.</li> </ul>
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable.
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group.
Bias	9	Describe any efforts to address potential sources of bias.
Study size	10	Explain how the study size was arrived at.
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen, and why.
Statistical methods	12	<ul> <li>(a) Describe all statistical methods, including those used to control for contounding.</li> <li>(b) Describe any methods used to examine subgroups and interactions.</li> <li>(c) Explain how missing data were addressed.</li> <li>(d) Cohort study: If applicable, explain how loss to follow-up was addressed.</li> <li>Case-control study: If applicable, explain how matching of cases and controls was addressed.</li> <li>Cross-sectional study: If applicable, describe analytical methods taking account of sampling strategy.</li> <li>(e) Describe any sensitivity analyses</li> </ul>
Results		(c) beside any sensitivity analyses.
Participants	13*	<ul> <li>(a) Report the numbers of individuals at each stage of the study—e.g., numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analyzed.</li> <li>(b) Give reasons for nonparticipation at each stage.</li> <li>(c) Consider use of a flow diagram.</li> </ul>
Descriptive data	14*	<ul> <li>(a) Give characteristics of study participants (e.g., demographic, clinical, social) and information on exposures and potential confounders.</li> <li>(b) Indicate the number of participants with missing data for each variable of interest.</li> <li>(c) Cohot study: Summarize following time of a average and total amount.</li> </ul>
Outcome data	15*	Cohort study: summarze tonow-up time—e.g., average and total amount. Cohort study: Report numbers of outcome events or summary measures over time. Case—control study: Report numbers in each exposure category or summary measures of exposure. Cross-sectional study: Report numbers of outcome events or summary measures.
Main results	16	<ul> <li>(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (e.g., 95% confidence intervals). Make clear which confounders were adjusted for and why they were included.</li> <li>(b) Report category boundaries when continuous variables were categorized.</li> <li>(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period.</li> </ul>
Other analyses	17	Report other analyses done-e.g., analyses of subgroups and interactions and sensitivity analyses.
Discussion	40	
Key results	18	Summarize key results with reference to study objectives.
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias.
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence.
Generalizability	21	Discuss the generalizability (external validity) of the study results.
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based.

\*Give such information separately for cases and controls in case-control studies, and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

An Explanation and Elaboration article (18–20) discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available at www.annals.org and on the Web sites of *PLoS Medicine* [www.plosmedicine.org] and *Epidemiology* [www.epidem.com]). Separate versions of the checklist for cohort, case–control, and cross-sectional studies are available on the STROBE Web site (www.strobe-statement.org).

#### Figure 1. The STROBE Checklist (Replicated from Published Report) [42]



 Table 1. List of STROBE Extensions

Abbreviation	obreviation Title/Description			
STREGA [53]	STrengthening the REporting of Genetic Association Studies	February 3, 2009		
STROBE-EULAR [46]*	A EULAR extension of STROBE guidelines	June 4, 2010		
STROBE-ME [49]	STrengthening the Reporting of OBservational studies in Epidemiology - Molecular Epidemiology	October 24, 2011		
STROME-ID [47]	Strengthening the Reporting of Molecular Epidemiology for Infectious Diseases	March 13, 2014		
STROBE-RDS [56]	Strengthening the Reporting of Observational Studies in Epidemiology for Respondent- Driven Sampling studies	May 1, 2015		
RECORD [106]	REporting of studies Conducted using Observational Routinely-collected health Data (RECORD) Statement	October 6, 2015		
STROBE-AMS [55]	Strengthening the Reporting of Observational Studies in Epidemiology for AntiMicrobial Stewardship	February 19, 2016		
MARE-S [109]	Medical Abortion Reporting of Efficacy - STROBE	April 23, 2016		
STROBE-NUT [52]	Strengthening the Reporting of Observational Studies in Epidemiology-NUTritional epidemiology	June 7, 2016		
ROSES-I [50]	CONSISE statement on the REporting of SEroepidemiologic Studies for influenza	July 17, 2016		
STROBE-SBR [110]	Strengthening the Reporting of Observational Studies in Epidemiology for Simulation- Based Research	July 26, 2016		
STROBE-NI [48]	Strengthening the Reporting of Observational Studies in Epidemiology for Newborn Infection	September 13, 2016		
STROBE-Vet [111]	Strengthening the Reporting of Observational Studies in Epidemiology - Veterinary	November 1, 2016		
ISLE-ReST [108]	Spatial Lifecourse Epidemiology Reporting Standards (ISLE-ReSt)	December 4, 2019		
STROBE-SIIS [107]STROBE Extension for Sport Injury and Illness Surveillance (STROBE-SIIS))		January 7, 2020		
* This extension does not have an official acronym For simplicity's sake one has been created				



## **Promotion of STROBE**

## By editorial groups

Since its publication, STROBE has been endorsed by the International Committee of Medical Journal Editors (ICMJE) [58], a group that encompasses many of the top-ranked journals in medicine, and world-renowned bodies such as the World Association of Medical Editors [112] and the United States National Library of Medicine [113]. The ICMJE promotes the use of STROBE by including it in the ICMJE Recommendations for the Conduct, Reporting, Editing and Publication of Scholarly Work in Medical Journals -- a standard document which is used and promoted by thousands of biomedical journals [59].

The ICMJE is invested in the promotion and implementation of reporting guidelines, and directs authors to initiatives dedicated to this aim. Amongst the general guidance on writing and publishing of academic articles, the Recommendations contain explicit information about a few other reporting guidelines, such as CONSORT, and instructs authors to look for other guidance that may be relevant to their work. For example, the ICMJE Recommendations reference the Enhancing the QUAlity and Transparency Of health Research (EQUATOR) Network, an international initiative created in 2008 which provides resources and training on how to develop, disseminate, and implement reporting guidelines [60,61]. The EQUATOR Network is an important and dedicated advocate for reporting guidelines and does so by indexing guidelines on their site, providing training workshops for researchers, and broadly promoting reporting guideline use.



### By biomedical journals

In addition to the ICMJE promotion and EQUATOR's work, individual journals can support or "endorse" reporting guidelines by mentioning them to researchers in their "instructions for authors." These instructions detail how to submit a manuscript detailing the results of a study. When journals endorse reporting guidelines, the detail and strength of endorsement is extremely varied [62]. Journals can:

- 1. imply reference resources which encourage the use of reporting guidelines (i.e., the ICMJE document, or the EQUATOR Network),
- 2. mention the existence of "relevant" RG (meaning that it is up to the author to find one on their own),
- 3. suggest using specific ones, such as CONSORT or STROBE, or
- 4. require authors to submit a completed RG checklist with their manuscript [67,114,115].

Requirement generally entails having authors submit a completed checklist and/or flow diagram which notes the text or page numbers of the manuscript where the reader can find the information related to each checklist item. Alternatively, the journal could ask authors to simply check that each item was addressed but not provide any further details. When journals only suggest to authors that they should use a reporting guideline, there is no enforcement mechanism or check. The lack of an enforcement mechanism and use of ambiguous endorsement language by journals implies that some journals do not want to take responsibility for guideline enforcement. Despite the widespread issues of reporting mentioned earlier and the urgent need to address a biased and broken evidence-base, many journals overlook non-adherence to RG or are not aware of the existence of RG.



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In fact, a lack of awareness of reporting guidelines seems to be common for journal editors. In one study investigating the endorsement of CONSORT in Chinese medical journals, many editors (43/54) reported that they were not aware of the existence of CONSORT although once introduced, they reported that they were willing to adopt it in the future [63]. A majority of dental journal editors (19/34) also were not familiar with the EQUATOR Network [64] and nearly half of veterinary journal Editors-in-Chief (32/68) did not know what a reporting guideline was before they received a questionnaire about the topic [65].

In general, reporting guidelines are inadequately endorsed by journals [66]. However, when compared to CONSORT [67,68], STROBE has much worse endorsement rates [69]. For example, in oncology and hematology, CONSORT was endorsed more than twice as often (33.3% vs. 13.4%, n = 231 [116], while in pediatrics, a five-fold difference was found (20% vs.4%, n = 69) [71]. Other fields such as dentistry (12.8\%, n=109) [72] and urology and nephrology (5.4\%, n=55) [73], have similarly low endorsement rates for STROBE.

Despite these low endorsement rates and a lack of awareness of RG, some are still optimistic about their potential impact. In one study with authors and editors involved in publishing health research related to the Transparent Reporting of Evaluations with Nonrandomized Designs (TREND) Statement, participants believed that omitted information from journal articles was a common problem (editors n = 43; authors n = 56) and most also believed that authors, journal editors, and peer reviewers should use RG [74].

However, the issue of low rates of use is not so straightforward and everyone is not as accepting of RG. In addition to reporting a lack of knowledge, veterinary journal editors also reported other barriers to use including: 1) beliefs that their current policies were sufficient (i.e., they were resistance to change), 2) beliefs that reporting guidelines were not specific enough for



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their needs (e.g., subject area or study type), and 3) fears that authors would prefer journals will less strict submissions and decreased workloads (i.e., no RG requirement) [65]. This widespread lack of awareness and negative beliefs, means that journals may be less likely to endorse guidelines. This is a problem as journals are a key communication channel to authors, meaning that, in turn, fewer authors may also be aware of the existence and importance of RG.

Unfortunately, low endorsement rates may also be affected by the lack of evidence regarding their impact on the completeness of reporting [117,118,115,119,105]. In general, there is insufficient evidence to determine the relationship between the endorsement of reporting guidelines and the completeness of reporting [118]. Specific to STROBE, endorsement appeared to have no effect on the reporting of confounding [115] and there was no improvement in methodology reporting in nephrology studies after STROBE was published [119]. A perceived lack of impact of reporting guideline use can affect journal editors' willingness to endorse them.

## Use of STROBE by authors

When journals do not require a completed reporting guideline with manuscript submission, there is no real incentive for authors to change their behavior [75]. It is currently unclear what may motivate authors to use reporting guidelines on their own or why they may not want to use one. To my knowledge, only two studies have directly asked authors about their experiences with a reporting guideline [74,76]. Authors (n = 56) responding to questions about the TREND guideline reported issues across many levels that affected the likelihood to use the RG [74]. While authors believed that using a RG would improve the quality of their manuscript, there were also many worries expressed. Practicality concerns (e.g., the additional time needed to



complete the checklist), individual beliefs about one's prior experience and knowledge, support from one's working environment, and promotion by journals were some key themes that emerged. In work investigating the PRISMA-Equality extension, Burford et al. asked systematic review authors (n = 151) about proposed items in their checklist [76]. Authors thought that the most important facilitators of use were journal endorsement and incorporation of RG into existing software. On the other hand, some common barriers were time and word limits enforced by journals [76]. Although these studies offer valuable insights into author's perspectives on certain reporting guidelines, these samples were small and results cannot necessarily be generalized to authors using the STROBE Statement or other reporting guidelines.

When compounded by the aforementioned weak evidence of STROBE's impact on the completeness of reporting and author's overall lack of awareness of its existence, it is not surprising that authors do not use STROBE. Research has also shown that authors are generally unaware of reporting guidelines or their value [65,77]. This is unfortunate because many biomedical researchers are poorly trained in research design and analysis. It is fairly inarguable that reporting standards for observational studies are needed, especially since epidemiologists are often not involved in the design or conduct of a study, nor are analyses guided or performed by full-time statisticians [120].

# Expanding from a reporting tool to an educational tool

Rather than expecting researchers using STROBE to be fully sufficient in epidemiological and statistical concepts, we should expect that many authors using the checklist may not



understand all the concepts contained within it. STROBE is specialized and requires background knowledge to use it. While STROBE is intended to only be a tool for reporting, to some authors who never took courses covering epidemiological methods, STROBE takes on a new life as an educational tool [77]. STROBE's Explanation and Elaboration (E&E) document recognizes author's gaps in knowledge and provides examples of good reporting in order to "enhance the use, understanding, and dissemination of the STROBE Statement" [43]. However, the information provided in the E&E is quite superficial and the document is not sufficient enough to be a fully-realized educational tool.

Author's lack of expertise and knowledge can be partially addressed by adding interactive layers to STROBE, i.e. providing a deeper level of information than the current E&E provides. Each original STROBE item can be expanded to take the author to more detailed explanation and examples of principles represented by the item. By better integrating reporting standards and educational resources, the value of STROBE can be expanded from a fixed checklist to an interactive educational tool that can be used throughout the writing process to bolster author's current skills and reinforce the need for certain checklist items.

Re-envisioning STROBE as an interactive checklist or educational tool that offers a more direct route to nuanced information and examples of good reporting allows the user to gain or reinforce education on critical topics. This is aligned with a psychoeducational approach to changing behavior and learning. Learning can be viewed as an active interaction with one's social environment that results in changing behavior [121,122]. In our case, the behavior we want changed is the reporting of their research. One good way to achieve that is to create tools that integrate educational resources into authors' current workflows.



Early-stage intervention within the writing workflow is warranted as some argue that intervening at the revision stage of a manuscript is too late in the research process [78]. Results from a 2019 scoping review on interventions to improve reporting guideline adherence showed that the majority of interventions have been conducted in journals [79] and there are research gaps focused on training on the practical use of RGs and enhancing accessibility and understanding. There are very few interventions focused at the early stages of research (i.e., general education, grant writing, and protocol writing) and little work done on encouraging and checking adherence at these stages [79]. The team involved in this scoping review also conducted a survey with journal editors, asking them about the feasibility and practicality of many different kinds of interventions for increasing reporting guideline use and adherence. [123] Interventions targeted at authors were seen as potentially effective but plagued by logistical issues when they were proposed at the manuscript submission stage. (e.g., no enforcement/checking mechanism, differences in formatting accepted by different journals, manuscript tracking system abilities, etc.). However, some of the interventions were seen as more effective if they were implemented prior to or during the manuscript writing process.

## Aim of compiled research papers

The PhD was structured with four supporting aims to achieve the final objective: to develop an educational intervention (based on the STROBE Statement) for teaching observational research methods and reporting. To provide insight into the evidence surrounding the use of STROBE, the environment in which it is used (e.g., biomedical publishing), and facilitators and barriers of use, I aimed to:



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- Classify changes made in the extensions to identify strengths and weaknesses of the original STROBE checklist;
- Determine the prevalence and typology of endorsement by journals in fields related to extensions;
- Assess current researcher's awareness of, experiences with, and attitudes towards the STROBE checklist; and
- Develop and evaluate an instrument for assessing the acceptance and use of a reporting guideline.

## **Methods and Framework**

This thesis was aligned with a multi-level approach to improve reporting guideline use as it aimed to explore issues at the guideline, author, and journal level [20]. In order to effectively implement reporting guidelines, one must consider that interventions aimed at one level of the system are not in isolation and they can affect everyone within the research environment [124]. Biomedical publishing contains complex and interdependent actions from a variety of different stakeholders, each with their own set of capabilities, opportunities, and motivators [124]. Although this work is chiefly focused on intervening at the individual author level, this complex systems approach was embraced throughout the course of this work in order to better translate research into practice [125].

As the overall aim was to develop an intervention, an implementation science approach was embraced in order to systematically study the methods needed to promote uptake of research



findings into practice [126]. To breakdown the complex interactions affecting the use of reporting guidelines by authors, the Promoting Action on Research Implementation in Health Services (PARIHS) knowledge translation framework was used to inform how to better implement reporting guidelines into practice [80–82]. The PARIHS Framework was developed in 1998 and has been continually refined and evaluated throughout the years. It "posits that successful implementation is represented as a function (f) of the nature of the type of evidence (E), the qualities of the context (C), in which the evidence is being introduced, and the way the process is facilitated (F); sI = f (E, C, F)." [81] In order to have a successful research implementation, the evidence must be robust, the recipients or users must agree with it, and implementation processes must be facilitated by both internal and external factors. [127] These three core elements (each comprising multiple, distinct components) determine the success of a research implementation (Figure 2). In this project they can translate loosely to the following:

- 1. <u>Evidence</u>: the strength and nature of the evidence as perceived by multiple stakeholders (i.e., evaluating STROBE's content, adaptations, and acceptance);
- 2. <u>Context</u>: the quality of the context or environment in which the research is implemented (i.e., the environment that authors are operating in); and
- 3. <u>Facilitation</u>: processes by which implementation is facilitated. (i.e., personal and environmental factors influencing use) [128]





Figure 2. PARIHS Framework Applied to STROBE Implementation

# Scientific contribution of compiled research papers

The work in this thesis attempts to provide empirical evidence on how STROBE (and its extensions) are currently being used by journals and authors. Furthermore, it aims to explore potential strengths and weaknesses of the checklist itself, both in terms of content and implementation. This work can also be thought of as a preliminary step in developing more theoretical models of reporting guidelines.

Chapter One details work which was the first to investigate the content of the STROBE extensions and the endorsement of several of them. These projects focused on the nature of the evidence and the context of STROBE by concentrating on the STROBE extensions and their content and implementation. It had two main aims: 1) To classify changes made in the extensions



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to identify strengths and weaknesses of the original STROBE checklist; and 2) To determine the prevalence and typology of endorsement by journals in fields related to extensions.

First, the investigation began by delving into the STROBE checklist itself. A qualitative evaluation of the STROBE extensions was performed in order to provide a deeper understanding of content areas that are fully sufficient, commonly misunderstood, or in need of elaboration. This established some of the content and focus needed for an educational intervention.

Next, in order to gain insight into the current environment in which authors are operating, I assessed the current endorsement prevalence and typology for STROBE and the extensions. This endorsement evaluation demonstrated the variability in the phrasing of endorsement and established a classification issue for the literature and evidence-base for STROBE endorsement. It revealed frequent ambiguous and meaningless endorsement phrasings and drew attention to more optimal methods of endorsement. This work also detected whether extensions were being endorsed by relevant journals and identified editors that extension authors could target for discussions about endorsement. Furthermore, this study created a corpus of observational studies and a methodology which can be used for future research evaluating the relationship between completeness of reporting and endorsement of STROBE and the extensions. These projects established that the STROBE extensions are largely not being endorsed and that there are some issues with the content which could be relevant for an update of STROBE and an educational intervention.

The survey detailed in Chapter Two was the first and only survey aimed at asking authors about their interactions with and perceptions towards STROBE. I adapted and evaluated an instrument which was informed by previous work in the field of Health Technology Assessment (HTA). HTA aims to systematically evaluate how users directly and indirectly interact with



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technologies, discovers consequences of use, and informs future decision making [83–85]. Until now, this methodology had not been extended to the use of reporting guidelines nor were there any tools to systematically evaluate author's interactions with reporting guidelines. Results from this project turned anecdotes into evidence and provided insights into the facilitators and barriers of reporting guideline use. Furthermore, it established that a Health Technology Assessment (HTA) approach can be applicable to STROBE (and reporting guidelines) [83–85]. As metaresearch and reporting guidelines are a relatively new field, it is important to apply the power of other theoretical approaches to this arena. Also, most importantly, this project established the personal and environmental factors influencing use of STROBE and created a deeper understanding of the current issues facing authors.

The results from the two projects detailed in Chapters One and Two were important to inform the creation of an educational intervention for teaching authors of observational studies how to report their research and be clear about the methods they used. These projects investigated the evidence, context, and facilitators needed to make a successful intervention.

The modus operandi throughout this work was to embrace implementation science (e.g., using the PARIHS framework) and not simply do research for the sake of research. While standalone educational interventions (i.e., trials) could show benefit to a small group of individuals, the long-term impact would most likely to be minimal and would actually create more waste in research. Furthermore, epidemiology is complex and rapidly changing field. STROBE was created more than a decade ago and survey respondents (Chapter Two) expressed concerns about its breadth, content, and implementation. To address issues surrounding the current timing of use (i.e., during the manuscript submission process), an early-intervention



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approach was taken to test the integration of STROBE, reporting guidelines, and education into the writing workflow itself.

Based on the results of the projects performed in Chapters One and Two, the intervention has to 1) accommodate different schools of thought and a wide variety of approaches, fields, and methodologies; 2) encompass nuanced information from the STROBE extensions; 3) allow for easy modification by a global audience when new methods are available; 4) adapt to a diverse audience, recognizing that there may be more value for early-career researchers; 5) not intrude upon the current workflow processes. Taking all of this into account, it was decided that the interventions should be transparently created, freely available and editable, and geared towards a diverse audience. Therefore, the final project (Chapter Three) is an online open source book, created in R, which can be freely accessed and edited by the epidemiological community. Collaborative work was established with other researchers who offered a technical solution to integrating information into the writing workflow in the form of an Add-in for Microsoft Word [17,129]. Together, with this writing aid, STROBE can be more effectively delivered as both a writing aid and as an educational tool.



## Chapter One: The Journal's Perspective and the STROBE Extensions

As a general guideline for observational studies, STROBE should cover all of the necessary information needed in order to evaluate and reproduce a study. However, for some topics, STROBE may not be sufficient due to specific requirements within that domain. This gap is then covered by an extension for that field. Extensions focus on a specific topic area (e.g., molecular epidemiology [49]) or methodology (e.g., response-driven sampling [56]) and offer new avenues for promoting more complete reporting. In addition to providing more nuanced guidance to authors, extensions may also address editor's concerns that STROBE is not focused enough for their journal [65]. While extensions have the potential to be beneficial for both authors and editors, their use has been largely unassessed and, similar to STROBE, they may face implementation and usage problems [65,117].

Extensions for other reporting guidelines are common, however the creation of extensions for STROBE has outpaced those for other reporting guidelines such as the CONSORT [26]. Since the publication of STROBE in 2007, at least 15 extensions have been published [45–50,52–56,61,106–108,130], whereas CONSORT was first published in 1996, yet 17 extensions were published in nearly double that time [61]. That equates to an average of 1.15 extensions per year for STROBE versus .71 for CONSORT. The reason behind the difference in extension publication rates is unclear. Perhaps the concept of field-specific extensions to reporting guidelines were pioneered by CONSORT, thus making the idea more commonplace for subsequent reporting guidelines. However, this is not the case for the Preferred Reporting Items



for Systematic Reviews and Meta-Analyses (PRISMA) reporting guideline [131] which was published in 2009, has 9 extensions, and is often endorsed at higher rates than STROBE [64,132].

Alternatively, the complexity of observational research may require more guidance due to the wide variety of methods employed in observational studies. Inadequate reporting is prevalent across most items of the STROBE checklist but previous work has largely focused on reporting deficiencies in the methods section – particularly on statistical analyses, confounding, bias, and the handling of missing data [31,41,115,119,133–138]. As mentioned previously in the introduction, confounding and bias are special concerns for observational research. Thus, it is logical that they would be a primary focus for investigations into the completeness of reporting and the area with the most reported deficits. Perhaps this complexity is the reason for the faster creation of extensions for STROBE. Regardless of the reasoning, it is evident that authors still need more details on how to report information about their studies.

In addition to the uncertainty behind the proliferation of guidelines (i.e., *why* they were created), it is often unclear *how* they were created. There is no clear advice for creating an extension of a reporting guideline and methods are varied. Most extensions do not include authors of the original STROBE guideline and some also do not provide a methodology or rationale behind the inclusion of new items or the rewording of old ones. New or reworded items that are non-specific in nature (i.e., items that can be extrapolated to most observational studies such as details about participants, confounders, biases or any other general epidemiological constructs) suggest potential deficiencies in the STROBE checklist. On the other hand, if the content is not already in STROBE, extension authors may have identified a gap or insufficiency which should be considered as an addendum to STROBE. If the content is already in STROBE,



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extension authors may have thought that it was not clearly communicated, or should be in the checklist instead of only in the Explanation and Elaboration document.

Even though the extension guidelines may be more useful than STROBE due to their specificity and nuance, their uptake may be even lower than the endorsement of STROBE. The extensions were created more recently, many within the past few years, and they do not benefit from the explicit backing of large organizations like ICMJE. Along these lines, as they are narrower in scope, they may also have less people engaged in their promotion and awareness campaigns. To date, only one study has investigated the promotion and uptake of a STROBE extension. Nevodic et. al's study investigated endorsement for the STrengthening the REporting of Genetic Association Studies (STREGA) extension in genetics journals which showed endorsement rates around 16% (29/180, [139]).

In light of these two main research gaps: 1) insufficient knowledge behind what is actually contained within the STROBE extensions and how they relate back to the original core STROBE checklist and 2) how these extensions are currently being promoted by relevant journals in their field, an investigation into these issues was necessary. This chapter begins with a protocol detailing the methodology used to approach both of these issues; it describes the approach to qualitatively coding content in the extensions and to assessing the endorsement of the STROBE extensions.

## Assessing the content of the extensions

After the presentation of the protocol for both studies, I present the results of a qualitative assessment of the extensions to identify gaps and redundancies in content. Nearly 300 additions



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were double-coded and classified as either field-specific or non-field-specific (i.e., items that can be extrapolated to most observational studies) and attributed to each related STROBE checklist item. The research letter that is contained within this chapter [2] complemented existing research and signaled a need for more guidance on methodological items -- those which are the most important for research reproducibility. In particular, the items regarding statistical methods, participants, variables, and data sources had many additions with a significant portion of these suggestions not being field-specific. These study results also useful for isolating areas to focus on for the educational intervention and for identifying problems areas to guide a potential update of STROBE.

## Assessing the endorsement of the extensions

The other section of this chapter focuses on the endorsement of the extensions by journals. I investigated endorsement for extensions which were published at least one year prior to the start of the study: March 2017. This allowed for a time buffer for uptake so results were not biased. This project was conducted in such a manner that relevant journals were identified in a systematic way and a corpus of observational studies was created that can be used to assess changes in completeness of reporting over time. While the research is mixed on STROBE's impact, there is even less evidence on the impact of extensions. Only one piece, focused on STREGA, investigated this and found that journals that endorsed the were found to have better completeness of reporting than those that did not endorse STREGA [139]. This project did not include an assessment of STROBE's impact on completeness of reporting but all of endorsement data and the observational study corpus is open source for other researchers to use [140].



Furthermore, the search strategies are readily available and replicable such that the study time period can be continually extended if desired (see Additional File 1: Ovid MEDLINE Search Strategies).

The work detailed in this chapter provided some evidence for how the STROBE's content was perceived by extension authors and how the extensions introduced new concepts, reinforced, or reiterated existing ones. This provided evidence that there are perceived gaps in STROBE, that certain information may need to be communicated more clearly, and that more detailed information is needed in certain areas. Information from the qualitative assessment of the extensions was valuable for informing the content that should be included in an educational intervention. For example, if an item on the STROBE checklist has many suggested additions across all of the extensions (especially overlapping suggestions), it may indicate a need to elaborate upon that item in greater detail or perhaps even to update the item in the original STROBE checklist. Qualitative coding highlighted important field-specific information that should not be forgotten and also identified topics that need further elaboration and guidance.

In addition, the acceptance of STROBE and the extensions is also an important contextual factor as it is a key communication channel for conveying the importance of complete reporting to authors. Weak phrasing (i.e., suggestions to use RG rather than enforcements), paired with overall low endorsement rates, raise red flags concerning implementation and dissemination strategies for reporting guidelines.



# The STROBE extensions: Protocol for a qualitative assessment of content and a survey of endorsement

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## The STROBE extensions: protocol for a qualitative assessment of content and a survey of endorsement

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# **BMJ Open** The STROBE extensions: protocol for a qualitative assessment of content and a survey of endorsement

Melissa K Sharp,<sup>1,2</sup> Ana Utrobičić,<sup>3</sup> Guadalupe Gómez,<sup>4</sup> Erik Cobo,<sup>4</sup> Elizabeth Wager,<sup>3,5</sup> Darko Hren<sup>1</sup>

#### ABSTRACT

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Correspondence to Ms. Melissa K Sharp; msharp@unist.hr **Introduction** The STrengthening the Reporting of OBservational studies in Epidemiology (STROBE) Statement was developed in response to inadequate reporting of observational studies. In recent years, several extensions to STROBE have been created to provide more nuanced field-specific guidance for authors. The content and the prevalence of extension endorsement have not yet been assessed. Accordingly, there are two aims: (1) to classify changes made in the extensions to identify strengths and weaknesses of the original STROBE checklist and (2) to determine the prevalence and typology of endorsement by journals in fields related to extensions.

Methods and analysis Two independent researchers will assess additions in each extension. Additions will be coded as 'field specific' (FS) or 'not field specific' (NFS). FS is defined as particularly relevant information for a single field and guidance provided generally cannot be extrapolated beyond that field. NFS is defined as information that reflects epidemiological or methodological tenets and can be generalised to most, if not all, types of observational research studies. Intraclass correlation will be calculated to measure reviewers' concordance. On disagreement, consensus will be sought. Individual additions will be grouped by STROBE checklist items to identify the frequency and distribution of changes. Journals in fields related to extensions will be identified through National Library of Medicine PubMed Broad Subject Terms, screened for eligibility and further distilled via Ovid MEDLINE® search strategies for observational studies. Text describing endorsement will be extracted from each journal's website. A classification scheme will be created for endorsement types and the prevalence of endorsement will be estimated. Analyses will use NVivo V.11 and SAS University Edition. Ethics and dissemination This study does not require ethical approval as it does not involve human participants. This study has been preregistered on Open Science Framework.

#### **INTRODUCTION**

The STrengthening the Reporting of OBservational studies in Epidemiology (STROBE) Statement was developed in 2007 in response to the pervasiveness of inadequate reporting of observational studies. STROBE provides a checklist of items that serve as a reference

#### Strengths and limitations of this study

- Our systematic approach to qualitatively assess the content of the additions made in the STrengthening the Reporting of OBservational studies in Epidemiology extensions provides a comprehensive overview of the types of changes made and can identify redundancies and problem areas.
- Our method involves standardised search strategies in Ovid Medline, designed to capture a representative sample and circumvent issues of subjectivity in the identification of eligible journals.
- This study will create an open source corpus of recent observational studies spanning seven fields which future researchers can use to assess completeness of reporting or other topics of interest.
- The bibliometric aspect of this study only focuses on seven extensions and fields so results are not generalisable to other studies.

for how to report sufficient information for observational research involving cohort, case–control and cross-sectional studies.<sup>1</sup> The guidelines have been endorsed by the International Committee of Medical Journal Editors (ICMJE) and the accompanying checklist is sometimes explicitly used as a requirement for manuscript submission.<sup>2</sup> However, there is no standard method of endorsement by journals and little is known about the most effective ways to apply the guidelines in practice.<sup>3–5</sup>

Regarding the reporting of clinical trials, requiring a completed Consolidated Standards of Reporting Trials (CONSORT) checklist on submission of a manuscript has been shown to lead to improvements in reporting.<sup>6</sup> However, some journals do not want to take responsibility for guideline enforcement and many overlook non-adherence to guidelines; editors have expressed beliefs that their journal's current policies are adequate or that they fear losing authors to other journals that have less strict requirements for publication.<sup>7–9</sup> Editors may also be unaware of the existence of guidelines, as demonstrated by low endorsement rates by journals in dentistry,<sup>10</sup> veterinary medicine<sup>7</sup> and urology.<sup>11</sup> On the other hand, the evidence for the endorsement of STROBE is also mixed. Endorsement was not shown to be associated with better reporting for items related to confounding, regardless of strength.<sup>12</sup>

Several field-specific extensions to STROBE have been designed in recent years in an effort to promote complete reporting, provide more nuanced guidance for authors and perhaps address editor's concerns that STROBE is not focused enough for their journal. Extensions for other reporting guidelines are common; however, the creation of extensions for STROBE seems to outpace those for other reporting guidelines such as the CONSORT.<sup>13</sup> Since the publication of STROBE in 2007, 13 extensions have been published and indexed by the Enhancing the QUAlity and Transparency Of health Research (EQUATOR) Network, an international collaboration that promotes transparent and accurate reporting and indexes reporting guidelines.<sup>14</sup> In contrast, CONSORT was first published in 1996, updated in 2001 and further revised in 2010, yet only 17 extensions have been published during that period.<sup>15</sup> The reason behind the difference in the pacing of publications of extensions is unclear. Perhaps the concept of field-specific extensions to reporting guidelines was pioneered by CONSORT, thus making the idea more commonplace for subsequent reporting guidelines. Alternatively, the complexity of the types of observational research studies may require more guidance due to the wide variety of methods employed in observational studies. Regardless of the reasoning, it is evident that authors are still perceiving a need to provide more guidance on how to report information about their studies. However, until now, many of these initiatives have not been evaluated.

Extensions to STROBE offer a potential new avenue for promoting more complete reporting but their use has been largely unassessed and, similar to STROBE, they may face implementation and usage problems.<sup>37</sup> Being intended as general guidelines for observational studies, STROBE should include necessary information that is sufficient to most observational studies. For some fields, however, STROBE guidelines may not be sufficient due to specific requirements within the field. This gap is then covered by an extension for that field. However, when extensions include non-specific guidance that can be extrapolated to most observational studies (eg, details about participants, settings, confounders, follow-up, biases or any other general epidemiological constructs), it suggests potential deficiencies in STROBE checklist. If the content is already in STROBE, extension authors may have thought that it was not clearly communicated, or that it is necessary to include it in the checklist instead of being only in the explanation and elaboration document. While, if the content is not already in STROBE, extension authors may have identified a gap or insufficiency which should be considered as an addendum to STROBE. Therefore, by identifying non-specific or redundant

guidance suggested in the STROBE extensions, we will be able to identify perceived gaps and deficiencies in the current STROBE checklist and potentially reduce future waste in the process of extension creation.

A perceived lack of confidence in reporting guidelines can impact journal editors' willingness to endorse reporting guidelines. Currently, it is unclear if and how journals are encouraging or requiring authors to use STROBE extensions. As journals are key players influencing the use and uptake of extensions, the prevalence and typology of extension endorsement is needed to understand the variety of methods employed to encourage transparent reporting. Data collected from this study can later be used as the groundwork for an evaluation of the impact of endorsement on the completeness of reporting.

#### Aims

The objectives of this study are twofold. First, to qualitatively assess and classify the changes made in the extensions to help to identify the strengths and weaknesses of the original STROBE checklist; this will identify potential problem areas or deficiencies conveyed in extension additions. Second, we will estimate the prevalence of endorsement in journals that publish observational studies from extension-related fields and create an endorsement typology to provide a finer detailed view of the promotion of the STROBE extensions.

#### METHODS AND ANALYSIS

#### Qualitative assessment and analysis

The main focus of this phase will be on coding the additions that are made in each extension. Coded additions will help to identify the strengths, weaknesses and redundancies conveyed in the STROBE extensions to provide guidance for modifications to the original STROBE checklist and to identify target areas for future educational interventions.

We will assess the content of 13 STROBE extensions which were identified through the EQUATOR Network website as well as through a PubMed search for STROBE-related publications. Two independent reviewers (DH, MKS) will code the additions made in each STROBE extension; disagreement will be resolved by consensus. Each subitem on an extension that is attached to a STROBE checklist item will be coded individually by the relevant content area (eg, item five subitem additions a, b and c will be counted and coded as three separate items). Each subitem will also be coded as 'field specific' (FS) or 'not field specific' (NFS). FS is defined as information that is particularly relevant for a single field and guidance provided cannot be generalised beyond that particular extension's field. Items which note phrases such as 'including,' 'specifically,' 'for example' and 'eg,' followed by a field-specific example, generally are considered to be field specific as these items are adding additional information specific to a certain topic area. NFS is defined as information that reflects general

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Table 1         Extensions eligible for assessment				
Abbreviation	Title/description	Publication date		
STREGA <sup>4</sup>	STrengthening the REporting of Genetic Association Studies	3 February 2009		
STROBE-EULAR <sup>28</sup> *	A EULAR extension of STROBE guidelines	4 June 2010		
STROBE-ME <sup>29</sup>	STrengthening the Reporting of OBservational studies in Epidemiology–Molecular Epidemiology	24 October 2011		
STROME-ID <sup>30</sup>	Strengthening the Reporting of Molecular Epidemiology for Infectious Diseases	13 March 2014		
STROBE-RDS <sup>31</sup>	Strengthening the Reporting of Observational Studies in Epidemiology for Respondent-Driven Sampling studies	1 May 2015		
RECORD 32	REporting of studies Conducted using Observational Routinely collected health Data Statement	6 October 2015		
STROBE-AMS <sup>33</sup>	Strengthening the Reporting of Observational Studies in Epidemiology for AntiMicrobial Stewardship	19 February 2016		

\*This extension does not have an official acronym. For simplicity's sake, this will be used.

RECORD, REporting of studies Conducted using Observational Routinely-collected health Data; STREGA, STrengthening the Reporting of Genetic Association Studies; STROBE, Strengthening the Reporting of Observational Studies in Epidemiology; STROBE-AMS, STROBE-AntiMicrobial Stewardship; STROBE-EULAR, STROBE-European League Against Rheumatism; STROBE-ID, Infectious Diseases; STROBE-Me, STROBE-Molecular Epidemiology; STROBE-RDS, STROBE-Respondent-Driven Sampling studies.

epidemiological or methodological tenets and can be extrapolated to most, if not all, types of observational research studies.

For the subjective assessments of the field-specific or not field-specific nature of the additions (rated as binary yes or no), intraclass correlation (ICC) will be used to assess the inter-rater reliability (IRR). The ICC for the two raters will be calculated for ratings across all 13 extensions that involve the subjective assessment of an item as FS or not. This method was chosen because ICC does not take an all-or-nothing approach to agreement but rather it 'incorporates the magnitude of disagreement to compute IRR estimates'.<sup>16</sup> Descriptive statistics such as counts, means and percentages will be given.

#### **Endorsement survey**

#### Eligibility criteria

Extensions to the STROBE guidelines were identified through the EQUATOR Network website as well as through a search on PubMed. Extensions are eligible for assessment if at least 1 year has passed since publication as this allows for some time for endorsement and implementation. In the case of multiple publications of an extension, the earliest publication/availability date will be used to determine eligibility. As of 1 March 2017, eligible extensions are detailed in table 1, while ineligible extensions are detailed in table 2.

#### Identification of journals

Journals in fields related to extensions will be identified using the National Library of Medicine (NLM) catalogue which contains, among other things, 'biomedical and health-related life sciences journals' indexed in Medline. As of March 2017, there are over 5600 journals indexed.<sup>17</sup> This database was chosen for two primary reasons: (1) broad subject terms are used which allows for easy identification and segmentation of research fields for journals and topic areas for articles and (2) the segmentation of other search engines, namely Clarivate Analytics Web of Science Journal List,<sup>18</sup> did not clearly align with

Table 2         Extensions not eligible for assessment				
Abbreviation	Title/description	Publication date		
MARE-S <sup>34</sup>	Medical Abortion Reporting of Efficacy-STROBE	23 April 2016		
STROBE-NUT <sup>35</sup>	Strengthening the Reporting of Observational Studies in Epidemiology-NUTritional epidemiology	7 June 2016		
ROSES-I <sup>36</sup>	CONSISE statement on the REporting of SEroepidemiologic Studies for influenza	17 July 2016		
STROBE-SBR <sup>37</sup>	Strengthening the Reporting of Observational Studies in Epidemiology for Simulation-Based Research	26 July 2016		
STROBE-NI <sup>38</sup>	Strengthening the Reporting of Observational Studies in Epidemiology for Newborn Infection	13 September 2016		
STROBE-Vet <sup>39</sup>	Strengthening the Reporting of Observational Studies in Epidemiology-Veterinary	1 November 2016		

MARE-S, Medical abortion reporting of efficacy; STROBE-NI, Strengthening the Reporting of Observational Studies in Epidemiology for Newborn Infection; STROBE-NUT, STROBE-Nutritional Epidemiology; ROSES-I, CONSISE statement on the reporting of Seroepidemiologic Studies for influenza; STROBE-SBR, STROBE-Simulation-based research; STROBE-Vet, STROBE-Veterinary.

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Table 3 Broad subject	t terms
STROBE Extension	Broad subject term(s)
STREGA	Genetics, genetics, medical
STROBE-EULAR	Rheumatology
STROBE-ME	Molecular biology
STROME-ID	Molecular biology, anti-infective agents
STROBE-RDS	Public health
RECORD	Health services, health services research
STROBE-AMS	Anti-infective agents, drug therapy

RECORD, REporting of studies Conducted using Observational Routinely-collected health Data; STREGA, STrengthening the REporting of Genetic Association Studies; STROBE, Strengthening the Reporting of Observational Studies in Epidemiology; STROBE-AMS, STROBE-AntiMicrobial Stewardship; STROBE-EULAR, STROBE-European League Against Rheumatism; STROBE-ID, Infectious Diseases; STROBE-ME, STROBE-Molecular Epidemiology; STROBE-RDS, STROBE-Respondent-Driven Sampling studies.

extension fields and would result in more overwhelming searches with less certainty that potentially eligible journals would be identified.

Journals will be identified using the following search string in the NLM catalogue: pubmed ('Broad subject terms'). If an extension reports search terms in their publication, these will be considered as a starting point. All search strategies were developed in collaboration with a medical librarian. Further details listing the individual broad subject terms used for each extension are detailed in table 3.

#### Screening

Journals will be manually screened to confirm that they publish in English, are in a relevant format (eg, not a textbook, magazine, etc) and are currently publishing. From the remaining list of journals that are indexed in Medline, search strategies will be used to identify observational studies in the relevant topic areas (see online supplementary file 1). The filter for observational studies is a combination of a study design search filter for cohort and case–control studies by BMJ Evidence Centre information specialists, Fraser *et al*'s work on identifying observational studies in surgical interventions and consultations with a medical librarian.<sup>1920</sup>

From the remaining list of journals that publish observational studies, FS search strategies (detailed in online supplementary file 1) will be used. Extensions were used as a starting point and extant systematic reviews provided additional guidance, particularly for RECORD and STROBE—AntiMicrobial Stewardship (STROBE-AMS).<sup>21 22</sup> In the case of European League Against Rheumatism, a combination approach will not be used as this is the only extension where the broad subject term is the exact focus of the extension; the search strategy for observational studies will still be used.

The results of the Ovid Medline FS and observational search strategies will be compared with the list of journals that the search was run on to determine inclusion and exclusion. This combination approach will be used for several reasons. First, journal information from NLM is given in more structured manner and allows for easy matching between sets with overlapping Broad Subject Terms. For example, both STROBE-AMS and Strengthening the Reporting of Molecular Epidemiology for Infectious Diseases (STROME-ID) use the term 'anti-infective agents' while both STROBE-ME and STROME-ID use 'molecular biology.' This approach is also less resource intensive and allows us to more easily identify how many journals in each field publish observational studies, thus establishing the extent and importance of the issue.

#### Data extraction

Eligible journals and their websites will be searched exhaustively for any mention of STROBE extensions in their instructions for authors, guidelines for reviewers, other guidance documents or ethical policies. Data will be extracted by the first author (MKS). To inspect reliability, another researcher (DH) will extract data from 10% of the sample and agreement will be calculated. Primary data sources (ie, website pages) will be downloaded in pdf format and relevant text describing guideline endorsement will be extracted and coded into a standard data extraction sheet in Excel. Although STROBE and its extensions are the main focus of this investigation, we will also collect information about endorsement of other common guidelines such as CONSORT, Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA), ICMJE's Recommendations for the Conduct, Reporting, Editing and Publication of Scholarly work in Medical Journals and mentions of organisations like EQUATOR and Committee on Publication Ethics.<sup>13 15 23-25</sup> This information will be gathered to see if journals that endorse other reporting guidelines or ethical reporting guidance are more likely to endorse STROBE or an extension.

Altman and Hopewell's classification schema will be used as a starting point for the development of a typology of endorsement for STROBE and extensions.<sup>6 26 27</sup> The initial approach will be to codify endorsements into several categories of ranging from active, passive and not endorsing. Some examples include a requirement of a completed checklist with manuscript submission (eg, active), a suggestion that authors 'should' reference or follow a specific guideline (eg, passive strong), a vague suggestion that author should adhere to reporting guidelines (eg, passive moderate), a vague suggestion that authors should adhere to certain standards which include reference to reporting guidelines (eg, passive weak) or no explicit mention at all (eg, not endorsing).

In addition to information regarding support for STROBE and its extensions, general information about the journal such as impact factor, publisher and contact information for the editorial offices will be collected. For 6

the purposes of future analyses focused on completeness of reporting, it will also be noted if journals have recently launched and have not been publishing for at least 2 years prior to the publication of its related extension; this will ensure the ability to establish baseline data on the completeness of reporting. For example, STREGA was published in 2009, therefore journals must have begun publishing by 2007 to be included in latter assessments.

As publishers often provide additional resources for authors, we will collect information from the websites of publishers about their methods of endorsement. Endorsement from publishers will be considered to be indirect methods of support as they require significant effort on the part of the user seeking the information. Information communicated directly through the journal's website will be considered to be direct if it is supplied in immediately available resources to authors.

#### Statistical analyses

Endorsement, types of endorsement and journal characteristics (eg, Impact factor, publisher) will be expressed using descriptive statistics such as counts, means/medians and percentages. For analyses comparing two binary variables (ie, endorsement of extensions and endorsement of other reporting guidelines), unadjusted ORs and their associated 95% CIs will be conducted. Differences in impact factors between endorsing and non-endorsing journals will be assessed with the Wilcoxon test of ranks, equivalent to the c-stat, c-index or area under the receiver operating characteristic curve. All CIs will be provided at the two-sided 95% level.

#### DISCUSSION

An evaluation of the extensions provides a deeper understanding of content areas that are adequately detailed or in need of elaboration. By identifying the content areas that authors have difficulties with, the groundwork will be laid for an assessment into how authors currently use and understand STROBE and what difficulties they encounter with its implementation. This study will provide us with potential hypotheses for future survey for authors, focused both on the perceived sufficiency of STROBE and the extensions as this could be a barrier to use. For example, if we find non-specific additions in parts of STROBE, we may focus on those parts when inquiring authors' opinions about adequacy of STROBE. The qualitative assessment will also allow us to identify key areas (eg, particular sections of the methods, results, conclusion) that may be commonly misunderstood to specifically probe authors about these points.

Results from this study will also provide estimates of the frequency and typology of endorsement. This dataset will allow journals to be targeted to promote guideline usage and will establish a groundwork for follow-up studies on attitudes related to endorsement of STROBE and its extensions. Perhaps most importantly, this study will provide the foundation for assessing the impact that endorsement has on the completeness of reporting. The data collected through this study will generate important insights for the design of future studies such as feasibility or pilot studies to estimate the effects of endorsement. Perceived lack of tangible benefit due to a weak evidence base can be a major barrier to guideline use. Testing a relationship between endorsement and an increase in completeness of reporting can provide the much-needed data to address sceptic's concerns about the tangible value of supporting STROBE and its extensions.

This study will solidify the scope of the problem of insufficient support and use of STROBE extensions, detail variability in endorsement typology and establish data for future studies focused on the effects of endorsement on completeness of reporting and attitudes towards STROBE and its extensions.

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**Competing interests** EW is a Fellow of the UK EQUATOR Centre. This is an unpaid position but she has been paid to run training courses associated with the EQUATOR Network, and by other organisations, which promote the use of reporting guidelines such as STROBE. The EQUATOR Network is also a member of the Methods in Research on Research Network, which MKS, DH, EW, LG and EC are members. MKS has a placement with the EQUATOR Network as part of her doctoral studies. The other authors declare that they have no competing interests.

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## The STROBE extensions: Considerations for development

## Citation

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Assumption (b) enumerated by Shahn is that "the individual level outcome model at each person-time is a linear logistic regression in exposure, calendar time, and the set of measured and unmeasured intrinsic covariates that influence the exposure and/or outcome."1 While the trend-in-trend design does require the outcome to be logistic with respect to some specified function of covariates, that function does not need to be linear, even though that was the functional form used in the original paper.<sup>2</sup> Any specified function will suffice to derive the population-average model that is obtained by integrating out the set of measured and unmeasured covariates in the individual-level outcome model.

Assumption (g) enumerated by Shahn is that "there are no calendar time trends in confounders within strata."1 This is stated slightly more strictly than is actually needed. In truth, the design is unbiased as long as any trends in the prevalence of measured or unmeasured causes of the outcome are equal across strata defined by the cumulative probability of exposure, and unmeasured confounders over time can be modeled as depending on time-invariant latent variables and independent, identically distributed time-varying variables. In the eAppendix; http://links.lww.com/ EDE/B380, we rigorously justify this relaxation and prove the unbiasedness of the trend-in-trend design under this less restrictive assumption. Moreover, Ji et al<sup>2</sup> presented simulated scenarios (Table 3) in which covariates were serially correlated, and the results remained unbiased.

We would therefore propose a friendly amendment to the list of assumptions underlying the trend-intrend design, as follows: (a) there is a constant instantaneous subject-specific treatment effect, which is the estimand; (b) the individual-level outcome model at each person-time is a logistic regression with respect to some specified function exposure, calendar time, and the set of measured and unmeasured factors that influence the exposure and/or outcome; (c) the outcome model given exposure, calendar time, and stratum is a logistic regression that is linear in exposure, calendar time, and an exposure-stratum interaction; (d) there is a strong populationlevel calendar time trend in treatment prevalence; (e) intrinsic covariates at baseline and calendar time have a multiplicative effect on probability of exposure; (f) the outcome is rare; and (g) any time trends in the prevalence of confounders are equal across strata of the cumulative probability of exposure. As noted by Shahn, assumptions (c), (d), and (f) can be assessed empirically for any given application of the method.

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## DPEN The STROBE Extensions Considerations for

## Development

#### To the Editor:

A decade after the publication of the STROBE (STrengthening the Reporting of Observational studies in Epidemiology) Statement, we use this anniversary as a time to reflect on STROBE's impact and future avenues for addressing the incomplete reporting of observational studies.<sup>1,2</sup> As an aid to authors, the STROBE Statement and an explanation and elaboration article were published in 2007 with generic guidance for reporting cohort, case-control, or cross-sectional studies. Subsequently, several extensions to STROBE were published, some including authors involved in the original Statement, to provide more nuanced and tailored guidance.<sup>3–15</sup> In principal, these

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- D.G.A. is a co-founder of the EQUATOR Network and the Director of the UK EQUATOR Centre. He has been involved in the creation of several reporting guidelines, such as Consolidated Standards of Reporting Trials (CONSORT), Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA), STrengthening the Reporting of OBservational studies in Epidemiology (STROBE), and REporting recommendations for tumour MARKer prognostic studies (REMARK). The EQUATOR Network is also a member of the Methods in Research on Research Network, which D.G.A., D.H., and M.K.S. are members of. M.K.S. has a placement with the EQUA-TOR Network as part of her doctoral studies.
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Section on STROBE Checklist	STROBE Checklist Item	Extensions Containing Additions	Total Items Added	Field-Specific Items, No. (%)
Title/abstract	1. Title/abstract	8	11	9 (82)
Introduction	2. Background/rationale	5	6	5 (83)
	3. Objectives	5	6	5 (83)
Methods	4. Study design	5	19	18 (95)
	5. Setting	8	21	18 (86)
	6. Participants	12	29	17 (59)
	7. Variables	11	28	19 (68)
	8. Data Sources	10	20	12 (60)
	9. Bias	5	5	1 (20)
	10. Study size	3	5	2 (40)
	11. Quantitative variables	4	6	5 (83)
	12. Statistical methods	10	44	24 (55)
Results	13. Participants	9	18	14 (78)
	14. Descriptive data	10	17	11 (65)
	15. Outcome data	4	11	7 (64)
	16. Main results	10	16	7 (44)
	17. Other analyses	6	8	3 (38)
Discussion	18. Key results	0	0	0 (0)
	19. Limitations	10	11	4 (36)
	20. Interpretation	3	3	2 (67)
	21. Generalizability	2	2	1 (50)
Other	22. Funding	0	0	0 (0)
	Other additions	8	12	2 (17)

TARIF 1	Qualitative	Assessment	of Extensions to	Checklist
IADLL I.	Quantative	Assessment		CHECKIISU

Two independent reviewers assessed additions in each extension and categorized them as field specific or nonspecific (Intraclass correlation coefficient = 0.92).

efforts are valuable, but inconsistencies may arise because extension production is not coordinated, and there is no clear guidance on their creation.

We qualitatively assessed the published STROBE extensions to identity perceived gaps and deficiencies in the current STROBE checklist and to detect nonspecific or redundant guidance. As detailed in the protocol,<sup>16</sup> as of 1 March2017, we found 13 STROBE extensions.<sup>3–15</sup> Collectively, there were 298 additions to the STROBE checklist (Table 1). Most additions were directly related to the field on which the extension was focused but, based on independent coding by two reviewers, over one third were not specific to the extension's field. Rather, they were general epidemiologic or methodologic tenets applicable to most observational research (e.g., details about potential confounders,

biases, etc.). The Methods section contained the most changed or added items, one third of which were nonspecific changes (Table 1).

Nonspecific additions were mainly in the following areas (Table 2):

- Participants, including sample size rationale, changes in exposure status, time points of assessment, and recruitment details;
- Potential confounders and biases;
- Subgroup and sensitivity analyses;
- Generalizability;
- · Ethics disclosure/approval; and
- Access to supplemental information (e.g., open source data, code, or protocols).

These results, highlighting nonspecific recommendations, complement previous research demonstrating particular problems with the reporting of bias, study size calculations, and subgroup and sensitivity analyses.<sup>17,18</sup> Nonspecific additions were of particular concern when they were found to be nearly identical to original STROBE checklist items (Table 3).

While the focused nature of the extensions varies widely, nonspecific additions could represent perceived gaps in content or indicate that information in the explanation and elaboration should be included in the checklist. Checklists provide valuable structure to research articles and serve as a reminder of what should be considered while writing. One cannot expect that all relevant epidemiologic or statistical information will be included; however, the trend of extensions adding general epidemiologic tenets points to a different reality.

The majority of additions made across the extensions were valuable, field-specific recommendations that experts in their respective disciplines determined necessary to report. However, nonspecific and redundant suggestions should not be ignored. EQUATOR (the Enhancing the QUAlity and Transparency Of health Research) Network guidance for guideline developers is a useful starting point to the process of *how* to develop an extension.<sup>19</sup> but more direction is needed in terms of what to report about the process. For example, why it was deemed necessary to duplicate existing items in different words or to add nonspecific information.

Erik von Elm conceived of reporting guidelines as life jackets, not strait jackets.<sup>20</sup> STROBE is not meant to be a strict and rigid list, hence why many authors have used it as a base for their own more focused extensions. However, redundant or nonspecific content additions may create confusion rather than help. STROBE is an "evolving document that requires continual assessment, refinement, and if necessary change."2 The adaptable nature of STROBE is indispensable to its successful implementation. Updating STROBE was discussed at a 2010 meeting,<sup>21</sup> but only minor revisions were identified, thus not justifying a new version of the guidelines; perhaps, this should now be reconsidered.

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#### **TABLE 2.** Examples of Nonspecific Additions Added in STROBE Extensions

"Indicate the time points for assessment of serial follow-up"

- "Provide reasons (epidemiological and clinical) for choosing matching criteria"
- "Explain the length of time planned to follow participants for determination of outcomes"
- "Report results of any adjustments for multiple comparisons"
- "Describe the intervention/exposure with sufficient detail to permit replication"
- "Describe any unique restrictions placed on the study sample size"
- "Report any sensitivity analysis (e.g., exclusion of misreporters or outliers) and data imputation, if applicable"
- "Describe informed consent and approval from ethical committee(s). Specify whether samples were anonymous, anonymized or identifiable"
- "Authors should provide information on how to access any supplemental information such as the study protocol, raw data, or programming code"
- "Describe the main limitations of the data sources and assessment methods used and implications for the interpretation of the findings"

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#### **TABLE 3.** Examples of Redundant Suggestions

Extension	<b>Corresponding Original STROBE Item</b>
STROBE-VET (Veterinary research) <sup>15</sup>	1 Indicate the study's design with a commonly used term in the title or the abstract
STROBE-EULAR (Rheumatology) <sup>5</sup>	<ul><li>6a) Give the eligibility criteria and the sources and methods of selection of participants. Describe methods of follow-up</li><li>(b) For matched studies, give matching criteria and number of exposed and unexposed</li></ul>
STROBE-RDS (Response- Driven Sampling) <sup>14</sup>	7 Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria if applicable
STROBE-AMS (Antimicrobial Stewardship) <sup>4</sup>	7 Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria if applicable
STROBE-SBR (Simulation- Based Research) <sup>10</sup>	8 For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group Explanation and Elaboration:report the findings of any studies of the validity or reliability of assessments or measurements, including details of the auforement store drud that use used
	Extension STROBE-VET (Veterinary research) <sup>15</sup> STROBE-EULAR (Rheumatology) <sup>5</sup> STROBE-RDS (Response- Driven Sampling) <sup>14</sup> STROBE-AMS (Antimicrobial Stewardship) <sup>4</sup> STROBE-SBR (Simulation- Based Research) <sup>10</sup>

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Re: Associations Between Childhood Thyroid Cancer and External Radiation Dose After the Fukushima Daiichi Nuclear Power Plant Accident

#### To the Editor:

Ohira et al<sup>1</sup> examined the association between childhood thyroid cancer and external radiation dose in Fukushima Prefecture. They concluded that "followup surveys should be recommend for several years before any conclusions can be drawn." In this letter, we make three points that must be addressed if recommendations for action are to be based on reliable evidence.

First, Ohira et al.<sup>1</sup> estimated individual external doses for defining exposure levels. However, the effect of radiation on thyroid cancer incidence is far more potent from internal radiation by radioactive iodine than from external exposures.<sup>2</sup> Furthermore, it has been demonstrated that the dispersion of radioactive iodine is different from that of cesium, the main source of external radiation.<sup>3</sup> The dispersion of radioactive iodine was toward the south, while cesium was dispersed toward the northwest. Therefore, external radiation

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exposure estimation tends to have a problem of nondifferential exposure misclassification, which introduces bias toward the null.<sup>4</sup> Ohira et al.<sup>1</sup> corroborate this pattern of dispersion. Yet, in their letter, they suggest that the thyroid cancer excess is attributable to internal radiation rather than to external sources.

Second, Ohira et al.<sup>1</sup> did their analysis using only an internal comparison within Fukushima Prefecture. In March 2011, radioactive iodine was detected not only in most of Fukushima Prefecture but also around the Tokyo metropolitan area. When exposures are so widely dispersed, to estimate the exposure effect validly, researchers should also compare disease rates in the target population with as uncontaminated a control group as possible. As indicated in our article<sup>5</sup> and in the related follow-up correspondence,<sup>6</sup> more valid external comparisons were indeed possible.

Third, Ohira et al.<sup>1</sup> used only the first round of screening. It is well known that some researchers<sup>7,8</sup> refuted the "screening effect" hypothesis of excess thyroid cancer after the Chernobyl accident to end the controversy about the relationship between that accident and excess thyroid cancer.<sup>9</sup> In Fukushima, the large excesses that were detected in the second and third rounds of screening also refute the hypothesis.<sup>10</sup>

To address these points, we have reported our latest findings at successive annual conferences of the International Society for Environmental Epidemiology (ISEE) since 2013. Finally, the ISEE Executive wrote a letter expressing some of the concerns noted here to the prefecture in 2016.<sup>11</sup> To date, no response has been received.

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## A cross-sectional bibliometric study showed suboptimal journal endorsement rates of STROBE and its extensions

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### **ORIGINAL ARTICLE**

### A cross-sectional bibliometric study showed suboptimal journal endorsement rates of STROBE and its extensions

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### Abstract

**Objectives:** The STrengthening the Reporting of Observational Studies in Epidemiology (STROBE) statement provides guidance on reporting observational studies. Many extensions have been created for specialized methods or fields. We determined endorsement prevalence and typology by journals in extension-related fields.

**Study Design and Setting:** A published protocol defined search strategies to identify journals publishing observational studies (2007–2017) across seven fields relating to STROBE extensions. We extracted text regarding STROBE, seven STROBE extensions, reporting guidelines Consolidated Standards of Reporting Trials and Preferred Reporting Items for Systematic Reviews and Meta-Analyses, and transparent reporting documents/groups: International Committee of Medical Journal Editors, Committee on Publication Ethics (COPE), and the Enhancing the QUAlity and Transparency Of health Research (EQUATOR) networks. Relationships between endorsing STROBE, endorsing other guidelines, and journal impact factor were tested using chi square and Mann-Whitney tests.

**Results:** Of 257 unique journals, 12 (5%) required STROBE on submission, 22 (9%) suggested use, 12 (5%) recommended a "relevant guideline," 72 (28%) mentioned it indirectly (via editorial policies or International Committee of Medical Journal Editors recommendations), and 139 (54%) did not mention STROBE. The relevant extension was required by 2 (<1%) journals; 4 (1%) suggested use. STROBE endorsement was not associated with journal impact indices but was with Consolidated Standards of Reporting Trials and Preferred Reporting Items for Systematic Reviews and Meta-Analyses endorsements.

**Conclusion:** Reporting guideline endorsement rates are low; information is vague and scattered. Unambiguous language is needed to improve adherence to reporting guidelines and increase the quality of reporting. © 2018 The Authors. Published by Elsevier Inc. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/).

Keywords: Observational studies; Epidemiologic research design; Guidelines as topic; Information dissemination/methods; STROBE; Reporting guidelines

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Ethics approval and consent to participate: Not applicable. There were no human participants in this study. All information is publicly available.

Research data: The preregistration, study materials, and data sets generated and/or analyzed during the present study are available on the Open Science Framework (Sharp, M. K. [2017, October 11]. Use of reporting guidelines as an educational intervention for teaching research methods and writing. http://doi.org/10.17605/OSF.IO/2FKNY). The final data sets supporting the conclusions of the research proposed in this protocol will also be available in the Zenodo repository in the Methods in Research on Research (MiRoR) community (https://zenodo.org/communities/miror/). Conflict of interest: E.W. is the former Chair of COPE and a Fellow of the UK Equator Network Center—these are unpaid positions. She also provides training which includes use of reporting guidelines. The EQUATOR Network is also a member of the Methods in Research on Research Network (MiRoR), which includes M.K.S., D.H., E.W., L.G., and D.G.A. M.K.S. had a placement with the EQUATOR network and focuses on STROBE as a part of her doctoral research. D.G.A. was a cofounder of the EQUATOR network and director of the UK EQUATOR Center.

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### What is new??

### Key findings

• We identified ambiguities in language of endorsement and proposed a classification of endorsement to be used for future studies focused on endorsement. We established that endorsement rates of STROBE and its extensions are low across seven fields.

### What this adds to what was known?

• This is the first study to our knowledge that assessed the endorsement of several STrengthening the Reporting of Observational Studies in Epidemiology extensions.

# What is the implication and what should change now?

• Journal editors should consider endorsing relevant guidelines and the placement (i.e., in the author instructions) and strength of the endorsement(s). Researchers need to consider their definitions of endorsement and look for information in more places than just author guidelines. Open source data sets encompassing journals included in our study and the relevant source and endorsement coding data are available for use.

### 1. Introduction

The STrengthening the Reporting of Observational Studies in Epidemiology (STROBE) statement was created in 2007 to provide guidance on how to completely and transparently report the results of cross-sectional, case-control, and cohort studies [1]. In the decade since STROBE's creation, many field-specific extensions [2–14] have been published to provide more nuanced advice for particular methods (e.g., response-driven sampling) or fields (e.g., rheumatology). Through instructions to authors and editorial policies, journals can endorse or support reporting guidelines by requiring authors to submit completed check-lists or by simply suggesting their use.

Although journals wield much power in this regard, the impact of STROBE endorsement is unclear. In one study, endorsement had no effect on the reporting on confounding [15], and in another, there was insufficient evidence to determine the relationship between endorsement and the completeness of reporting [16]. However, journals that endorsed the extension for genetic association studies (STrengthening the REporting of Genetic Association Studies [STREGA]) had more complete reporting than those that did not [17].

If editors lack confidence in the impact of reporting guidelines, they will be less willing to endorse them. Research shows that a lack of endorsement could be related to editor's views that their current policies are already sufficient and that stricter requirements could result in a loss of submissions with authors submitting to journals with less-stringent rules [8,18,19]. Editorial staff could also be unaware of the existence of guidelines, as demonstrated by low endorsement rates in dentistry (12.8%, n = 109 [20]), oncology and hematology (13.4%, n = 231 [21]), oncology only (33.3%, n = 21 [22]), otorhinolaryngology (60%, n = 5 [23]), pediatrics (4%, n = 69 [24]), urology, and nephrology (5.4%, n = 55 [18]). Other than Nevodic et al.'s study on endorsement of STRE-GA, which showed endorsement rates around 16% (29/180, [17]), to our knowledge, no other studies have evaluated the uptake of the STROBE extensions.

Further complicating the issue, when journals endorse reporting guidelines, the detail and strength of endorsement are extremely varied [19]. Language used in author guidelines ranges from requiring a completed checklist on submission to suggesting use of specific guidelines by name (i.e., Consolidated Standards of Reporting Trials [CONSORT], STROBE, and so forth), vague references to "appropriate" guidelines, or mentioning resources that encourage reporting guidelines, or endorsements should help eliminate ambiguous or meaningless language and identify the best phrasings to communicate endorsement most effectively.

A byproduct of the proliferation of STROBE extensions is a potential increase in awareness of the original checklist as these extensions reference the original. Despite this greater dissemination network, journals endorsing too many different reporting guidelines might cause confusion and actually weaken the impact of endorsement as an intervention to improve research reporting. Extensions offer targeted nuanced guidance written by experts in their respective fields; thus, they may be more useful to authors than STROBE. Authors need to identify the relevant reporting guideline for their study, so journals should provide tools targeted for the articles they publish [25].

As stated in our protocol [26], we aimed to assess endorsement of STROBE and seven extensions [2-6,10,12]. The other six extensions had been published for less than 1 year [7-9,11,13,14], so we excluded these to not bias results, allowing a 1 year time buffer for guideline endorsement. The included extensions focus on antimicrobial stewardship programs (STROBE-AMS), infectious disease molecular epidemiology (STROME-ID), molecular epidemiology (STROBE-ME), rheumatology (STROBE-EULAR), genetic association studies (STREGA), routinely collected health data (RECORD), and response-driven sampling (STROBE-RDS). In addition to establishing endorsement prevalence, we deductively analyzed language used and developed a classification schema to categorize variability in endorsement phrasing and identify potentially more effective methods of endorsement.

### 2. Methods

Detailed search methods were established a priori and can be found in the protocol [26]. We identified journals through targeted search strategies related to the scope of the extensions [26], considering only journals for which there is an appropriate STROBE extension. Broad subject terms (BSTs) from the National Library of Medicine provided structured targeting of topic areas. The BSTs used for each extension are shown in Table 3 in the protocol [26]. After downloading BST data, extraneous columns were removed, data sets were stacked when extensions had multiple BSTs, and results were deduplicated.

Next, eligibility criteria (English, currently publishing, periodical) were extracted from the National Library of Medicine journal listing, and journals were matched by "Entrez ID" to ensure that journals were indexed in MED-LINE. We then ran search strategies in Ovid to identify observational studies within the identified journals and combined this with field-specific search strategies (Results Detailed in Additional File 1). The search time period was restricted to 2 years before the relevant extension publication until July 2017 (protocol Table 1 [26]), when all searches were performed.

The initial data set contained over 94,000 abstracts, including nonobservational studies. Because it was not feasible to screen the entire collection, we scanned abstracts to identify if a journal published at least one observational study in a human population. We used the same screening process for field-specific search strategies. Inclusion criteria were modified slightly for two extensions: STROBE-AMS and STREGA. Articles specifically focused on antimicrobial stewardship programs were rare, so we broadened the scope

to include those focused on antibiotic resistance or antimicrobial/antibiotic use (e.g., in hospital settings or in a database). For genetic association studies, we excluded articles comparing statistical models, tests, or algorithms.

The websites of eligible journals were then systematically searched to extract data on endorsement. Publicly available (i.e., not needing account creation) author guidelines, peer reviewer guidance, editorial policies, and other relevant directions for authors were extracted using a standardized form. The entire journal pool was randomly ranked in Microsoft Excel and three random samples of 10% were used for (1) initial schema development; (2) refinement; and (3) extraction using the final schema on which inter-rater reliability (using Cohen's kappa coefficient) was calculated. Thus, 30% of the journal pool was extracted by two independent reviewers (R.T. and M.K.S.). The remaining 70% was extracted by R.T. and checked by M.S.; disagreements were resolved through discussion. If multiple forms of endorsement were mentioned (i.e., required and recommended in different sources), the strongest endorsement was used. Information was extracted from October 2017 to March 2018.

During schema development, it was decided to only code mentions of International Committee of Medical Journal Editors (ICMJE) if it was in reference to article writing; mentions in reference to conflicts of interest, authorship, or trial registration were not coded. Given the structure and length of the ICMJE recommendations, authors may be guided toward relevant sections of the document (i.e., roles and responsibilities of authors, contributors, reviewers, editors, publishers, and owners). Therefore, unless phrasing makes explicit mention of writing or guidelines, authors can interpret

#### Table 1. Screening journals

Screening stage	AMS	MEID	ME	EULAR	STREG	A RECOR	D RDS	Total <sup>a</sup>
Initial total <sup>a</sup>	299	445	413	101	349	747	818	3,172
Total ineligible	276	404	348	82	279	669	803	2,861
Manual screen excluded	143	155	143	50	113	400	519	1,523
Language	9	7	4	7	7	5	62	101
Out of date range	109	143	134	41	103	361	440	1,331
Format/access issues	25	5	5	2	3	34	17	91
MEDLINE excluded	29	49	47	21	80	117	115	458
Observational search excluded	57	147	138	11	75	132	91	651
Field-specific excluded	47	53	20	NA	11	20	78	229
Total eligible	23	41	65	17 <sup>c</sup>	71 <sup>b</sup>	78	15	310

Abbreviations: AMS, antimicrobial stewardship; ME, molecular epidemiology; EULAR, European league against rheumatism; STREGA, STrengthening the REporting of Genetic Association Studies; RECORD, REporting of studies Conducted using Observational Routinely collected health Data; RDS, respondent-driven sampling studies.

<sup>a</sup> Total counts include duplicate journals due to overlapping broad subject terms and topic areas of extensions. Initial total is after initial deletion of duplicates.

<sup>b</sup> Since the original search, one journal split into three and another stopped publishing. These changes were discovered during the final data extraction phase.

<sup>c</sup> Two journals had supplements already included in the pool.

ICMJE endorsement in a piecemeal manner and not always as instructions to follow the reporting guidelines section.

Regarding protocol deviations, we originally stated that we would also extract publisher data. During extraction, we determined that this information would add little value as it was often difficult to access and not extractable in a systematic manner. In addition, the protocol detailed a qualitative assessment of the content in the STROBE extensions. This complementary project deserved a separate discussion and thus results were published elsewhere [27].

### 2.1. Statistical analyses

We calculated counts and percentages for endorsement of STROBE and extensions. As data extraction created the endorsement schema, we did not establish a priori endorsement categories. We coded endorsement based on a deductive qualitative approach to detect nuances in phrasings. However, for statistical tests, the use of five categories (active strong, active weak, passive moderate, passive weak, and none) would be impractical, difficult to interpret, and the distinction would be statistically meaningless (examples shown in Table 2). Therefore, we grouped data to allow for better interpretations and for flexibility in judgments from readers/editors (i.e., "passive," a broader generous interpretation vs. "active," a more meaningful explicit endorsement). For analyses using dichotomous evaluations of STROBE endorsement, we grouped data as any endorsement, active or passive, and as active endorsement only. This dual dichotomization was used for testing associations with other reporting guidelines (i.e., CON-SORT and Preferred Reporting Items for Systematic Reviews and Meta-Analyses [PRISMA]), endorsement of COPE, and impact factor indices. The chi-squared test was used because we could not calculate unadjusted odds ratios for all tests due to zero-cell counts.

It was stated in the protocol that we would use journal impact factor (JIF) [26]. Due to variability in the size of fields and their potential impact on results, we decided to also use CiteScore Metrics from Scopus. We specifically used the Source Normalized Impact per Paper (SNIP), the measure of actual citations relative to citations expected for the serial's subject field, and the SCImago Journal Rank, a measure of weighted citations received by the

Table 2. Endorsement schema examples

Туре	Definition	Examples
Active strong	A requirement of a completed checklist with article submission (e.g., "must," "are required to")	Authors of articles reporting observational epidemiology studies should follow the STROBE guidelines (https:// www.strobe-statement.org/index.php?id=strobe-home) and complete the relevant checklist for the type of study they have conducted. The completed checklist should be supplied as part of the article submission process. (The Journal of antimicrobial chemotherapy) We require authors to follow available recommendations for different study designs. The examples include PRISMA for meta-analyses of randomized controlled trials, STROBE for reporting observational studies in epidemiology, CONSORT for randomized controlled trials (Journal of comparative effectiveness research)
Active weak	A suggestion that authors are "encouraged" or "should" reference or follow a specific guideline	Authors of other types of reports are encouraged to use relevant reporting guidelines, such as STROBE, PRISMA, and TREND. (Research in nursing and health) Authors are encouraged to adhere to recognized research reporting standards. The EQUATOR network collects more than 370 reporting guidelines for many study types, including for randomized trials: CONSORT, Observational studies: STROBE, Systematic reviews: PRISMA (Genetic epidemiology)
Passive (by proxy) moderate	A suggestion that author should adhere to "relevant" reporting guidelines	Weheartily encourage the authors to make sure that their articles report the studies in the most appropriate form as recommended by the corresponding reporting guideline. Check the one that fits your study type at the EQUATOR network webpage. (Rheumatology International)
Passive (by proxy) weak	References documents (e.g., ICMJE or editorial policies) which mention reporting guidelines	All authors of original work submitted to this journal should conform to the Uniform Requirements for Manuscripts Submitted to Biomedical Journals, prepared by the International Committee of Medical Journal Editors (ICMJE). (Scandinavian journal of rheumatology)
None	No mention of any reporting guidelines	Not applicable

serial. The nonparametric Wilcoxon rank test was performed for the 2016 JIF, 5-year JIF, SNIP, and SCImago Journal Rank, we visually represented results using the receiver operating characteristic curve. The area under the curve measures discrimination (e.g., the ability to correctly classify journals that use active or any endorsement of STROBE from those that do not when using IF as a classifier). Its value lies between 0.5 and 1; 0.5 denotes a bad classifier, and one denotes an excellent classifier. All confidence intervals are provided at the two-sided 95% level.

### 3. Results

After screening for field-specific observational studies, there were a total of 310 eligible journals (Table 1, Additional File 2). As there is overlap between fields, particularly for molecular epidemiology, infectious disease epidemiology, and genetic association studies, 257 of the 310 journals are unique. Accordingly, any analyses evaluating endorsement of STROBE, CONSORT, PRISMA, mentions of ICMJE, COPE, or EQUATOR use the unique journal pool data set to avoid double counting.

The inter-rater reliability, as assessed by Cohen's kappa coefficient, was 0.72, 0.24, 0.81, 0.92, 0.86, 0.34, and 0.60 for endorsement of STROBE, an extension, CONSORT, PRISMA, COPE, ICMJE, and EQUATOR, respectively. Disagreements were largely around ICMJE coding (e.g., specifying relationship to writing and not other topics like conflict of interest disclosure) and its relation to the coding of other items (e.g., EQUATOR is mentioned in ICMJE as is "relevant" reporting guidelines use). The endorsement schema, detailed in Table 2, established the categories and was applied to STROBE, the extensions, CONSORT,

and PRISMA. Uniform language appeared throughout sources with apparent clustering by publisher. All extracted text and source documents can be found in the open source data set [28]. Table 3 shows the prevalence of endorsement types for STROBE and all extensions.

Of the 257 unique journals, more than half (54%) did not endorse STROBE in any manner. When endorsement was active (13%), it was in author guidelines 94% of the time; when STROBE was required (i.e., active strong, 5%), it was always mentioned in author guidelines. Of the 310 journals in extension-related fields, 171 (55%) did not mention of relevant extensions. "By proxy" or passive endorsement represented most of the extension endorsements, requiring extra effort to find "relevant" guidelines. Of note, STRE-GA was mentioned by seven additional journals outside the genetic-specific journal pool, indicative of the growing popularity of genetic association studies. Active endorsement of STROBE was significantly associated with active endorsement of CONSORT, PRISMA, and COPE [ $\chi^2$  (1, n = 257) = 88.62;  $\chi^2$  (1, n = 257) = 109.43;  $\chi^2$  (1, n = 257) = 23.55; P < 0.001]. Any endorsement of STROBE was significantly associated with any endorsement of CONSORT and PRISMA [ $\chi^2$  (1, n = 257) = 175.61;  $\chi^2$  (1, n = 257) = 230.02; P < 0.001]. Any endorsement of STROBE was significantly associated with explicit references to COPE (i.e., present in website text and not in secondary documents),  $\chi^2$  (1, n = 257) = 59.69; P < 0.001.

ICMJE guidance was sometimes cited inappropriately, either as the outdated uniform requirements or as the current recommendations with no link (17%) (Table 4). Otherwise, it was not cited a majority of the time (62%). Of the other relevant guidance documents and organizations, COPE was the most frequently mentioned (explicit: 42%;

			Endorsement type		
Reporting guideline	Active strong n (%)	Active weak n (%)	Passive moderate n (%)	Passive weak <i>n</i> (%)	None <i>n</i> (%)
STROBE (257 <sup>a</sup> )	12 (5)	22 (8)	12 (5)	72 (28)	139 (54)
AMS (23)	0	0	9 (39)	9 (39)	5 (22)
ME-ID (41)	0	0	8 (19)	15 (37)	18 (44)
ME (65)	0	0	7 (11)	16 (25)	42 (64)
EULAR (17)	0	1 (6)	3 (18)	5 (29)	8 (47)
STREGA (71)	2 (3)	1 (1)	6 (9)	20 (28)	42 (59)
RECORD (78)	0	0	11 (14)	18 (23)	49 (62)
RDS (15)	0	0	1 (6)	7 (47)	7 (47)
CONSORT (257ª)	38 (15)	44 (17)	3 (1)	55 (21)	117 (46)
PRISMA (257ª)	24 (10)	29 (11)	8 (3)	62 (24)	134 (52)

Table 3. STROBE and Extension Endorsement

Abbreviations: STROBE, STrengthening the Reporting of Observational studies in Epidemiology; STROBE-AMS, STROBE antimicrobial stewardship; STROME-ID, STRO of Molecular Epidemiology for infectious diseases; STROBE-ME, STROBE-molecular epidemiology; RECORD, REporting of studies Conducted using Observational Routinely collected health Data; STREGA, STrengthening the REporting of Genetic Association Studies; STROBE-EULAR, STROBE-European League Against Rheumatism; STROBE-RDS, STROBE for Respondent-Driven Sampling studies; CONSORT, Consolidated Standards of Reporting Trials; PRISMA, Preferred Reporting Items for Systematic Reviews and Meta-Analyses.

<sup>a</sup> Number of unique journals.

Table 4. Mention of relevant groups and documents

Guidance documents or networks	
( <i>n</i> = 257)	n (%)
ICMJE <sup>a</sup>	
Yes, appropriate recommendation with link	55 (21)
Yes, appropriate recommendation with no link	3 (1)
Yes, not appropriate recommendation (i.e., requirements) with link	35 (14)
Yes, not appropriate recommendation (i.e., requirements) with no link	4 (2)
No mention of ICMJE	160 (62)
COPE	
Yes, explicit in text	105 (41)
Yes, explicit on site (e.g., standalone logo)	1 (<1)
Yes, mentioned in editorial policies	57 (22)
Yes, mentioned in ICMJE	23 (9)
No immediately apparent reference	71 (28)
EQUATOR	
Yes, link in text	30 (12)
Yes, no link	8 (3)
Yes, mentioned in reference document—editorial policies	29 (11)
Yes, mentioned in reference document—ICMJE	46 (18)
No mention anywhere	144 (56)

<sup>a</sup> Only coded in relation to article writing and preparation, not conflict of interest disclosure, authorship criteria, trial registration, and so forth.

by proxy: 31%). Standard language in author guidelines was common in relation to authorship disputes and qualifications, conflict of interest disclosures, and plagiarism. The mentions of EQUATOR network were primarily by proxy through editorial policies or the ICMJE documents (29%).

The median 2016 and 5-year JIFs were 2.47 (IQR 1.75, 3.73; n = 213) and 2.70 (IQR 1.94, 4.07; n = 208), respectively. The median SNIP for 246 journals was 0.90 (IQR .69, 1.18) and the SCImago Journal Rank (SJR) for 251 journals was 1.01 (IQR .60, 1.70; n = 252). Active or any endorsement of STROBE did not appear to be associated with 2016 JIF, 5-year JIF, SNIP, SJR (Fig. 1, Table 5). Because the values of the AUC ranged from 0.48 to 0.55, and all associated 95% confidence interval included 0.5, no impact factor indices were good predictors of endorsement of STROBE.

### 4. Discussion

As in previous studies, we found that overall endorsement rates of STROBE and other reporting guidelines were low [29]. Previous literature in dentistry, oncology and hematology, pediatrics, and urology and nephrology gives estimates in the range of 4.0-13.4% [18,20,21,24]. In our pool, only 13% of journals required or recommended STROBE and an extension was mentioned only 2% of the time. Most extensions did not have any previous research citing endorsement rates, and endorsement was extremely low or nonexistent in our pool of journals (0-6%). Our observed endorsement rate for STREGA (4%) was much lower than a previous estimate (16%) [17].

Mentions of reporting guidelines in general editorial policies seemed to be relatively frequent—between 22% and 28% for STROBE, CONSORT, and PRISMA. This is troublesome as this may not be an intuitive place for authors looking for guidance on article submission. We suggest that endorsement information be made explicit in author guidelines (i.e., using language falling in the "active strong" category). Any reporting guideline or guidance document/ group endorsement should be accompanied with a current link to the correct source. Language should be unambiguous and clear, highlighting actionable items (i.e., submission of a completed checklist as an Additional File 3).

Our classification of "by proxy" endorsement (33%) identified journals that already endorse reporting guidelines in some manner. These identified journals can be targeted to survey or persuade editors to endorse relevant guidelines and to do so in more direct and meaningful ways [28]. Some journals may not be aware of reporting guidelines so this corpus of journals provides a unique opportunity to propose those that are particularly relevant to their authors [20].

There are debates regarding the usefulness of recommending the use of a reporting guideline but not requiring a completed checklist to be submitted with an article [20,30]. We believe that without an enforcement mechanism, that is, editors verifying that a relevant checklist has been submitted alongside an article and/or peer reviewers using a checklist, endorsement is a mere suggestion. To reduce burden on editorial staff and potentially authors, only those articles proceeding to the peer review stage could be required to submit a completed checklist.

Our estimates of CONSORT (32%) endorsement are aligned with previous research, in pediatrics (20%), hematology and oncology (33%), cardiology (53%), emergency medicine (56%), and oncology (76%) [22,24,31-33]. Similarly, PRISMA (21%) endorsement is consistent with previous estimates in emergency medicine (21%) and nursing (44%) [32,34]. The association between endorsement of different reporting guidelines was also reproduced in this study as journals mentioning CONSORT or PRISMA were also more likely to mention STROBE [35]. The EQUATOR Network (a portal for a large number of guidelines) was mentioned by 15% of journals in our pool, also similar to previous estimates of 7.8, 10.1, and 33.3% in hematology and oncology, dentistry, and emergency medicine [20,31,33]. Any mention of ICMJE, whether appropriate or not, was 39% in our sample, which is within the range of previous estimates 7-67% [19,20,24,31,33,36].



Fig. 1. ROC curves comparing STROBE endorsement and journal impact indices. STROBE, STrengthening the Reporting of Observational Studies in Epidemiology.

Inappropriate citations of ICMJE guidance was 17% of our sample, lower than previous estimates between 25% and 68% [19,20]. It is difficult to place our results into context as studies used different classifications for endorsement and not all assessed the appropriateness of citations.

Our results add to the debate regarding the relationship between impact factor and the endorsement of reporting guidelines as we found no relationship between any of the four impact factor indices and STROBE endorsement. This is different from Hua et al.'s study which found that, of 109 MEDLINE or SCIE dentistry journals, higher impact journals were more likely to suggest reporting guideline use [20].

Our study has several limitations. First, it included only MEDLINE-indexed English journals publishing for at least 1 year in one of the seven medical areas related to a STROBE extension. Second, the focus of this project was on the extensions, thus, a large portion of journals were excluded after running field-specific searches, so we may be missing a part of the picture for endorsement of the other more generalized guidelines like STROBE, CONSORT, and PRISMA. In contrast to previous studies, we have made most data open source and welcome anyone to use it, provide feedback, or request additional information. Open data include the final journal pool including impact factor indices, endorsement coding, and extracted text (and source documents), and a corpus of mostly observational studies.

The refined detail of our endorsement schema and dual dichotomization of endorsement type across seven fields offer a broader view than previous work. Differing classifications of endorsement across studies makes difficult to synthesize information. We hope that our results generate discussions regarding the wording of endorsement and encourage journals to be clearer in their requests regarding reporting guideline use. Although our estimates for STROBE endorsement are aligned with previous studies, they are not encouraging as rates are still low. Extension authors need to more thoroughly consider communication and dissemination plans of their work, as their uptake in our study is extremely low. As with many efforts in biomedicine, multiple stakeholders are involved and at fault. It will take concerted efforts on the part of editors, journals, and authors to increase reporting guideline adherence.

Table 5. Area under the curve for STROBE endorsement and journal impact indices

	Active en	dorsement	Any endorsement			
ROC model	AUC (SE)	95% CI	AUC (SE)	95% CI		
2016 Journal impact factor	0.50 (0.07)	0.36, 0.64	0.54 (0.04)	0.46, 0.62		
5-Year journal impact factor	0.53 (0.07)	0.40, 0.66	0.45 (0.04)	0.38, 0.53		
Source normalized impact per paper	0.47 (0.07)	0.33, 0.61	0.50 (0.04)	0.42, 0.58		
SCImago journal rank	0.54 (0.07)	0.40, 0.67	0.48 (0.04)	0.40, 0.56		

Abbreviations: AUC, area under the curve; CI, confidence interval; ROC, receiver operating characteristic.

n = 208 due to dropping of missing data.

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### Supplementary data

Supplementary data related to this article can be found at https://doi.org/10.1016/j.jclinepi.2018.11.006.

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# Chapter Two: The Author's Perspective on STROBE

One of the most neglected issues in the reporting guideline literature is the end user's perception and use of them. Current work is largely being guided by anecdotes and speculations rather than solid evidence. Dr. Thomas Chalmers, a key member involved in the SORT group and pioneer in advocating for RCTs, once said that:

"People tend more to think that, when wine comes out of an expensive looking bottle, it is better than wine coming from a cheap bottle. I think, all through our lives, we make judgements that do not stand up to evidence." [141]

The field of reporting guidelines is not immune to making judgments that do not stand up to evidence. Problems with the implementation and use of STROBE have not been properly explored. Unfortunately, to date, little work has been done exploring author's actual use of reporting guidelines and their attitudes towards them. Most research focuses on endorsement rates by journals (as discussed in Chapter One) although there are a few studies that focus on editor's [63–65,74] and author's [74,76] perspectives on reporting guidelines such at the Transparent Reporting of Evaluations with Nonrandomized Designs (TREND), CONSORT, and PRISMA Statements. However, to my knowledge, no work has been done that specifically investigated author's perceptions towards or use of STROBE.

While it is true that those who created, conducted, and reported the results of a study are those that can have the most impact on the final quality of reporting, it is too simplistic to assume that reporting issues are due to the inadequate education of biomedical researchers. Unjust blame is often placed solely upon authors, ignoring external environmental factors that may influence



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how authors may be creating and continuing the problem of incompletely reporting research. For example, due to power structures in academia, early career researchers and junior authors may not be able to fully control a paper's narrative or how data is presented. Additionally, regulatory and administrative overburdens threatening job security may be viewed as more important documents to complete than reporting guidelines [142,143]. Placing all of the blame upon authors ignores the situational constraints they are under [144]. For example, the complex academic and institutional factors that may increase the likelihood that authors spin, selectively, or inadequately report items about their study. In order to design an effective educational intervention for authors, there must be a good understanding of the context or environment in which authors are working and the processes by which reporting guidelines are currently being facilitated [80–82,145].

As previously discussed, one of the most important environmental factors affecting author's use of RG is whether journals support or require RG use. To investigate the reasons behind endorsement (or lack thereof), several studies have asked editors about RG, finding high levels of unawareness of the existence of reporting guidelines and beliefs that current journal policies are sufficient. [63–65,74] Editors have also claimed that reporting guidelines are not specific enough and expressed fears that authors will submit to journals with less strict requirements. [65,74]

Of the limited research that has investigated author's beliefs, one study involving 35 authors found that they generally did not think that the TREND Statement took too long to complete or was too prescriptive, meaning that editor's concerns about needlessly strict requirements could be baseless [74]. However, 43 authors sharing their views on the PRISMA-Equity extension expressed concerns about word limits, a lack of journal endorsement of RG, and no integration of RG into existing software [76].



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Although Fuller et. al's study on the TREND Statement gave some indication as to how authors may currently use an RG in manuscript writing, other work has demonstrated that there are many different ways that authors have used STROBE [74]. Costa et al. conducted a bibliometric study on the uses and misuses of STROBE and found that in addition to being appropriately used as an RG and as an assessment tool of reporting quality (neutral), it was also inappropriately used as an assessment tool of methodological quality [77]. While the ultimate goal of STROBE is to improve the completeness of reporting, an effective educational intervention needs to account for authors who may use STROBE in unanticipated ways throughout the research process.

Due to these large gaps in understanding about how authors use and think about STROBE, and how they perceive their environment affecting their decisions, an investigation into these issues was warranted. Therefore, I conducted an online survey aimed at observational study authors to ask them about the factors influencing their use (or not) of STROBE and how their environment affects their decisions. Over 1,000 authors completed the entire survey and 150 of them completed an open-ended qualitative question that asked for any feedback about the survey itself or STROBE. The papers included in this chapter discuss both the quantitative and qualitative results.

A health technology assessment (HTA) framework was used to guide survey development [83,146,147]. It is important to harness this approach as it allows for a systematic evaluation of the properties, effects and/or impacts of health technologies and interventions. Reporting guidelines can be viewed as interventions in biomedical research yet the HTA framework had not been previously utilized in this field.



A commonly used instrument in the HTA field is the Unified Theory of Acceptance and Use of Technology (UTAUT) scale. UTAUT is a technology acceptance model (TAM) which aims to explain one's intentions to use an information system and their subsequent usage behavior. It has been used hundreds of times in evaluations of communication systems (e.g., mobile technologies), office systems (e.g., desktop applications), general purpose systems (e.g., internet banking), and specialized business systems (e.g., electronic medical record systems) [86]. For the survey described within this chapter, the UTAUT scale was modified to be relevant to STROBE and reporting guideline use [84–86]. The instrument was tested and validated, allowing for future use in research on the promotion and evaluation of other reporting guidelines.

The survey was structured to gain perspectives from as many different authors as possible. It allowed for three main categories of use and awareness: 1) those who had never heard of STROBE prior to the survey and accordingly had never used it; 2) those who had heard of STROBE but have not used it; and 3) those who had heard of STROBE and who have used it. This allowed nearly 200 respondents to be introduced to STROBE for the first time and to share their first impressions, making the survey an educational intervention itself. In addition to capturing the viewpoints of those who were never previously exposed to STROBE, the HTA instrument revealed that respondents who had prior experience with STROBE generally found it to be useful, easy to use, clear and understandable.

However, the qualitative responses revealed more depth and nuance to their responses, with many reporting mixed feelings about STROBE. Both quantitative and qualitative feedback highlighted concerns about the perceived benefits of using STROBE given additional time requirements of use. Furthermore, authors shared some apprehension about how supportive peers



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and the research environment were towards using STROBE and how journals need to better implement and enforce reporting guidelines during editorial or peer review.

Two particularly interesting themes were revealed in the qualitative responses but not in the rest of the survey. Many participants noted that they use STROBE as a teaching tool for early career researchers and found its structure and content useful. However, for mid- to late-career researchers, there was an overwhelming response of self-assuredness that STROBE was not as useful given their level of expertise. These juxtaposed beliefs are somewhat contradictory as authors find STROBE valuable to others for teaching and reinforcing epidemiological methods but simultaneously find it not personally valuable as they are "too experienced."

Survey results provided valuable information for the creation of an effective educational intervention. Firstly, and perhaps most importantly, the flexible nature, purpose, and potential impact of STROBE needs to be better communicated to authors who are investing extra time (sometimes at the sacrifice of one's ego) to complete reporting checklists. Secondly, in align with feedback from systematic review authors [76], RG need to be better integrated into author's workflows, such as through integration with existing writing software. This can help to address concerns about extra time requirements needed to complete STROBE. Finally, it is reassuring that authors see value in STROBE and many currently use it to teach early career researchers. It would be beneficial to create an educational intervention that harnesses these valuable experiences from teachers and is accessible (and editable) by them.



# Using the STROBE statement: Survey findings emphasized the role of journals in enforcing reporting guidelines

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### **ORIGINAL ARTICLE**

# Using the STROBE statement: survey findings emphasized the role of journals in enforcing reporting guidelines

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### Abstract

**Objectives:** The objective of the study was to identify factors affecting the use of the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) statement, specifically authors' attitudes toward and experiences with it.

**Study Design and Setting:** An online survey was distributed to authors of observational studies recruited via social media, personal network snowballing, and mass mailings using targeted search strategies. Data on demographics, awareness, motivators, and usage were collected in conjunction with a modified Unified Theory of Acceptance and Use of Technology (UTAUT) scale on which confirmatory factor analysis (CFA) was performed.

**Results:** One thousand fifteen participants completed the survey. Of these, 185 (18.2%) indicated they had never heard of STROBE nor used it previously, 195 (19.2%) had heard of it but never used it, and 635 (62.6%) had used it. Journals promoting STROBE were both key motivators and awareness mechanisms; peers and educational workshops were also important influencing factors to a lesser degree. The internal consistency of the modified UTAUT scale was strong (Cronbach's alpha = 0.94). CFA supported a four-factor model with 23 questions.

**Conclusion:** The endorsement of STROBE by journals is key to authors' awareness and use of the guideline. We tested and validated our scale which can guide future research on reporting guidelines. © 2019 The Authors. Published by Elsevier Inc. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/).

Keywords: Observational studies; Guidelines as topic; Epidemiologic research design; Information dissemination/methods; STROBE; Online survey; Scientific writing

Authors' contributions: All authors have made substantive intellectual contributions to the development of the protocol and this manuscript. M.K.S. conceptualized the study and led the writing of the manuscript. D.H. led the supervision of the manuscript preparation. M.K.S. and L.B. managed survey recruitment. M.K.S., G.G., and R.R. assisted with all analyses. M.K.S. performed analyses. All authors read and approved the final manuscript.

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Ethics approval and consent to participate: Ethical approval was granted by the University of Split (2181-198-03-04-18-0010).

Availability of data and material: The final R Markdown code used for the current study will be made available on the Open Science Framework (https://osf.io/2fkny/) and in the Zenodo repository in the Methods in Research on Research (MiRoR) community (https://zenodo.org/ communities/miror/).

Conflict of interest: M.K.S. works with the STROBE statement as a part of her doctoral studies. D.H., G.G., and E.W. provide support and mentoring as a part of the Methods in Research on Research (MiRoR) project. E.W. was a Fellow of the UK EQUATOR Centre which promotes the use of reporting guidelines (this was an unpaid position).

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### What is new?

### Key findings

- We used a health technology assessment framework to investigate authors' attitudes and experiences of the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) reporting guideline. Our survey captured over 1,000 authors' views on the topic.
- Respondents reported STROBE to be useful, easy to use, clear, and understandable. However, they were concerned about the time needed to use the tool and expressed apprehension about how supportive peers and the research environment were toward using STROBE. Nearly 200 respondents were introduced to STROBE for the first time, making the survey an educational intervention itself.

### What this adds to what was known?

 We have tested and validated a scale to assess author interactions with and views toward STROBE. This scale can be used to inform the promotion and evaluation of other reporting guidelines.

# What is the implication and what should change now?

• Journals are key to raising awareness of reporting guidelines and enforcing their use. The research climate surrounding authors (i.e., peers and educational workshops) is also an important secondary influencing factor. Interventions should focus on establishing incentive systems and a culture change with these actors.

### 1. Introduction

Reporting guidelines (RGs) provide a protective "cognitive net" against the fallibility of human memory and support the skills of expert professionals [1]. Authors of biomedical manuscripts are generally unaware of the existence or utility of RGs and those responding to peer reviewers often have problems adhering to the methodological standards proposed [2–4]. Many journals do not require a relevant RG checklist to be submitted with a manuscript therefore, there is often no incentive for authors to complete one [5].

Some authors reject RGs, claiming that RGs can be condescending and rigid [6,7]. It is unclear what maintains these attitudes. Therefore, it would be useful to understand factors affecting use [8]. This study was designed to explore how researchers view and interact with one RG, the Strengthening the Reporting of Observational studies in Epidemiology (STROBE) Statement. STROBE was created in 2007 to improve the reporting of observational studies (e.g., cross-sectional, cohort, case-control).

Many journals promote STROBE by requiring or recommending its use during the manuscript preparation process. However, endorsement rates are relatively low [9-13], and there is a diffusion of responsibility among journal editors, authors, and peer reviewers for RG compliance [3]. To better understand the current situation facing authors, we aimed to identify the personal and environmental facilitators, barriers, and motivators to using the STROBE statement. With this information, we hoped to extend the practical value of STROBE and perhaps other RGs.

### 2. Methods

### 2.1. Survey design

We followed the CHERRIES guideline for online surveys (Supplemental File 1) [14]. Before distribution, we piloted the survey within the Methods in Research on Research (MiRoR) network [15], allowing collaborators to give feedback on content and functionality [16]. The University of Split School of Medicine Ethical Review Committee granted ethical approval.

The survey flow is presented in Figure 1, and the survey is in Supplemental File 2. All questions were forced response except for one optional open-ended question and mistakenly, the question asking about the respondent's country. After consenting to participate, adaptive questioning branched the survey based on participant's level of awareness and use of STROBE (i.e., never heard of, never used; heard of, never used; heard of, have used). After branching, participants were presented with questions about their interactions with STROBE (e.g., real or theoretical timing of use: writing a grant or peer-reviewing an article).

Next, all participants were presented 25 questions informed by the Unified Theory of Acceptance and Use of Technology (UTAUT) scale [17,18]. UTAUT is an amalgamation of eight dominant psychological and health technology assessment (HTA) theories and models that attempts to explain one's intention to use a piece of technology and their subsequent use behavior. The scale aims to explain information system usage behavior by measuring: Performance Expectancy (PE), Effort Expectancy (EE), attitude toward using technology, Social Influence (SI), Facilitating Conditions (FCs), self-efficacy, anxiety, and behavioral intention to use the tool [17]. HTA systematically evaluates direct and indirect consequences of using a piece of health technology. It can tap into whether the technology works, for whom, and at what cost [19].

We rephrased questions to be relevant to STROBE and kept the scale's four core constructs (PE, EE, SI, and FCs) (Fig. 2). Each subscale contained several items to ensure reliability and validity. The final version contained nine Likert scale items from PE, six from EE, five from EE, four from FCs, and one assessing the intention to use STROBE. Respondents rated statements on seven-point



Fig. 1. Survey flow. STROBE, Strengthening the Reporting of Observational Studies in Epidemiology.

Likert-type scales from "strongly disagree" to "strongly agree" (Supplemental File 2).

### 2.2. Recruitment

Eligible participants were researchers involved in manuscript writing (within the past 10 years) reporting the results of observational studies. The survey was distributed from March 5 to August 31, 2018.

Survey recruitment used several snowball and purposive sampling routes. First, M.K.S. invited her professional network and those involved in the MiRoR consortium [15] to participate. Next, the survey was promoted through social media, primarily Twitter. We then emailed the editors of 257 biomedical journals identified in another study [9,20] and asked them to invite their authors to participate (e.g., via e-mail list-servs, Twitter, LinkedIn, and so forth). Up to three e-mails were sent if they did not respond. When initial recruitment methods failed to provide sufficient respondents, we used Python to scrape emails of corresponding authors from an observational study corpus which examined endorsement of seven STROBE extensions [9,20,21]. To broaden the scope, we also included other journals primarily focused in Epidemiology. We identified 75 English language journals from the "Epidemiology" Broad Subject Term in the National Library of Medicine [22], 122 endorsing journals from the STROBE statement website [23], and 98 top-ranked journals in the Scimago Journal and Country 2017 "Medicine" ranking [24]. We

ran an Ovid MEDLINE observational study search filter from the same previous study [20] on all journals, deleted nonrelevant publication types (e.g., case summaries, editorials), and restricted the search to English language articles published within the past year (to reduce bounced emails). Supplementary File 3 details search strategies and journals searched. We deduplicated e-mails and sent up to two emails to each author.

### 2.3. Statistical analyses

General information on demographics, STROBE extension awareness, research stage usage, and awareness referral mechanisms is presented as counts and percentages



Fig. 2. Model and domain definitions. STROBE, Strengthening the Reporting of Observational Studies in Epidemiology.

### Table 1. Sample demographics

Damagnankiaa	Total sample <i>N</i> (%)	Never heard of STROBE, never used (group 1)	Heard of STROBE, never used (group 2)	Heard of STROBE, have used (group 3)
Time sport in research	1,015 (100)	N (%) 193 (19)	N (%) 105 (10)	N (%) 633 (62)
	222 (22)	57 (00)		010 (00)
I-10 years	332 (33)	57 (29)	65 (35)	210 (33)
11-30	362 (36)	107 (55)	95 (51)	372 (59)
31+	86 (10)	30 (15)	25 (14)	48 (8)
I do not work in research	3 (<1)	1 (0)	0 (0)	2 (<1)
Prefer not to say	3 (<1)	0 (0)	0 (0)	3 (<1)
Age				
18–34	185 (18)	36 (19)	38 (21)	111 (1)
35–54	589 (58)	101 (52)	83 (45)	405 (64)
55+	235 (23)	58 (30)	64 (35)	113 (18)
Prefer not to say	6 (<1)	0 (0)	0 (0)	6 (<1)
Gender				
Woman	469 (46)	97 (50)	82 (44)	289 (46)
Man	525 (52)	94 (48)	101 (55)	329 (52)
Trans	3 (<1)	0 (0)	0 (0)	3 (<1)
Prefer not to say	20 (2)	4 (2)	2 (1)	14 (2)
Region				
Africa	22 (2)	5 (3)	2 (1)	15 (2)
Asiatic region	31 (3)	7 (4)	4 (2)	20 (3)
Eastern Europe	33 (3)	12 (6)	5 (3)	16 (3)
Latin America	54 (5)	14 (7)	10 (5)	30 (5)
Middle East	26 (3)	11 (6)	6 (3)	9 (1)
Northern America	283 (28)	58 (30)	57 (31)	168 (27)
Pacific Region	54 (5)	4 (2)	10 (5)	40 (6)
Western Europe	465 (46)	69 (35)	83 (45)	313 (49)
Not reported	47 (5)	15 (8)	8 (4)	24 (4)

Abbreviation: STROBE, Strengthening the Reporting of Observational Studies in Epidemiology.

in the aggregate and per subgroup. Likert scale responses are reported as means and standard deviations. Completion/dropout rates were calculated overall and per group based on completion of the final forced-response question.

As we used a modified UTAUT scale (Table 3), we had a priori assumptions about our model and its latent factors (Fig. 1). Essentially, we were testing the HTA theory in our setting. Thus, confirmatory factor analysis (CFA) was used to test a four-factor model of intention to use STROBE in the overall sample and subgroups (Fig. 1). Rather than simply comparing average attitudes between groups, CFA allows us to test a theory and whether we captured relevant indicators and how they relate to each other (e.g., that we captured the key influencing factors that affect one's likelihood to use STROBE and furthermore, that we are comprehensive with our questioning and not redundant). All questions were scored from 1 to 7 and treated as continuous variables (Supplemental File 2). Three negatively worded questions from the EE scale were reverse-coded before calculating Cronbach's alpha and conducting CFA (see Table 4). For judging internal consistency, or the estimate of the reliability indicating the degree to which items measure different aspects of the same concept, we used Cronbach's alpha and considered  $\geq 0.7$  an acceptable value [25,26].

All analyses were performed in R, version 3.4.0. The R Markdown file, containing code and output, is available on Open Science Framework [27]. The model was fit using lavaan, version 0.6-3 [28]. Maximum likelihood estimation (MLM specification) with robust standard errors was used to account for non-normality sample variancecovariance matrices and provide scaled test statistics. Latent factors were standardized, allowing for free estimation of all factor loadings. As suggested by Hu and Bentler [29], we considered Comparative Fit Index (CFI) and Tucker-Lewis Index (TLI) values  $\geq 0.90$  for acceptable and  $\geq 0.95$  for good fit, root mean squared error of approximation (RMSEA) values  $\leq 0.06$  (poor fit > 0.10), and standardized root mean squared residual (SRMR) values  $\leq 0.08$  to indicate a good fit between the model and data. When conducting multiple-group CFA, convergence issues are common [30]. When they occurred, we investigated the model within subgroups to detect issues with modification indices, individual factor loadings, and covariances between latent factors.

Table	2.	Motivators,	usage,	and	awareness	descriptives
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Question	Never heard of STROBE, never used; group 1, $n = 195$	Heard of STROBE, never used; group 2, $n = 185$	Heard of STROBE, used; group 3, $n = 635$
Motivator of use (past/theoretical) <sup>a,b</sup>			
Self	128 (66)	55 (30)	308 (49)
Coauthors	57 (29)	72 (39)	116 (18)
Mentor/supervisor encouraged	40 (21)	-	-
Social norm	44 (23)	-	-
Journal submission process	104 (53)	134 (72)	376 (59)
Journal peer review	82 (42)	90 (49)	77 (12)
Incentivized in workplace	-	28 (15)	-
Immediate feedback	-	35 (19)	-
Free text	8 (4)	8 (4)	44 (7)
Reasons for not using <sup>b</sup>			
My writing would not benefit	_	26 (14)	-
Do not want strict rules	-	25 (14)	-
Hard to understand	-	11 (6)	-
Word count	-	20 (11)	-
Format is difficult	-	16 (9)	-
Coauthors do not use it	-	45 (24)	-
May result in more negative review	-	1 (<1)	-
Journals do not require it	-	98 (53)	-
Not applicable for study type	-	25 (14)	-
Other	-	35 (19)	-
Frequency of current use			
Do not currently use	-	-	5 (<1)
Less than a quarter of manuscripts	_	-	305 (48)
Roughly half of manuscripts	-	-	134 (21)
Roughly 75% of manuscripts	_	-	118 (19)
All applicable manuscripts	-	-	73 (12)
Research stage of use (past/theoretical) <sup>b</sup>			
Did not consider	22 (11)	10 (5)	NA
Protocol/design stage	126 (65)	110 (60)	239 (38)
Grant	76 (39)	61 (33)	89 (14)
Manuscript	122 (63)	126 (68)	451 (71)
After completing the article to check	98 (50)	80 (43)	439 (69)
Evaluating the article	70 (36)	69 (37)	243 (38)
Awareness mechanism			
Peer/colleague	-	31 (17)	97 (15)
Boss/mentor/supervisor	-	16 (9)	90 (14)
Journal	-	55 (30)	234 (37)
Course/workshop	-	32 (17)	105 (17)
Online	-	37 (20)	66 (10)
Other	-	14 (8)	43 (7)

<sup>a</sup> Columns/items are blank as not all questions were presented to all branches.

 $^{\rm b}\,$  Question allowed for multiple responses to be selected.

### 3. Results

Of the 257 editorial offices contacted, 65 (25.3%) responded after three attempts. Of those who responded, 20 (30.8%) reported that they would invite their authors to participate (via Twitter, LinkedIn, listserv, blog, etc.), 42 (64.6%) declined to participate, and 3 (4.6%) reported individual-level participation. Reasons for declining included no access to a list, no time, a desire to remain neutral, the inability to contact authors due to General Data Protection Regulation restrictions [31], a belief that the journal did not publish observational studies (although we contend that it did), and a belief that the survey was flawed.

#### Table 3. Comparisons of model fit

Model	Group ( <i>n</i> )	χ²	df	RMSEA (90% CI) <sup>a</sup>	SRMR <sup>b</sup>	TLI <sup>c,d</sup>	CFI <sup>c,d</sup>	AIC
Four-factor model	Overall (1,015)	_	_	-	_	_	-	-
	1 (195)	776.900	266	0.109 (0.100, 0.118)	0.087	0.818	0.838	12593.900
	2 (185)	730.552	266	0.108 (0.099, 0.117)	0.085	0.797	0.802	12305.731
	3 (635)	1,582.699	266	0.102 (0.097, 0.107)	0.077 <sup>b</sup>	0.813	0.834	42,959.805
Final model: Four-factor model, No FC3, Method effects <sup>e</sup>	Overall (1,015)	1,931.539	717	0.078 (0.074, 0.082)	0.072 <sup>b</sup>	0.895	<b>0.909</b> <sup>d</sup>	64,488.934
	1 (195)	489.527	239	0.079 (0.069, 0.089)	0.077 <sup>b</sup>	0.904 <sup>d</sup>	0.917 <sup>d</sup>	11,915.867
	2 (185)	496.303	239	0.084 (0.074, 0.095)	0.075 <sup>b</sup>	0.877	0.894	11,734.401
	3 (635)	927.172	239	0.076 (0.071, 0.081)	0.070 <sup>b</sup>	0.897	0.911 <sup>d</sup>	40,838.666

Abbreviations:  $\chi^2$ , chi-squared; df, degree of freedom; RMSEA, root mean square error of approximation with 90% confidence intervals; SRMR, square root mean residual; TLI, Tucker-Lewis Index; CFI, Comparative Fit Index; AIC, Akaike Information Criterion.

Bold = reached pre-established cutpoint threshold.

<sup>a</sup> Within the range ( $\leq$ 0.06), indicating a good fit between the model and the data.

<sup>b</sup> Within the range ( $\leq$ 0.08), indicating a good fit between the model and the data.

<sup>c</sup> Within the range (>0.95), indicating a good fit between the model and the data.

 $^d$  Within the range (0.90  $\leq x \leq$  .95), indicating an acceptable fit between the model and the data.

<sup>e</sup> Method effects address the reverse-coded items EE4, EE5, and EE6 and the high covariance between PE4 and PE5.

After accounting for 2,304 invalid addresses, 14,621 emails were sent to authors; we sent a second reminder email to nonrespondents. Over 100 authors (n = 109) informed us of participation, 23 declined (giving no reason or stating no time/interest), and 23 reported ineligibility (i.e., did not work in observational research). Another 145 were ineligible as they were unreachable during the recruitment period (e.g., family, sick, sabbatical leaves) or unreachable permanently (e.g., left job, retired, died).

As the survey was anonymous and recruitment methods used network snowballing, social media, and mass mailings, we cannot estimate the total number of people that read the survey invitation. However, we know that 1,293 visitors read the informed consent page and 1,265 (97.8%) agreed to participate. After evaluating free-text responses, seven indicated ineligibility (e.g., "I do not do observational research"). Of the 1,258 eligible participants, 1,015 (80.7%) completed the survey. Nearly 20% (n = 195) indicated they never heard of STROBE nor used it before the study (group 1), 18% (n = 185) had heard of it but never used it (group 2), and over half (63%, n = 635) had heard of and used it (group 3) (Fig. 3, Table 1). The completion rates were 67% for group 1, 81% for group 2, and 97% for group 3 (Fig. 3).

We found fairly equal distributions for demographic categories across groups (Table 1, Supplemental File 4). The top five countries responding were the United States (21.6%), United Kingdom (9.8%), Italy (6.8%), Canada (6.4%), and Australia (4.9%). To account for the multidisciplinary nature of research, we allowed up to three selections for area of work. Participants working in public health and epidemiology were well represented with 470 (46%) and 247 (24%), respectively, choosing those options as one of their primary fields of work.

### 3.1. Motivators of use

When asked about what factors would or have motivated use of STROBE, the journal submission process and mandatory RG use were the most frequently chosen options. After journal policies, self-motivation was among the top-ranked reported influences. Around half (53%) of those who were aware of STROBE but had not used it (group 2) reported that this was because journals did not require it. The next most frequently reported reason was that their coauthors did not use it (24.3%) (Table 2).

### 3.2. Usage timing and frequency

Participants who used STROBE (group three) most commonly did so during the manuscript writing process (n = 451) or after completing their draft to check that all relevant information had been reported (n = 439). Participants who had not used STROBE before (groups one and two; n = 380) most frequently reported that they would most likely use it during the manuscript writing process (62.6%; 68.1%) or during the protocol/study design stage (64.6%; 59.5%). For those who previously used STROBE (group 3), 48% used it for less than a quarter of their manuscripts, whereas 11.5% used it for all of their manuscripts (Table 2).

### 3.3. Awareness

Of those who were aware of STROBE before the survey (groups 2 and 3, n = 820), the most frequently reported route that made them aware of STROBE was a journal requiring or recommending it (group 2: n = 55, 29.7%; group 3: n = 234, 36.9%). The other options (peers, superiors, courses, or online) ranged from 12.6 to 16.7%. A

### **Table 4.** Factor loadings of final model (n = 1,015)

		Po Exp	erforman ectancy	ce (PE)	Effort	Expectan	cy (EE)	Social Influence (SI)		Facilitating Conditions (FC)			
Items		1 <sup>a</sup>	2 <sup>b</sup>	3°	1ª	2 <sup>b</sup>	3°	1 <sup>a</sup>	2 <sup>b</sup>	3°	1ª	2 <sup>b</sup>	3°
PE1	STROBE will be/is useful in my job	0.728	0.710	0.665									
PE2	Using STROBE will enable/enables me to write papers more quickly	0.868	0.821	0.818									
PE3	STROBE will increase/increases my productivity	0.865	0.817	0.775									
PE4	If I use STROBE, I (will) increase my chances of getting published	0.766	0.699	0.511									
PE5	If I use STROBE, I will get a more positive peer review of my paper	0.737	0.670	0.553									
PE6	Using STROBE will make/makes it easier for me to write papers	0.903	0.861	0.864									
PE7	Using STROBE will improve/ improves the quality of my manuscripts	0.803	0.675	0.767									
PE8	Using STROBE will make/makes my manuscript writing more efficient	0.849	0.867	0.870									
PE9	Using STROBE increases the quality of my output for the same amount of effort	0.850	0.831	0.804									
EE1	I think STROBE will be/is easy to use				0.841	0.771	0.887						
EE2	I think STROBE's content is clear and understandable				0.869	0.833	0.866						
EE3	I think that it will be/is easy for me to become skillful at using STROBE				0.793	0.797	0.693						
EE4	Using STROBE will take/takes too much time compared with my normal writing process*				0.437	0.464	0.604						
EE5	STROBE is so complicated, it will be/is difficult to understand what to do*				0.622	0.579	0.671						
EE6	Will take/takes too long to learn how to properly use STROBE to make it worth the effort*				0.569	0.542	0.598						
SI1	My peers will think/think that I should use STROBE							0.848	0.909	0.870			
SI2	My superiors will think/think that I should use it							0.621	0.562	0.639			
SI3	The research climate is helpful in promoting the use of reporting guidelines such as STROBE							0.887	0.890	0.831			
SI4	In general, I think that journals will support/support the use of STROBE							0.649	0.461	0.473			
SI5	I will use STROBE because a lot of scientists in my field are using it							0.531	0.553	0.549			
FC1	I have the knowledge necessary to use STROBE										0.599	0.490	0.567
FC2	STROBE is compatible with my current workflow										0.785	0.777	0.817
FC4	Using STROBE fits well with the way I like to work										0.852	0.878	0.843

Abbreviation: STROBE, Strengthening the Reporting of Observational Studies in Epidemiology.

1<sup>a</sup> Subgroup one: Never heard of STROBE and never used it (n = 195). 2<sup>b</sup> Subgroup two: Heard of STROBE but never used it (n = 185).

 $3^{c}$  Subgroup three: Heard of STROBE and have used it (n = 635).



Fig. 3. Participant flow diagram.

majority of participants (70.7%, n = 718) indicated that they were not aware of any STROBE extension.

# 3.4. Confirmatory factor analysis on modified UTAUT scale

We attempted CFA on the overall sample, but it would not converge. Therefore, we investigated the model within subgroups to identify convergence issues; it converged in all subgroups (Table 3). There were three recurring issues across groups: (1) the third FC item (FC3) appeared to not belong to the FC scale; (2) the FC and EE covariance was very high (0.88–0.91); and (3) two pairs of items (EE4:EE5 and PE4:PE5) had significant shared variance, with the highest modification indices across all subgroups.

Model fit statistics and accompanying step-by-step descriptions are in Supplemental File 4, Table 6. Succinctly, the FC3 item phrasing was redundant with EE1. When FC3 was deleted, the model converged. This also reduced the high covariance between the FC and EE factors. The EE4 and EE5 items, along with EE6, were negatively worded, so we allowed them to covary to account for method effects [32]. Items PE4 and PE5 were also allowed to covary as they were both related to academic publishing, suggesting that they could covary for reasons other than the shared influence of the latent factor.

The four-factor model addressing these issues was the best fit model for our data (Table 3). The CFI (0.91) and TLI (0.90) reached the "acceptable" cut point of 0.90. The SRMR (0.07) was below its cut point of 0.08. The RMSEA (0.08) was not less than 0.06, however. All factor loadings were statistically significant (all  $ps \leq 0.001$ ) and salient (0.437 to 0.909) (Table 4). The internal consistency reliability of all four subscales was strong (Cronbach's alpha  $\geq 0.94$  for all). Our items were parsimonious, functional, and internally consistent.

An overall pattern between groups was seen where those who had used STROBE before (group 3) had the highest scores, those who had never heard of STROBE before the survey (group 1) had second highest scores, and those who had heard of STROBE but never used it (group 2) most often had the lowest scores (Additional File 4, Table 4; Fig. 3).

### 4. Discussion

To the best of our knowledge, this is the first project to ask authors about their attitudes toward and experiences with STROBE, especially using HTA framework. Our project used a broad and multifaceted sampling strategy which created a diverse sample of observational study authors. We also engaged nearly 200 participants who previously had never heard of STROBE, making our survey an awareness intervention itself.

The large sample enabled us to test a modified UTAUT scale on our entire sample and within subgroups. With an acceptable fit between our model and the data, we expect that this instrument may be useful for evaluating interactions with other RGs. Our results confirm the applicability of an HTA approach to RGs, reveal important factors impacting STROBE use, and highlight a unique additional aspect of use, which may separate it from other pieces of technology— the academic publishing environment. Because our model-data fit was only "acceptable" and we needed to address shared error variance of two publishing-related items (PE4 and PE5), we believe that these two PE items might signal an unaccounted latent factor related to publishing.

Our CFA should be considered complementary to the descriptive results which emphasize the key role that journals have in raising awareness, motivating, and enforcing use. Journals were the most typical medium by which participants originally became aware of STROBE. Moreover, journals not requiring STROBE were the top reason why authors did not use it. We recommend that future work explores this concept more deeply. We suggest building on the most parsimonious model (Table 3), not including FC3, accounting for method effects on the EE scale, and addressing the shared error variance of PE4 and PE5.

With regard to limitations, estimating a sample size was not tenable as there is no clearly defined participant pool. In addition, we used mass mailings, thus, potentially, some emails were likely blocked by spam filters [33]. In addition, we had differential dropout rates between groups which is expected as is conceptually harder to think in theoretical terms (e.g., when would you consider using STROBE which you were just introduced to vs. when have you used it). The differences in participation rates between groups and nonresponse and self-selection biases also could have skewed our responses to be more positive toward STROBE. In addition, the introduction to STROBE may have not been detailed enough and/or the participants may have not spent enough time on it.

Despite these limitations, overall, participants reported positive views toward STROBE, considering it useful, clear, and relatively easy to use. They also thought it would increase manuscript quality and the chances of getting published. However, they were not as positive regarding time requirements, reporting effects on productivity and speed and ease of writing. Our results should be reassuring to journal editors who fear losing authors to other journals with less-strict requirements for publication [3]. These fears may be unfounded as participants indicated that, despite time costs, there are benefits of using an RG such as increasing the quality of their manuscripts and the chances of being published. Furthermore, they thought that the publishing environment (i.e., journals) would or do support its use.

Despite this perceived benefit of an increase in quality, we caution that, empirically speaking, the research in this regard is mixed. Recent work (2019) demonstrated that having a methodological reviewer dedicated to looking for missing RG items (not only STROBE) increased the number of citations that an article received by 43% [34]. This could be perceived as a proxy for higher quality or impact. Conversely, other authors have found no effect on the reporting of confounding [35] or insufficient evidence to determine an impact on overall completeness of reporting [4,36]. To further assuage editors' (and authors') concerns, more research is needed in this area which focuses on a broad range of journals (i.e., not only high impact) and which takes endorsement type (i.e., requiring vs. recommending use) into account.

A 2019 scoping review complements our results, highlighting the complexity of RG adherence and highlighting the need to implement interventions with different stakeholders throughout the research process [37]. Their review showed that most of the evaluated interventions to improve RG adherence have been conducted in journals. There have been mixed results but promising ones for more active implementation efforts (i.e., requiring a checklist with submission), including editorial assistants trained on reporting issues, and automatic peer review tools.

Widespread interventions are needed to improve RG adherence. Efforts to target research clusters, not just individuals, to foster broader support are needed. With increased uptake among coauthors completing reporting

checklists, the time required may be reduced further, thus making using STROBE more appealing. When RGs become an expected part of the research process, self-regulation can occur and formal journal and institutional policies can be more fruitful as well [38]. Targeted and widespread promotion of RGs is needed to improve the completeness of reporting and reduce research waste [39].

### **CRediT** authorship contribution statement

Melissa K. Sharp: Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Project administration, Visualization, Writing - original draft, Writing - review & editing. Lorenzo Bertizzolo: Data curation, Methodology, Writing - review & editing. Roser Rius: Formal analysis, Methodology, Writing - review & editing, Supervision. Elizabeth Wager: Writing - review & editing. Guadalupe Gómez: Formal analysis, Methodology, Writing - review & editing, Supervision, Resources. Darko Hren: Supervision, Methodology, Formal analysis, Visualization, Writing - review & editing, Resources.

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### Supplementary data

Supplementary data related to this article can be found at https://doi.org/10.1016/j.jclinepi.2019.07.019.

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# Online survey about the STROBE statement highlighted diverging views about its content, purpose, and value

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### **ORIGINAL ARTICLE**

### Online survey about the STROBE statement highlighted diverging views about its content, purpose, and value

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#### Abstract

**Background and objective:** The endorsement rates of The STrengthening the Reporting of OBservational studies in Epidemiology (STROBE) Statement are low and little is known about authors' opinions about this reporting guideline. We conducted an online survey with observational study authors on attitude toward and experiences with the STROBE Statement with the aim of understanding how to effectively implement STROBE.

**Methods:** A thematic analysis on the responses to an open-ended question was conducted using inductive coding. Two coders classified responses independently into themes using a codebook. The inter-rater agreement ranged from 87.7 to 99.9%.

**Results:** 15% (n = 150) of survey participants (n = 1,015) shared perceptions and insights on STROBE. We established four themes: 1) perceptions of the checklist, 2) academic confidence, 3) use in education and training, and 4) journal endorsement and use in peer review. Views were diverse and revealed multiple misunderstandings about the checklist's purpose and content, and lack of incentives for its use.

**Conclusions:** Better communication efforts are needed when disseminating STROBE and other reporting guidelines. These should focus on content, education for early career researchers, and encouragement of critical self-reflection on one's own work. In addition, results emphasized the need for better incentive and enforcement mechanisms. © 2020 The Authors. Published by Elsevier Inc. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/).

Keywords: Observational studies; Epidemiologic research design; Guidelines as topic; Information dissemination/methods; STROBE Reporting guidelines

### 1. Background

Reporting guidelines (RGs) were created to help reduce research waste and promote reproducibility by providing a minimum set of items to be reported when describing the results of a study. Incomplete reporting contributes to a

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"reproducibility crisis" where scientific progress is impeded because of an inability to replicate results and to accurately interpret findings [1,2]. Furthermore, reporting clear and complete information is an ethical responsibility as it informs clinical practice [3]. In addition, incomplete reporting causes studies to be excluded from systematic reviews and meta-analyses, resulting in research waste. With the rise in systematic reviews [4], more attention has been given to the necessity of complete reporting and therefore reporting guidelines [5-7].

The RG movement began in the mid-1990s and first focused on randomized control trials and systematic reviews, resulting in the Consolidated Standards of Reporting Trials (CONSORT) [8] and Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) [9] Statements. The focus then turned to observational studies which represent most of health research and are often "the most necessary and difficult" studies to conduct in epidemiology [10–14]. Observational studies can provide a large number of participants at an affordable cost, allowing for subgroup comparisons and longer follow-up periods to

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Ethics approval and consent to participate: Ethical approval was granted by the University of Split (2181-198-03-04-18-0010).

Availability of data and material: The deidentified standalone qualitative responses will be made available on the Open Science Framework [35].

Conflict of interest: M.K.S. works with the STROBE Statement as a part of her doctoral studies. D.H. provides support and mentoring as a part of the Methods in Research on Research (MiRoR) project. K.G. is a doctoral student on the MiRoR project.

### What is new?

### Key findings

- 150 authors of observational studies completed our online survey and shared their attitudes toward and experiences with the STROBE reporting guideline.
- Many participants noted that they use STROBE as a teaching tool for early career researchers and find its structure and content useful. However, for midto late-career researchers, there was an overwhelming response of self-assuredness that STROBE was not as useful given their level of expertise.

### What this adds to what was known?

- This is the first survey done evaluating authors attitudes towards the STROBE Statement.
- Respondents reported mixed feelings about STROBE and expressed concerns about the perceived benefits of using it given additional time requirements of use.
- Authors also thought that there is a need for better incentive and enforcement mechanisms from journals. When journals request completed checklists, it should be ensured that it is used during editorial or peer review.

# What is the implication and what should change now?

• We need to better communicate flexibility to authors who are investing extra time, often perceived to be at the sacrifice of one's ego, to complete reporting checklists.

determine long-term risks and benefits. However, they are prone to biases and confounding, making careful design and analysis invaluable [15].

In 2007, the STrengthening the Reporting of OBservational studies in Epidemiology (STROBE) Statement was developed to help address these problems. It has since been endorsed by the International Committee of Medical Journal Editors and a number of journals [16–18]. However, endorsement rates remain low [19–23] and, while some studies have been conducted on editors' perceptions [24,25], little is known about what authors think of reporting guidelines and how they perceive journal requests for completed checklists. To our knowledge, only one smallscale study, investigated author's perspectives on an RG, the Transparent Reporting of Evaluations with Nonrandomized Designs (TREND) Statement [25]. To address this research gap, we conducted an online survey asking observational study authors about their experiences with and attitudes toward the STROBE Statement.

### 2. Methods

### 2.1. Data collection

Details of study methods were previously published [26]. Briefly, data were drawn from a cross-sectional online survey on STROBE that was completed by authors of observational studies. The survey was distributed from March 5 to August 31, 2018 via social media, and emails to 257 biomedical journal editors and over 14,000 authors. Participants (n = 1,015) comprised three groups including those who 1) had used the STROBE checklist before (group 3, n = 635), 2) had heard of STROBE, but had not used it (group 2, n = 195), and 3) were new to the concept of STROBE, and were asked to give their initial thoughts on it after a brief introduction (group 1, n = 185).

The survey included questions about demographics, timing and frequency of use, awareness referral mechanisms, motivators, facilitators, and barriers to use. It concluded with an open-ended question: "Do you have any other comments? Please feel free to expand on anything related to STROBE or this survey. For example, your experiences with STROBE, thoughts about its usefulness, content, format, the extensions, etc."

Nearly 20% (n = 203) of those who completed the survey responded to this open-ended question. After eliminating nonsubstantive responses (e.g., "N/A"), 150 participants gave detailed feedback. Owing to the number and richness of responses, we are discussing these separately in this article as it has implications for understanding how to effectively implement STROBE and other reporting guidelines.

### 2.2. Analysis

Open-ended responses were imported from SurveyMonkey into R and then into NVivo 12 [27]. Using inductive coding, one coder (M.K.S.) proposed the initial schema which the other coder (D.H.) used to code the first 100 responses (of the original 203); agreement was over 90% for all codes. Results were then discussed to identify any potential missing categories or disagreements. No issues were found and no changes were made.

### 3. Results

Demographic data for the full sample was reported previously [28]. Our full sample had roughly equal distributions for age, gender, and time spent in research across groups and the qualitative respondents generally did as well (Table 1). Of the 150 qualitative respondents, 65% (n = 98) had used STROBE before (group 3), 17% (n = 26) had

### Table 1. Sample demographics

		Responde	ents ( <i>n</i> = 150)			Entire Samp	e ( <i>n</i> = 1,015)	
	Total sample	Never heard of STROBE, never used [group 1]	Heard of STROBE, Never used [group 2]	Heard of STROBE, have used [group 3]	Total sample	Never heard of STROBE, never used [group 1]	Heard of STROBE, Never used [group 2]	Heard of STROBE, have used [group 3]
Variables	<i>№</i> (%) 150 (100)	N (%) 26 (17)	N (%) 26 (17)	N (%) 98 (65)	<i>N</i> (%) 1,015 (100)	N (%) 195 (19)	₩ (%) 185 (18)	N (%) 635 (62)
Time spent in research								
1—10 у	42 (28)	6 (23)	7 (27)	29 (30)	332 (33)	57 (29)	65 (35)	210 (33)
11-30	48 (32)	4 (15)	7 (27)	37 (38)	362 (36)	107 (55)	95 (51)	372 (59)
31 +	39 (26)	10 (38)	6 (23)	23 (23)	86 (10)	30 (15)	25 (14)	48 (8)
I do not work in research	15 (10)	3 (12)	5 (19)	7 (7)	3 (<1)	1 (0)	0 (0)	2 (<1)
Prefer not to say	6 (4)	3 (12)	1 (4)	2 (2)	3 (<1)	0 (0)	0 (0)	3 (<1)
Age								
18-34	29 (19)	4 (15)	4 (16)	21 (21)	185 (18)	36 (19)	38 (21)	111 (1)
35-54	74 (49)	9 (35)	11 (42)	54 (55)	589 (58)	101 (52)	83 (45)	405 (64)
55 +	46 (31)	13 (50)	11 (42)	22 (23)	235 (23)	58 (30)	64 (35)	113 (18)
Prefer not to say	1 (<1)	0 (0)	0 (0)	1(1)	6 (<1)	0 (0)	0 (0)	6 (<1)
Gender								
Woman	69 (46)	12 (46)	12 (46)	45 (46)	469 (46)	97 (50)	82 (44)	289 (46)
Man	77 (51)	13 (50)	14 (54)	50 (51)	525 (52)	94 (48)	101 (55)	329 (52)
Trans	0 (0)	0 (0)	0 (0)	0 (0)	3 (<1)	0 (0)	0 (0)	3 (<1)
Prefer not to say	4 (3)	1 (4)	0 (0)	3 (3)	20 (2)	4 (2)	2 (1)	14 (2)
Region								
Africa	3 (2)	2 (8)	0 (0)	1(1)	22 (2)	5 (3)	2(1)	15 (2)
Asiatic region	2(1)	0 (0)	1 (4)	1(1)	31 (3)	7 (4)	4 (2)	20 (3)
Eastern Europe	4 (2)	1 (4)	0 (0)	3 (3)	33 (3)	12 (6)	5 (3)	16 (3)
Latin America	5 (4)	0 (0)	1 (4)	4 (4)	54 (5)	14 (7)	10 (5)	30 (5)
Middle East	2(1)	0 (0)	1 (4)	1(1)	26 (3)	11 (6)	6 (3)	9(1)
Northern America	57 (38)	13 (50)	6 (23)	38 (39)	283 (28)	58 (30)	57 (31)	168 (27)
Pacific Region	15 (10)	1 (4)	6 (23)	8 (8)	54 (5)	4 (2)	10 (5)	40 (6)
Western Europe	57 (38)	8 (31)	9 (35)	40 (41)	465 (46)	69 (35)	83 (45)	313 (49)
Not reported	5 (4)	1 (4)	2 (8)	2 (2)	47 (5)	15 (8)	8 (4)	24 (4)

heard of STROBE, but had not used it (group 2), and 17% (n = 26) had never heard of STROBE before nor used it (group 1). Representation was roughly equal between groups with 15% of each subgroup responding to the open-ended question.

Thematic coding established four main content areas: 1) mixed perceptions of the checklist, 2) academic confidence and self-assuredness, 3) use in education and training, and 4) journal endorsement and use in peer review. Owing to group imbalances and in the interest of transparency, the participant's subgroup accompanies each quote.

### 3.1. Mixed perceptions of the checklist

General perceptions of STROBE were mixed, ranging from positive reviews that hailed STROBE for how it "helps in standardizing how research is reported and guides the author/researcher to ensure all the necessary information (that the reader would be looking for) is included" (group 3) to harsh reviews that called it a "procedural straightjacket" (group 3).

Participants also had varied opinions on the additional time investment required to complete STROBE vs expected gain. Respondents referred to the uncertain impact on article publication despite the substantial amount of time required to complete the checklist which implicitly revealed their motivation for using the checklist: "it also adds to the time required to put together a manuscript, and I am not sure how much it improves the chances of a manuscript being published" (group 3). Conversely, the expected quality improvement was considered a key motivational aspect of using STROBE despite the additional working time required, "it does increase the quality of the articles, it is clearly worth the time" (group 3).

STROBE's length and content is a key factor influencing the time needed to complete it. Several authors expressed concerns

that the checklist is too exhaustive and "rigid," (group 3) reporting fears of an "incomplete" checklist giving the impression that their study is "less than 'perfect'..." (group 3).

These uncertainties stress the need for flexibility when using STROBE. Authors may "fear the 'Checklist Manifesto' becoming a rigid bureaucracy, and also becoming contrived" (group 1, ID1). Although Atul Gawande's "Checklist Manifesto" argues for implementing checklists [29], our authors cautioned that "that balance between freedom and structure is important to consider" (group 1, ID1) and that it is "important to recognise that each study/analysis is unique and doesn't always fit with the recommendations" (group 3, ID1).

In recognition of the variety of different types of observational studies, many field- and method-specific extensions to STROBE have been created to provide more nuanced guidance. However, some participants pointed out that these extensions have created needless complexity "... additional confusion in reporting of observational studies" (group 3) and that the "number of extensions has become excessive, especially given that multiple extensions may apply to a single study," (group 3).

### 3.2. Academic confidence and self-assuredness

Although authors expressed the need for a general flexibility in use and assessments, they also conveyed strong beliefs in their abilities to adhere to the checklist and the standards that it contains. One of the most prevalent themes was the expression of self-assuredness.

"[I] follow the STROBE guidelines in my reporting reasonably well without actually referring to them or using a checklist" (group 3, ID1) and "[I] already apply the STROBE recommendations despite not having heard of it until today" (group 1).

Many authors claimed to be using or following the checklist when, in fact, as demonstrated by the quotes aforementioned, it became evident that they were not completing it or sometimes had never even seen it before.

Furthermore, several authors conveyed their beliefs that STROBE "is a waste of my time" (group 3) in light of their own training and experience. However, they were "glad that investigators with limited training are expected to use STROBE when they approach publication" (group 3).

Despite the prevailing attitudes of self-confidence, there was also recognition that STROBE can be helpful to experienced researchers for quality assurance: "even for those of us who have been researchers for many years, it is sometimes helpful to check a tool such as STROBE, to ensure that we have included everything" (group 3).

#### 3.3. Use in education and training

Despite experienced researchers generally not seeing a benefit to personally using STROBE, there were strong feelings that it is valuable to early-career researchers (ECRs). Many participants shared that they use STROBE's structure and content as an educational tool for ECRs to instill good practice in writing manuscripts.

"STROBE is useful for any observational researcher, but exceptionally useful for new researchers... it can help them structure their drafts and develop a strong foundation and habits as they write their first papers. We use it in our epidemiologic analysis course and hope that students continue to use it" (group 3).

Aligned with an early intervention stance to intervene in the initial stages of one's research career, some also suggested that STROBE should be used earlier in the research process itself, like when writing study protocols. Some respondents also thought that intervening earlier would have the most impact on the final quality of reporting: "To fully apply the criteria, I would need to systematically apply the STROBE criteria on the front end design of a project, grant, etc...rather than at the time of writing a project... Encouraging policy that focuses on a front end approach would be helpful" (group 2).

Intervening at the early stages of research and in one's career could theoretically instill greater contemplation and caution in research planning. While in-depth analytical and epidemiological thinking is not embedded within STROBE, responses revealed that authors see an educational purpose in STROBE and expressed the need for optimization: "woefully deficient in encouraging...use of appropriate data analytic approaches. Strobe should, for example, encourage analysts seeking causal effect estimates to highlight their assumptions with a causal diagram" (group 3).

#### 3.4. Journal endorsement and use in peer review

Aside from the personal and educational use of STROBE, many authors expressed beliefs that journals are largely responsible for properly implementing STROBE through mandatory enforcement "I think the main way to increase its use is to make it mandatory before submission," (group 3) and "guidelines should need to be obligatory for every study. Better implementation is needed" (group 3).

However, it seems that a number of authors primarily looked at the administrative burden of using STROBE over its primary purpose, that is, ensuring that the study is completely reported. One participant noted that "there are so many guidelines like STROBE, it can be difficult to put the energy into using STROBE (or any other) one a priori since ultimately, it depends on the journal submitted to and accepted to" (group 3). While others expressed frustration that it is "annoying to upload the STROBE checklist with journal submissions" (group 3) that "the elucidation of exact pages where the criteria were met, which I found arduous and a bit pedantic" (group 3). Although STROBE may be seen as an administrative burden to some, other reporting guidelines may not share similar harsh reviews as they are more broadly endorsed by journals. This difference in RG acceptance was pointed out by one participant: "I am sorry to say that PRISMA and CONSORT have become mandatory but STROBE isn't?" (group 3).

One possible reason for this difference in acceptance might be the relationship to other implementation efforts. For example, one author noted that the conventional nature of trial or protocol registration might affect the acceptability of RGs: "since observational studies do not require prospective registration unlike RCTs or systematic reviews, I don't think STROBE is used as much as CONSORT or PRISMA even though these reporting guidelines substantially improve study design and reporting" (group 3).

Key to the crux of the issue is again the perceived benefit and establishing a norm for requiring RGs. If an author spends time using a requested checklist, it should be used in the evaluation by peer reviewers and/or editors. However, one author noted a current problem with implementation: "I have never had (nor have I heard of) an editor or reviewer pushing back on a claim that all STROBE criteria were met. Therefore, when a STROBE checklist is required for manuscript submission, it seems to turn into a[n] exercise in additional administrative busywork without really improving the research." (group 3, ID2).

Other survey respondents echoed concerns regarding the peer review process. When authors go through the trouble of completing a checklist, oftentimes there seems to be little benefit from using it as "the information provided does not matter as the reviewers do not know what to do with it" (group 3).

Despite these reported challenges, using STROBE in peer review can also be beneficial as it provides a reference of support when requesting additional information from authors: "As a junior scientist it gives me confidence to request the reporting of a certain piece of information knowing I have the backing of STROBE" (group 3).

### 4. Discussion

Responses revealed multiple misunderstandings about STROBE's purpose and content, and a lack of incentives for use. Our findings emphasize the need to better communicate the reasons for using STROBE and reporting guidelines in general—explaining their potential impact on reproducibility, clinical decision-making, and future research. It is important to convey the idea that complete and transparent reporting goes beyond perceived article publishability. Awareness and education campaigns are key to addressing skepticism and maladaptive beliefs regarding time requirements, benefits of use, and (over) self-confidence. Part of these efforts must be focused on communicating the flexible nature of STROBE and the continued need for a strong epidemiological education which STROBE cannot replace. A reporting guideline cannot fix study aspects that were not thought about previously or were performed incorrectly. Related to this, some reported the need to use STROBE at earlier stages of research. This suggestion is aligned with a recent scoping review (2019) on interventions to improve adherence to reporting guidelines [30]. The authors found a general lack of attention given to interventions at the early stages of research and suggested that early-intervention policies (e.g., at the funder or ethical review board level) may be more effective in promoting more carefully designed studies.

Although reporting guidelines were not intended to be used for educational purposes, many authors reported that STROBE is useful for teaching early career researchers. STROBE can be a valuable tool to demonstrate how epidemiological concepts work together in practice and we encourage expansion and elaboration on its content. However, although suggestions for more detailed guidance are valid, there is only so much education that an RG can contain. STROBE is not meant to be a guideline on how to properly conduct research [31], but it appears as though many participants did not see education and reporting as distinct concepts. In-depth continuous education should be provided to researchers as epidemiological understanding and critical thinking cannot be taught through an RG alone.

There are many leverage points in the system to target for increased implementation of STROBE; but ultimately the task is placed on authors as they are the creators and owners of the research. Authors highlighted several areas of concern that must be addressed to better implement reporting guidelines. Above all, the perceived benefit and impact of using STROBE must be communicated and established. Authors need to be reassured that extra time spent will be personally rewarding and impact scientific literature as a whole, as it will help knowledge synthesis efforts. Unlike previous work focused on the TREND guideline [25], which found that authors did not think that their RGs took too long to complete, time and the perceived benefit of use was a recurring issue from our participants. Thus, there is a need for research investigating the impact of endorsement on completeness of reporting and on the submission process (e.g., likelihood of being published, speed of reviews, etc.).

To date, research on STROBE's impact has shown mixed results—either showing no effect on the reporting of confounding [32] or insufficient evidence to determine an impact on overall completeness of reporting [33]. However, recent work by Vilaró et al. demonstrated that having a methodological reviewer dedicated to looking for missing reporting guideline items (not only STROBE) increased the number of article citations by 43% [34]. This could be seen as an incentive for authors but also a proxy for perceived higher quality/impact. We need more research in this area to provide convincing evidence that additional time spent using STROBE can have a positive impact.

Furthermore, when journals request a completed STROBE checklist, it should actually be used by editors and peer reviewers. Otherwise, authors may feel like they did extra work for no benefit. It is theorized that requiring a completed checklist is the most effective form of implementation by journals. However, most endorsement literature does not differentiate between requiring and recommending RGs, so it is unclear whether there are discernible differences on completeness of reporting [33]. Journal editors have also been reluctant to enforcing RGs, expressing concerns that authors will switch to journals with easier submission processes, that their instructions to authors are sufficient, and that implementation would place undue burdens on reviewers [24].

Survey responses solidified often-discussed benefits and issues with reporting guidelines. There is a great potential to increase transparency and reproducibility through complete reporting, provide structure to manuscript writing, and educate early career researchers on the proper conduct of observational research. However, we need to better communicate flexibility to authors who are investing extra time, often perceived to be at the sacrifice of one's ego, to complete reporting checklists. Perhaps the most challenging aspect is the culture change needed to shift away from ingrained personal (over)confidence. Recognizing that these beliefs are quite common is the first step to better acknowledging the importance of humility. Although the ivory tower of academia is still standing, we might be inadvertently missing a few bricks.

### **CRediT** authorship contribution statement

Melissa K. Sharp: Conceptualization, Methodology, Formal analysis, Data curation. Ketevan Glonti: Writing - review & editing. Darko Hren: Validation, Investigation, Resources, Writing - review & editing, Supervision, Funding acquisition.

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Authors' contributions: M.K.S. conceptualized the study and led the writing of the manuscript. K.G. contributed to the manuscript preparation. D.H. led the supervision of the manuscript preparation. M.K.S. and D.H. performed all analyses. All authors read and approved the final manuscript.

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# Chapter Three: An educational intervention for teaching research methods and writing

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### Summary

Participants from our online survey (Chapter 2) confirmed that time was a perceived barrier to using STROBE. They reported that completing the STROBE checklist was a separate additional task to the manuscript submission process. STROBE is not integrated into the writing workflow nor is it effectively used during the peer review process. These create barriers to effective implementation of STROBE and reporting guidelines like it. Furthermore, many survey participants highlighted issues with STROBE's content and lack of detailed guidance in many areas. These gaps and lack of guidance are further reinforced by the results of the qualitative assessment detailed in Chapter One. Despite these gaps though, many authors mentioned that they use STROBE in the training and education of early career researchers. However, to our knowledge, information on how this content is delivered or what it entails is not readily accessible nor standardized.

Epidemiology is a collaborative and rapidly-changing field. There are many excellent epidemiology textbooks and online courses and quality education is attainable [94,149–151]. But in large part, these are static and closed sources of knowledge which are created by experts in the field. These educational sources are also scattered and require additional effort by authors to seek out information, disrupting their manuscript writing flow.

In recent decades, manuscript writing has evolved to include a broad digital ecosystem of software such as reference management software that easily integrates into word processing software (e.g., EndNote [151], Mendeley [152], or Zotero [153] Add-ins in Microsoft Word). Harnessing these technological capabilities allows for a seamless integration between knowledge production (i.e., manuscript writing), reinforcement and procurement (i.e., easier access to educational resources). By integrating reporting guidelines into the writing process, authors can



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be continuously exposed to education and guidance. This allows authors to more easily incorporate checklist items into the structure of their workflow and manuscripts. Furthermore, if co-authors have access to the same guidance, the effort becomes more collaborative in nature. This can help address voiced concerns about the time and effort required needed to complete a reporting guideline.

An early-intervention approach is supported by results from a 2019 scoping review on interventions to improve reporting guideline adherence [79]. It found that little work has focused on training and educating authors on using RG and more research was needed at the early stages of research, such as during manuscript preparation. Manuscript writing is a complex and iterative process involving multiple stakeholders thus interventions targeted at individual authors may not be sufficient.

Authors in the survey discussed in Chapter Two indicated that their environment may not be aware or supportive of reporting guidelines [4]. Broader and larger interventions are more likely to see success as awareness is a major barrier to use. We cannot expect every individual author to want to be in the vanguard trying to convince their coauthors to use reporting guidelines. An intervention integrated within existing manuscript writing software may see the most success as it is an early-intervention approach and it is able to reach a broader group of individuals.

### Collaborating on a Writing Aid Tool

The initial approach to addressing this issue was to create an Add-in in Microsoft Word to integrate the completion of checklists into the manuscript writing process. This approach was also aligned from previous work suggesting better integration of reporting guidelines into writing



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software [76]. At the initial Methods in Research on Research (MiRoR) meeting in October 2016, it was discovered that a team of researchers had a similar idea and were already at the initial stages of developing a writing aid tool to address this issue. Thus, rather than compete or create research waste, a collaboration was initiated with a doctoral student from the team at Ghent University. The tool allows for four different checklists to be used (e.g., STROBE, STROBE-nut, CONSORT, and PRISMA) and for additional information from the explanation and elaboration documents (and links) to be provided to external sources in a text-box [17,154]. Supporting Blanco et al.'s call for more evaluations at the formative stages of research [79], a crossover randomized control trial beta-tested the tool and evaluated its acceptability to authors in comparison to current methods (i.e., the standard use of the checklist as a Microsoft Word document). I assisted with recruitment, data analyses, and manuscript preparation. Researchers from high-, middle-, and low-income countries used the tool to apply reporting guidelines to their checklist. Participants perceived the writing aid tool to be easier use to use than the Word document and feedback was useful for improving the tool's technical capabilities. The tool is available freely via GitHub [154].

#### Developing the curriculum and course content

The question of what content to include remained. Participants from our online survey [4] offered many differing opinions on the scope of STROBE and what it should address. The qualitative assessment of the content in the extensions demonstrated that there may be some gaps in content and stressed the importance of certain field- or method- specific items that are needed beyond the scope of the STROBE core checklist [2]. Participants from our survey also highlighted the need for a greater emphasis on casual and epidemiological thinking and

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thoughtful approaches to analyses. Furthermore, many reported that they already use STROBE in teaching and they see a lot of value for using it with early career researchers. However, there appears to be no simple answer for what content nor level of detail to include.

Many biomedical researchers are poorly trained in research design and analysis [120]. Clinical researchers often receive only introductory courses in biostatistics and do not engage in continuing formal training in data analysis or study design [120]. While clinicians must past rigorous examinations to practice medicine, they are not faced with the same assessments prior to practicing clinical research and consequently do so with deeply inadequate training [120].

Even when training may be adequate, curriculums can be slow to change and keep up with new approaches and methodologies. Surveys of North American doctoral programs in psychology, published in 1990 and 2008 respectively, showed that new developments in statistics, measurement, and methodology are not being incorporated into most graduate training programs and the research design curriculum is not evolving to encompass new approaches [155,156]. In addition to these outdated and inadequate programs, there is a widespread lack of statisticians and epidemiologists [157]. With deficient or undertrained workforce, it is unsurprising that a lot of that biomedical research is poorly designed, analyzed, and reported. Better training in hypothesis and study design formulation, critical thinking, and evaluation of the evidence is greatly needed [33].

In a recent survey of perceptions on interventions to improve adherence to reporting guidelines, interventions related to training were considered the most difficult to implement although they were also perceived to be one of the most potentially effective [123]. The task of creating this educational intervention is incredibly complex but vital as observational studies are the most common study design employed in epidemiology and they are "ambiguous, difficult,



and necessary" for it [33,92]. Plainly stated, even the creation of STROBE itself was a colossal task. An intervention with a rigorous study design, like a randomized controlled trial, would have to restrict its scope (due to the large amount of content covered in STROBE) and audience (for feasibility purposes). Thus, it could, at best, only demonstrate a proof of concept, with no guarantee that this intervention could reach or impact a larger and more generalizable group of researchers. An online writing aid tool for CONSORT, the CONSORT-based WEB tool, was previously created and tested which focused on the methods section of a manuscript based on a RCT [158]. Participants were given a protocol then given four hours to write the methods section of a manuscript either with or without additional assistance (i.e., text from the CONSORT reporting guideline). Results showed that it could improve the completeness of reporting for manuscripts, but the generalizability of results is limited as participants were masters and doctoral students who were given four hours to write a section of a published report, which is not representative of the collaborative time-consuming nature of biomedical writing. Additionally, in light of the feedback from authors in the survey detailed in Chapter Two, there are large concerns about barriers to using STROBE and reporting guidelines. COBWEB's online nature means that it is not integrated into the workflow and adds additional awareness and time burdens on authors. Furthermore, its content is restricted to that from CONSORT and is therefore a "closed" innovation, with a gatekeeper, not allowing for input from the broader biomedical community. In other words, this tool could be strengthened by the provision of additional educational resources, however, its design currently does not allow for this.

Restricting an intervention to train authors on the "most important" items of the STROBE checklist could be problematic as well. Other work evaluating the impact of a web-based tool (WebCONSORT) demonstrated that many authors cannot even properly identify their study



design. In a quarter of the manuscripts involved in the study, authors incorrectly selected the relevant CONSORT extension [144]. Similar misclassification issues exist for observational research [104]. This work emphasized a clear need for better education earlier in the publication process. Furthermore, it suggests that a lack of understanding can range from the "simplest" items of the STROBE checklist to the more complex. Education should be as comprehensive as possible as students have various needs.

As previously discussed, major barriers exist to effectively implementing education surrounding reporting guidelines. Firstly, despite information being generally widely available, a lack of awareness is extremely prevalent. More work needs to be done at the grassroots level as it is evident that many journals are not supporting reporting guidelines. Secondly, epidemiology and observational studies are extremely diverse, rapidly changing, and nuanced. Information must be tailored and in accordance with expert knowledge and opinion in multiple areas. "Reinventing the wheel" and creating another epidemiological course could create research waste. Thirdly, authors may be resistant to attempts to "forceful education" (e.g., placing a barrier between them and their manuscript submission), believing that suggestions for additional education may be undermining and undervaluing their training. A participant from the online survey summarized this common sentiment saying that, "*As a trained epidemiologist, using STROBE is a waste of my time.*" [4]

#### Creation of an educational expansion of STROBE

Accounting for the level of detail and nuance needed for an educational intervention, as well as the necessity for a cautious (i.e., not offensive or demeaning) approach for a global audience, it was decided to create an open access, editable course aligned with the tenants of



open science and transparency. In this manner, the course can harness expert and specialized knowledge to fill much-needed gaps in conveying statistical and methodological concepts. Furthermore, there is some evidence to suggest that interactive, theoretically based, and flexible interventions may show more promise [127,159].

A previous review of graduate medical training in clinical epidemiology, critical appraisal, and evidence-based medicine, found that incomplete descriptions of course curricular is common [160]. By using crowd-sourcing on an open-platform, this problem will be addressed as curriculum changes can be proposed, monitored, and approved. This platform would also allow survey participants who indicated that they already use STROBE as a teaching tool to share their experiences, curriculums, and resources. With a centralized platform, more formal evaluations can then occur. These evaluations will be facilitated by the use of R as the language has immense functionality, flexibility, and strength (e.g., allows interactive elements, html forms, data visualizations, etc.). The delivery of these course modifications, monitoring, and eventual evaluation, is made possible by using GitHub and R [161,162]. GitHub is a website that allows for collaborative version control for open source projects. It is free to access and use and allows users to track bugs, request features, manage tasks, and create wikis for projects. It is the largest host of source code in the world [163]. The course content is created using R, a programming language and free software environment for statistical computing and graphics [161]. This is used by many statisticians and epidemiologists and is open-source, meaning that there are no monetary barriers to engaging in the code development.

While many people traditionally use R for statistical analyses and generating data visualizations, the capacity of R is continually expanded upon through the creation of new "packages." For example, the R Markdown package allows authors to create dynamic documents



that contain statistical analyses and plots [164]. In other words, one can create documents (e.g., .pdf, .doc, .html files) that contains things like normal text, pictures, hyperlinks to external sources, and chunks of embedded R code. R Markdown can also work with many different packages, such as the "Bookdown" package which allows one to create online, interactive books [165], and "Shiny" [166] which allows users to create interactive web apps for things such as sample size calculations, spatial epidemiology maps, and various types of data visualization (e.g., scatterplots, bar charts, box plots, etc.) [167].

Using Bookdown [165], I created an Educational Expansion to STROBE which is comprised of many individual R Markdown files that "knit" together. I created the framework and draft content using information from STROBE E&E [43] document, results from the qualitative assessment of the extensions (Chapter One), and qualitative feedback about content given by survey participants (Chapter Two). All of the individual items from the STROBE extensions which were coded as non-specific (as a part of the qualitative assessment detailed in Chapter One) were distilled and added as suggested additional items (see Chapter 3: Additional File 2). As a final deliverable of this dissertation, the educational intervention has been "launched" and is open to contributions (Figure 4) [147].





Figure 4. Screenshot of an R Bookdown Educational Expansion to STROBE

The site begins with a general introduction to its purpose, content, and audience, then each checklist item is addressed in order. Each page relating to a checklist item contains the original text from the STROBE checklist and Explanation and Elaboration [42,43] under appropriate headings across all pages (i.e., Expalanation, Elaboration). It is supplemented by further expansion related to the non-specific items that were mentioned in the qualitative assessment. Each page also contains a section dedicated to the field-specific items identified that relate to that checklist item [2]. At the bottom of each page, cited references are included (from the E & E) and any additional educational resources related to the checklist item can be added. These references are able to be downloaded as a typical reference manager software filetypes (e.g., bibme, bibtex, etc.) from the open repository on GitHub. At the end of the STROBE items, there is also a page dedicated to sharing general epidemiological resources such as online courses, books, and journal articles.



If a reader would like to contribute to the Educational Expansion, they can suggest edits by accessing the file on GitHub (Figure 5), by commenting via a Disqus [168] forum at the bottom of each page, or by contacting the primary author (me) via social media or e-mail (Figure 6). This allows for different levels of engagement and technical knowledge as not all users may be familiar with R or GitHub. Each page is its own html file and the font size, style, and page colors can be changed according to the user's preferences (Figure 6).

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**Figure 5.** Screenshot of GitHub Repository





#### Figure 6. Screenshot of R Bookdown Functionalities

By harnessing the power of R Markdown and GitHub, this educational intervention can is open-source and editable by the epidemiology community if they wish to share their knowledge and resources. This idea was inspired by the #epitwitter community on Twitter which regularly discusses epidemiology topics in threads -- resulting in the sharing of some great resources that communicate complex epidemiological methods in easy-to-understand ways [169]. However, this information is currently extremely scattered and not indexed well. Through crowdsourcing, an open innovation approach is embraced rather than the conventional medical research approach which is a closed innovation process [170]. Traditional models of medical research are often led by experts, with little input from the outside and focuses on controlling intellectual properties (IP). Crowdsourcing allows for a diverse group of individuals, both experts and non-experts, to create and use other's IP when it advances the research.



Crowdsourcing or collective intelligence efforts also face some challenges. We have tried to address the potential issues of sustainability, communication, and recruitment through several avenues [171]. Firstly, the platform itself (R and GitHub) allows for allows for the free-exchange of ideas in a transparent manner. R and GitHub will most likely be used by the more "expert" audience whereas each page will allow public contributions (via Disgus comment functionalities [168]) which allows a "non-technical" audience to provide feedback. GitHub also allows for additions or changes in moderation if contributors demonstrate interest and ability in expanding and continuing the work. Secondly, contributor guidelines, frequently asked questions (FAQ), and introductory text about the purpose of the platform have been created to help communicate to participants about expectations of use and give guidance on how to interact with the platform. Lastly, throughout the course of this doctoral work, I have been engaged with several epidemiology, statistics, and early career researcher communities on Twitter, partially in attempts to build a network which may be interested in this platform. Several survey participants also expressed their desire to be informed of results and potentially be engaged in future work. Lastly, the MiRoR network [172] and partners within it, particularly the EQUATOR Network [61], can distribute this platform further



# Discussion

The work in this dissertation was guided by the Promoting Action on Research Implementation in Health Services (PARIHS) knowledge translation strategy which contains three core elements (evidence, context, and facilitation) that influence how successful research implementation can be [80–82,128]. The **evidence** of STROBE's effectiveness and acceptability by the research community must be strong; the **context**, containing prevailing culture, leadership roles, and organizational structures must support the use of STROBE; and authors must hold the personal characteristics that **facilitate** the use of STROBE. Transforming STROBE from simply a reporting guideline into an educational tool required investigation into these three facets.

## The evidence

I began by investigating the evidence surrounding STROBE's acceptability (i.e., endorsement) by journals, and its acceptability as a methodological base for the extensions to STROBE. CONSORT has had two updates in the two decades since its creation [26,173,174], and PRISMA has been updated once (and is currently undergoing another update) [130,175– 177]. STROBE has not been updated at all. At a meeting in 2010, three years after its publication, an update was deemed unnecessary [178]. However, another ten years has passed since. It would be ill advised to create an educational intervention on a checklist that authors may view as flawed or in need of updating.

By qualitatively assessing the content of the STROBE extensions, we were given a glimpse into areas that may need to be added to the checklist and the educational intervention [2]. Specifically, the methodological items were of particular concern. It also indicated that there may



be items that are not clearly communicated or insufficiently described. 298 additions were proposed across 13 extensions. With an average of 22 additional items per extension, the reporting checklist for authors to complete is essentially doubled in length, potentially introducing a barrier to use. Furthermore, results found that 112/298 (37.6%) of these additions were redundant or reflected general epidemiological or methodological concepts. This raises concerns that certain concepts are being poorly understood, in need of clarification or deeper guidance, or are simply missing from STROBE. This assessment provided evidence for areas that may be misunderstood by authors, how STROBE is currently being expanded upon for different fields and methodologies, and how information can be used to help further educate authors in the future.

The second part of the first project (discussed in Chapter One) continued investigating the evidence surrounding the acceptability of STROBE and its extensions [3]. Journals are largely not endorsing STROBE, nor the extensions, and the language that they use is ambiguous and vague. Of the 257 unique journals identified in the study, more than half (54%) did not mention STROBE in any manner. 12 (5%) required STROBE on submission, 22 (9%) suggested use, 12 (5%) recommended a "relevant guideline," 72 (28%) mentioned it indirectly (via editorial policies or International Committee of Medical Journal Editors recommendations). The STROBE extensions are endorsed at extremely low rates (~1%) or not at all.

Furthermore, a decent portion of information was found in places (e.g., editorial policies) other than instructions for authors which is another barrier to raising awareness and reporting guideline implementation as it may not be an intuitive place for authors to look. Vague phrasings and suggestions rather than requirements can also undermine the importance of reporting guidelines. A key finding from this study was also the relationships found between endorsement



of STROBE and CONSORT, PRISMA, and COPE. This suggests that good publication practices come as a package. This strength should be harnessed, as these groups can have a larger number of individuals and therefore global reach. The EQUATOR Network recognizes this aim and promotes the overall concept of reporting guidelines and reporting transparency but RG creation is largely done in isolated teams due to the specialized nature of their work. Generally speaking, trialists stick with CONSORT, epidemiologists stick with STROBE, and methodologists stick with PRISMA. In the future it may be better if these teams try to create better synergies between themselves and work to promote guidelines other than just their own.

The results of the first project also has important implications for the literature surrounding endorsement as much of it focuses only on information in instructions to authors and does not delineate different definitions of "endorsement." Consequently, it raises questions regarding the validity of the evidence base for endorsement and must be considered for future investigation into STROBE's impact on completeness of reporting. Another differentiation between our work and existing literature is that this study found no relationships between four different indices of journal impact factors (JIF) and endorsement, despite others finding a link to higher journal impact factors (JIF) [72].

This study also provided an approach and open-source corpus of journal and observational studies for assessing the impact that endorsement has on the completeness of reporting. Testing a relationship between endorsement and an increase in completeness of reporting can provide the much-needed data to address skeptic's concerns about the tangible value of supporting STROBE and its extensions. Previous studies have utilized segmented time regressions, an approach commonly used to evaluate the impact of health policies, to evaluate the impact of a reporting guideline's publication (or endorsement) on the quality of reporting



[95,179–181]. With all endorsement data open source, a corpus of articles, and replicable search strategies, it is possible to build upon this research in the future.

### The context

Next, I investigated how authors viewed the context in which they were conducting their work. A supportive environment is important for the success of reporting guidelines. If the prevailing culture, leadership roles, and organizational structures do not support STROBE, these could be considerable barriers to use. Efforts to educate authors could be futile if their environment does not allow them to apply their education in a meaningful manner. While the first project could establish the objective nature of the context (i.e., low endorsement rates of STROE and the extensions) in which authors are working in, one needs to also consider authors' views on this. If authors do not view the environment as an issue, then low endorsement rates are less of a problem than expected.

The survey sought feedback from those with prior experience using STROBE, those who were aware of its existence but had never used it, and those who were unaware of its existence prior to the survey invitation. 1015 participants completed the entire survey with those with experience with STROBE (n = 635) were the largest portion of our sample (60%). However, of note, we engaged nearly 200 participants who previously had never heard of STROBE making our survey an awareness intervention itself. Targeted campaigns like this might be a good avenue for educating authors about certain guidelines.

Of those who had used STROBE previously, the most frequently endorsed motivator to use STROBE was the journal submission process. Those that had never used STROBE also reported that this would be a strong motivator to use it. For those who heard of STROBE but had



never used it, the most frequently reported reason was that journals did not require it. Furthermore, for those that were already aware of STROBE prior to the survey, they reported that journals were the most frequently endorsed way they were made aware. In sum, journals are the key motivator, enforcer, and raiser of awareness. Their support is essential.

While authors may hold journals ultimately responsible, as previously discussed, endorsement rates are extremely low [66,72,73,116,131]. Furthermore, a survey of journal editors demonstrated that while they generally believed that engaging trained editorial staff would be the most effective (yet resource intensive) editorial intervention, they also thought that peer reviewers should not be asked to check RGs [123]. While there is some evidence to demonstrate that a more active implementation stance (i.e., checking for compliance) improves reporting [95], this may not be feasible for all journals, especially for those which have less resources and staff to check compliance with RGs.

A majority of participants in all groups reported that they would consider or have used STROBE during the manuscript writing process (63 – 71%). Whereas, a majority of those who have not used STROBE also said they would consider using it during the protocol/design stage while (65% and 60%) while those who have used STROBE before were much less likely to report actually using it at this stage (38%). An opposite trend was seen for using STROBE after completing a manuscript to check that all information was reported. Of those who actually used STROBE, 69% reported doing this, while only 50% and 43% of those who never used STROBE would use it at this stage. This insight presents a potential new avenue for early-intervention approaches and also questions the traditional modality of use. Intervening at the protocol/design stage could also have the most impact and could pair best with educational efforts.



Lastly, the second project confirmed that a Health Technology Assessment (HTA) approach can be applicable to STROBE (and reporting guidelines) but it needs to take the academic publishing environment more into consideration. Previous surveys done with journal editors [74,76,123,182] and literature on the "publish or perish" mentality in biomedical publishing may be helpful for creating new questions to flesh out the special "facilitating conditions" that the academic publishing environment may contain [182–184]. In spite of the need to improve upon this instrument, its current state was still validated. It can consequently be used as a base in future research evaluating other reporting guidelines.

#### The facilitators

In addition to having a supportive environment, authors must also hold the personal characteristics that facilitate the use of STROBE. Fifteen percent (n = 150) of survey participants (n = 1015) shared perceptions and insights via qualitative feedback. Results showed that there is a lot of disagreement regarding the level of specificity desired in STROBE and its usefulness. Generally, authors were not opposed to using STROBE but in the absence of journal requirement, they expressed that there was often no strong external motivating force. Their coauthors did not use it and journals were not requiring it. Furthermore, when some used STROBE, as required by a journal, they were discouraged as it was not used by the editorial staff or peer reviewers, thus turning STROBE's completion into a simple administrative burden. Lastly, and perhaps the most difficult issue to address, was author's expressions of views of their own. These views were mainly manifested in rejecting the usefulness of STROBE through expressions of self-assuredness or over-confidence in one's abilities.



When this over-confidence is demonstrated by physicians, it has been deemed to be considered arrogance or even violence. [185–188] Academia, similar to medicine, is a hierarchical system plagued by imposter syndrome, socially-prescribed perfectionism, and burnout [189]. One's high level of academic achievement has been linked to one's identity – low levels of academic achievement can result in confusion regarding one's commitment and identity [190]. The ideal of working towards the "greater good" of science -- a noble pursuit which garners prestige and respect – reinforces this hubris. [186] It is apparent that sociological and psychological issues may need to be addressed in order to see the full benefit of reporting guidelines. Accommodating for deeply engrained personal beliefs influenced by the structure of academia complicates the issues of reporting guideline use.

## Limitations

Regarding the PARiHS framework for this project, Kitson et al. [191] note that, while the it is a useful tool for research implementation, it remains largely untested. They believe that the PARiHS framework may be best used as a two-stage process – where the evidence and context are evaluated first then the aggregated data is used to determine the most appropriate facilitation method. By using the framework in this manner, decision-makers can tailor any knowledge translation interventions to the local context [191]. A hybrid approach was used for this dissertation. The work was conducted in a two-stage process but, as STROBE is already actively implemented, we did not separate the process into evidence and context evaluations *then* determining the most appropriate facilitation method. It was important to investigate current facilitators of use to inform how best to transform STROBE into an educational tool. Only after the first two projects were conducted was the final educational expansion was decided upon.



As previously noted, the first project of the dissertation could only focus on the endorsement of 7 out of 13 extensions, thus results may not be generalizable to all extension fields. Also, due to time and resource restraints, investigating the evidence of STROBE's impact on completeness of reporting was not performed. This work could have helped strengthen the evidence-base. Lastly, the search strategies did not evaluate non-English journals and restricted to pool to those indexed in MEDLINE. Many journals were dropped during the screening process.

The second project, the online survey, has two main limitations: self-selection and nonresponse bias. Those who have used STROBE previously represented roughly 60% of participants and it is possible that they could have a more favorable view of STROBE, especially if they continue to use it. Additionally, this group had a lower dropout rate than the other two groups, possibly because it is easier to think concretely about STROBE because it has been used whereas the other groups had to be more theoretical or hypothetical in their thinking. The information about STROBE, which was given to those who never heard of it, may have also been too brief to allow for the reader to fully comprehend it. Furthermore, it was not practical to accurately estimate how many potential people viewed our survey and, despite three contact attempts, our nonresponse rate from journal editors was high.

Regarding the final project, the educational intervention, as it is a drafted website and is collaborative in nature, evaluation may be more difficult than a traditional research study. There may also be some technical barriers to use where those who are familiar with R and GitHub may be more eager or willing to participate. We attempted to address this by allowing other forms of feedback but still, people who engage will probably be a biased group who believe in STROBE and want it to be successful. Furthermore, as reporting guidelines themselves have faced this issue, it may be difficult to raise awareness of the existence of this educational expansion to



STROBE. Consequently, user engagement may be low. Lastly, as results from the qualitative assessment suggested, STROBE may need to be updated in its traditional format. This educational expansion could complicate things and it is different than the traditional Delphi survey consensus approaches to updating reporting guidelines [192].

### Implications

One of the key originators of STROE, Erik von Elm, envisaged reporting guidelines as life jackets, not strait jackets. [193] This vision portrays STROBE as a safety mechanism of sorts. It is not, nor was ever met to be, constrictive, rigid, or reduce one's creative writing capabilities. STROBE extension creators have shared this vision as they have adapted it for their own purposes, however, adaptations have pointed out some concerns with STROBE through the creation of redundant or nonspecific content additions. After all, STROBE is an "evolving document that requires continual assessment, refinement, and if necessary change." [43] As previously discussed, when compared to CONSORT and PRISMA, STROBE is overdue for an update.

The implications for an educational intervention are multi-faceted and challenging. Firstly, the intervention cannot be static in light of the demonstrated need of an eventual need to update STROBE. Secondly, although STROBE has seen some success in terms of endorsement by journals, the extensions are largely not being promoted and STROBE is still endorsed at suboptimal rates. This is in spite of authors essentially stating that journals are the most important motivators and enforcers of use. Engaging journal editors and continuing to work on the "topdown" approach is necessary but our findings also highlight that individual authors' views have largely been ignored to date.



After journals, self-motivation was the next biggest driving force behind use of STROBE. With many journals not endorsing STROBE, raising awareness needs to occur through other mechanisms. We engaged nearly 200 authors who previously had never heard of STROBE, making our survey an awareness intervention itself. Targeted campaigns like this might be a good avenue for educating authors about certain guidelines. Furthermore, for our intervention, it is important to recognize that many may not even be aware of the overarching issues of reproducibility and replicability or the need for reporting guidelines -- let alone STROBE.

Broader awareness campaigns can also address some of the main concerns expressed by authors – the additional time needed to complete a checklist. With more people aware of the issue and engaged in the use of STROBE, the workload can be shared among coauthors. Integrating education and the checklists into the workflow process, by use of a writing aid tool supplemented by open-source detailed education, can help address both concerns of time and a greater level of detail desired.

#### Perspectives

The expressions of confidence and feelings of being constrained by the rigidity of the checklist highlight key issues that need to be addressed in awareness and education efforts. Notably though, the self-assuredness demonstrated from some biomedical researchers in our study is not a unique phenomenon. One of key players in the SORT group [24] and in the creation of the CONSORT Statement [27], Dr. Thomas Chalmers, shared this same thought over twenty years ago. He worked for decades as a clinical researcher conducting and advocating for randomized control trials and meta-analysis in medical research. When discussing the initial and persistent resistance to clinical trials, he noted:



"There is something very pejorative about the concept of randomization. They have to recognize how ignorant they are before they really accept it. And that is the difficult thing: getting doctors who have begun feeling they are more and more knowledgeable and omnipotent to appreciate that they do not know everything."

Although he was speaking about randomization and RCTs, a parallel argument can be used for epidemiological and biomedical researchers in the survey discussed in Chapter Two. Our study results demonstrated that many highly trained epidemiologists believed that they are knowledgeable to the point where they do not see personal value in using STROBE. In addition to "simpler" issues of a lack of awareness, education, and time, this project is engulfed by cognitive barriers which may be bolstered by the culture of academic publishing.

It has been over ten years since STROBE has been published. Many have been raising awareness and endorsement has increased, however, it is still at "suboptimal" rates. While the main motivator and enforcer of reporting guidelines is journals, author's perspectives and selfmotivators have been largely ignored to date. Authors are the end user of the tools; thus, they should be given more attention. If there is real or perceived resistance to use, this is more difficult to address than any issues of journal endorsement.

Proliferation of reporting guidelines in recent years can be partially attributed to authors taking back a sense of ownership. Through extensions, they have the opportunity to change how current things are communicated and also bring more rigor to their own specialty. While guideline development can include multidisciplinary groups of experts using feedback methods such as the Delphi survey, it is still largely a closed and exclusive process, adhering towards



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conventional forms of innovation in medical research. By embracing a modern open-innovation approach, the narrative is flipped and some power is given back individual authors.

Increasingly, the culture of open science is being embraced, especially by early career researchers [194]. Paired with the survey results from Chapter Two which highlighted beliefs in earlier interventions in the research process and career, an open flexible platform of shared learning has potential in the reporting guideline realm. In this way, inclusion of supplemental resources can allow information to be incredibly nuanced and detailed, allowing for better development of critical thinking skills and in-depth understanding of complex issues. At the same time, the checklist can remain relatively static, until crowdsourcing reaches saturation on some issues. Additionally, this approach does not interfere with the traditional approach and could also help advance an update of STROBE (as results have thus far indicated that it may be necessary). Lastly, survey participants had such diverse views that accommodating them all in a closed innovation approach would be incredibly complex and potentially ineffective.

# Conclusion

The Promoting Action on Research Implementation in Health Services (PARIHS) knowledge translation strategy was used to evaluate the evidence, context, and facilitators surrounding the use of STROBE. Transforming a reporting guideline into an educational tool required investigation into these three components.

The first project investigated the evidence for the acceptability of STROBE and its extensions. The qualitative assessment found that content in the STROBE extensions is sometimes redundant or reflects general epidemiological tenets that may be missing from



STROBE. While the cross-sectional bibliometric study found that the context in which authors are working is largely unsupportive of reporting guidelines. The extensions are essentially not being endorsed and endorsement of STROBE is often scattered and vague.

Authors participating in an online survey shared views on their environment and facilitators of use. Responses revealed multiple misunderstandings about STROBE's purpose and content and revealed large disagreements about the level of specificity desired. Authors also held some internal views that are detrimental to the promotion of STROBE, such as the inflated self-confidence. Furthermore, results emphasized the need for better incentive and enforcement mechanisms as there is no often no strong motivating force to use STROBE. Coauthors rarely use it and most journals are not requiring it.

Early-interventions focused on early-career researchers may hold the most promise but the audience for an educational intervention will be diverse and content must be flexible. Results helped provide content and support for an educational intervention that is open-source, editable, and accessible by a worldwide audience.



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# Annex

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#### PROTOCOL

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## **Chapter One: Protocol**

### Additional File 1. Ovid MEDLINE Search Strategies

All searches use the following database: Database: Ovid MEDLINE(R) Epub Ahead of Print, In-Process & Other Non-Indexed Citations, Ovid MEDLINE(R) Daily and Ovid MEDLINE(R) <1946 to Present>

For each extension (except for EULAR), the observational strategy (14) is combined with the field-specific strategy (or/15-xx) and restricted to search only within the list of eligible journals ("journal name" or "journal name 2" ...or "journal name n"...).jn. within a certain time frame (limit xx to year="2 years prior to extension publication - 2017").

#### Identifying Observational Studies

- 1. Observational study/
- 2. (observational adj3 stud\$).tw. 3. exp Cohort Studies/
- 4. cohort\$.tw.
- 5. controlled clinical trial.pt.
- 6. Epidemiologic Methods/ 7. exp case-control studies/ 8. (case\$ adj3 control\$).tw. 9. Comparative Study/
- 10. prospective\$.tw.
- 11. retrospective\$.tw.
- 12. Cross-Sectional Studies/
- 13. prevalence/
- 14. or/1-13

#### Identifying Journal Publishing Studies in the Relevant Field

#### STREGA

- 15. exp Genetic Association Studies/
- 16. exp Polymorphism, Genetic/
- 17. exp Genetic Predisposition to Disease/
- 18. exp Genetic Research/19. genome-wide association.tw. 20. genomewide association.tw
- 21. genetic research.tw.
- 22. gene\$ polymorphism.tw.
- 23. gene\$ association.tw.
- 24. or/15-23



#### STROBE-EULAR

No field-specific search strategy necessary due to specificity of broad subject term. The observational filter is still used in combination with the eligible journal pool.

#### STROBE-ME

- 15. exp molecular epidemiology/
- 16. exp Biomarkers/
- 17. Molecular epidemiolog\$.tw.
- 18. Genetic epidemiolog\$.tw.
- 19. Biomarker\$.tw.
- 20. Bio-marker\$.tw.
- 21. Or/15-20

#### STROME-ID

- 15. Molecular Epidemiology/
- 16. molecular epidemiolog\$.tw.
- 17. exp Communicable Diseases/ep [Epidemiology]
- 18. exp Infection Control/
- 19. infection\$.tw.
- 20. exp Molecular Typing/
- 21. molecular typing.tw.
- 22. molecular marker\$.tw.
- 23. molecular clock.tw.
- 24. multiple-strain.tw.
- 25. or/15-24

#### RECORD

- 15. exp Records as Topic/
- 16. Registries/
- 17. database/ or dataset/
- 18. exp Information Systems/
- 19. (data or dataset or database or register or registry or registries or record\$).tw.



20. or/15-19

#### STROBE-RDS

- 15. respondent driven.tw.
- 16. respondentdriven.tw.
- 17. participant driven.tw.
- 18. or/15-17

#### STROBE-AMS

- 15. exp Anti-Infective Agents/
- 16. exp Infection/
- 17. (antibiot\$ or antimicrob\$).tw.
- 18. exp Drug Resistance, Microbial/
- 19. Vancomycin/
- 20. exp Aminoglycosides/
- 21. exp Fluoroquinolones/
- 22. exp Carbapenems/
- 23. exp Cephalosporins/
- 24. (vancomycin or aminoglycosides or fluoroquinolones or carbapenems or cephalosporins).tw. 25. or/15-24



## **Chapter One: Article**

## Additional File 1. Ovid MEDLINE Search Output

All search strategies utilized Database: Ovid MEDLINE(R) In-Process & Other Non-Indexed Citations and Ovid MEDLINE(R) <1946 to Present>

### EULAR

Search Strategy:

\_\_\_\_\_

- 1 Observational Study/ (38921)
- 2 (observational adj3 stud\$).tw. (94352)
- 3 exp Cohort Studies/ (1708703)
- 4 cohort\$.tw. (421788)
- 5 controlled clinical trial.pt. (94399)
- 6 Epidemiologic Methods/ (31287)
- 7 exp case-control studies/ (886809)
- 8 (case\$ adj3 control\$).tw. (138990)
- 9 Comparative Study/ (1819870)
- 10 prospective\$.tw. (577410)
- 11 retrospective\$.tw. (555639)
- 12 Cross-Sectional Studies/ (251139)
- 13 prevalence/ (245273)
- 14 or/1-13 (4258993)

15 ("Scandinavian journal of rheumatology" or "Connective tissue research" or "Annals of the rheumatic diseases" or "Scandinavian journal of rheumatology Supplement" or "Seminars in arthritis and rheumatism" or "The Journal of rheumatology" or "The Journal of rheumatology Supplement" or "Rheumatology international" or "Clinical rheumatology" or "Clinical and experimental rheumatology" or "Rheumatic diseases clinics of North America" or "Current opinion in rheumatology" or "Lupus" or "Osteoarthritis and cartilage" or "Journal of clinical rheumatology : practical reports on rheumatic & musculoskeletal diseases" or "Rheumatology" or "Current rheumatology reports" or "Joint, bone, spine : revue du rhumatisme" or "Modern rheumatology" or "Pediatric rheumatology online journal" or "Current rheumatology reviews" or "Reumatology on "International journal of rheumatic diseases" or "Nature reviews Rheumatology" or "Arthritis care & research" or "Bulletin of the Hospital for Joint Diseases" or "Arthritis & rheumatology" or "Revista brasileira").jn. (88036)

- 16 14 and 15 (27559)
- 17 limit 16 to yr="2008 2017" (14993)



#### RECORD

Search Strategy:

The search was erroneously left out the journal "Home healthcare now" originally. The search was rerun and 20 articles were added to the observational stage and 9 to the specific stage. Because of this, numbers for the search strategy items 21 -25, including this journal, are unable to be provided. This error should have no effect on the final results provided.

-----

- 1 Observational Study/ (38921)
- 2 (observational adj3 stud\$).tw. (94352)
- 3 exp Cohort Studies/ (1708703)
- 4 cohort\$.tw. (421788)
- 5 controlled clinical trial.pt. (94399)
- 6 Epidemiologic Methods/ (31287)
- 7 exp case-control studies/ (886809)
- 8 (case\$ adj3 control\$).tw. (138990)
- 9 Comparative Study/ (1819870)
- 10 prospective\$.tw. (577410)
- 11 retrospective\$.tw. (555639)
- 12 Cross-Sectional Studies/ (251139)
- 13 prevalence/ (245273)
- 14 or/1-13 (4258993)
- 15 exp Records as Topic/ (231683)
- 16 Registries/ (71401)
- 17 database/ or dataset/ (323)
- 18 exp Information Systems/ (209749)
- 19 (data or dataset or database or register or registry or registries or record\$).tw. (3841302)
- 20 or/15-19 (4033597)

21 ("Community mental health journal" or "Health services research" or "Inquiry : a journal of medical care organization, provision and financing" or "Medical care" or "The journal of extracorporeal technology" or "Language, speech, and hearing services in schools" or "Journal of allied health" or "American journal of community psychology" or "Child welfare" or "The Journal of school health" or "The Hastings Center report" or "International journal of health services : planning, administration, evaluation" or "Bulletin of the American College of Surgeons" or "Scandinavian journal of work, environment & health" or "Journal of community



health" or "Social work in health care" or "Journal of health politics, policy and law" or "Health & social work" or "Health care management review" or "Journal of medical engineering & technology" or "Hospital peer review" or "Evaluation and program planning" or "Modern healthcare" or "The Journal of ambulatory care management" or "Evaluation & the health professions" or "Research in nursing & health" or "Family & community health" or "Journal of epidemiology and community health" or "Home health care services quarterly" or "Home healthcare now" or "Radiology management" or "Evaluation review" or "Infant mental health journal" or "International quarterly of community health education" or "Health law in Canada" or "JEMS : a journal of emergency medical services" or "Medical decision making : an international journal of the Society for Medical Decision Making" or "Journal of psychosocial nursing and mental health services" or "Advances in health economics and health services research" or "The journal of primary prevention" or "Journal of American college health : J of ACH" or "Australian health review : a publication of the Australian Hospital Association" or "Healthcare financial management : journal of the Healthcare Financial Management Association" or "Medical reference services quarterly" or "Japan-hospitals : the journal of the Japan Hospital Association" or "Health affairs" or "Social science & medicine" or "Health marketing quarterly" or "Journal of insurance medicine" or "Health policy" or "Journal of rehabilitation research and development" or "Journal of health economics" or "Health care for women international" or "Health progress" or "Frontiers of health services management" or "Journal of healthcare protection management : publication of the International Association for Hospital Security" or "Death studies" or "International journal of technology assessment in health care" or "The Journal of medical practice management : MPM" or "The Health service journal" or "The International journal of health planning and management" or "The Milbank quarterly" or "Provider" or "Health policy and planning" or "Healthcare executive" or "Journal of pediatric health care : official publication of National Association of Pediatric Nurse Associates & Practitioners" or "Healthcare management forum" or "The Journal of continuing education in the health professions" or "Health services management research" or "Joint Commission perspectives" or "Health facilities management" or "Health communication" or "Journal of aging and health" or "Administration and policy in mental health" or "Journal of women & aging" or "International journal of health care quality assurance" or "Healthcare informatics : the business magazine for information and communication systems" or "Journal of health care for the poor and underserved" or "Benefits quarterly" or "Journal of AHIMA" or "Qualitative health research" or "Journal for healthcare quality : official publication of the National Association for Healthcare Quality" or "Journal of interprofessional care" or "The Journal of school nursing : the official publication of the National Association of School Nurses" or "Developments in health economics and public policy" or "Journal of mental health" or "Studies in health technology and informatics" or "American journal of medical quality : the official journal of the American College of Medical Quality" or "Managed care" or "Journal of healthcare risk management : the journal of the American Society for Healthcare Risk Management" or "Quality management in health care" or "Health & social care in the community" or "Health economics" or "Health matrix" or "Hospitals & health networks" or "Technology and health care : official journal of the European Society for Engineering and Medicine" or "EBRI issue brief" or "Health management technology" or "ED management : the monthly update on emergency department management" or "Health care analysis : HCA : journal of health philosophy and policy" or "International journal for quality in health care : journal of the International Society for Quality in Health Care" or "Pacific health dialog" or "Nurse researcher" or "World hospitals and health services : the official journal of the



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International Hospital Federation" or "Journal of health and human services administration" or "Psychiatric services : a journal of the American Psychiatric Association" or "Journal of health care finance" or "Journal of correctional health care : the official journal of the National Commission on Correctional Health Care" or "Journal of public health management and practice : JPHMP" or "Journal of telemedicine and telecare" or "Medical care research and review : MCRR" or "Health & place" or "Health data management" or "Psychiatric rehabilitation journal" or "Psychology, health & medicine" or "Journal of health communication" or "Annual statistical supplement, to the Social security bulletin" or "Journal of health services research & policy" or "Education for health : change in training & practice" or "Ethnicity & health" or "Journal of evaluation in clinical practice" or "Australian and New Zealand journal of public health" or "Australasian psychiatry : bulletin of Royal Australian and New Zealand College of Psychiatrists" or "The American journal of managed care" or "Journal of prevention & intervention in the community" or "Aging & mental health" or "Health technology assessment : HTA" or "Canadian journal of rural medicine : the official journal of the Society of Rural Physicians of Canada = Journal canadien de la médecine rurale : le journal officiel de la Société de médecine rurale du Canada" or "Maternal and child health journal" or "Public health reports" or "Health" or "The Permanente journal" or "The journal of behavioral health services & research" or "Journal of child health care : for professionals working with children in the hospital and community" or "Issue brief" or "The journal of mental health policy and economics" or "Health care management science" or "LDI issue brief" or "Health expectations : an international journal of public participation in health care and health policy" or "Evidence-based nursing" or "Harvard business review" or "Architectural record" or "The Rand journal of economics" or "The journal of economic perspectives : a journal of the American Economic Association" or "Fordham law review" or "Journal of medical economics" or "Review of law and social change" or "Care management journals : Journal of case management ; The journal of long term home health care" or "Health estate" or "Clinical privilege white paper" or "The health care manager" or "Primary health care research & development" or "Journal of health, population, and nutrition" or "Telemedicine journal and e-health : the official journal of the American Telemedicine Association" or "HealthcarePapers" or "BMC family practice" or "BMC medical research methodology" or "Health information and libraries journal" or "Policy brief" or "Evidence report/technology assessment" or "Nursing older people" or "Issue brief" or "BMC health services research" or "BMC international health and human rights" or "Advances in health care management" or "Australian journal of primary health" or "MMWR Recommendations and reports : Morbidity and mortality weekly report Recommendations and reports" or "MGMA connexion" or "Rural policy brief" or "Mental health today" or "Current problems in pediatric and adolescent health care" or "The European journal of health economics : HEPAC : health economics in prevention and care" or "Sentinel event alert" or "International journal for equity in health" or "African health sciences" or "Hispanic health care international : the official journal of the National Association of Hispanic Nurses" or "Applied health economics and health policy" or "Health research policy and systems" or "Human resources for health" or "Rural and remote health" or "Journal of health organization and management" or "Communication & medicine" or "Healthcare quarterly" or "Technology Evaluation Center Assessment Program Executive summary" or "Psychological services" or "National Bureau of Economic Research bulletin on aging and health" or "Perspectives in health information management" or "Journal of patient safety" or "Joint Commission journal on quality and patient safety" or "Journal of child and adolescent mental health" or "Globalization and health" or "International journal of evidence-



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based healthcare" or "Health economics, policy, and law" or "International journal of prisoner health" or "International journal of qualitative studies on health and well-being" or "Implementation science : IS" or "Simulation in healthcare : journal of the Society for Simulation in Healthcare" or "Behavioral healthcare" or "Clinical interventions in aging" or "Progress in community health partnerships : research, education, and action" or "Healthcare policy = Politiques de santé" or "American journal of men's health" or "Professional case management" or "Journal of Nepal Health Research Council" or "Evidence-based child health : a Cochrane review journal" or "Disability and health journal" or "World health & population" or "The patient" or "Leadership in health services" or "Tanzania journal of health research" or "Population health management" or "Health systems in transition" or "Journal of primary care & community health" or "Ontario health technology assessment series" or "Journal of healthcare engineering" or "NASN school nurse" or "Sexual & reproductive healthcare : official journal of the Swedish Association of Midwives" or "East Asian archives of psychiatry : official journal of the Hong Kong College of Psychiatrists = Dong Ya jing shen ke xue zhi : Xianggang jing shen ke yi xue yuan qi kan" or "HERD" or "BMJ quality & safety" or "EMS world" or "Journal of comparative effectiveness research" or "Journal of global health" or "Israel journal of health policy research" or "Healthcare philanthropy" or "International journal of health policy and management" or "Healthcare" or "Global health, science and practice" or "Physician leadership journal" or "International journal of health economics and management").jn. (250576)

- 22 14 and 21 (35143)
- 23 limit 22 to yr="2013 2017" (9925)
- 24 14 and 20 and 21 (17856)
- 25 limit 24 to yr="2013 2017" (5771)



#### STREGA

Search Strategy:

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- 1 Observational Study/ (38921)
- 2 (observational adj3 stud\$).tw. (94352)
- 3 exp Cohort Studies/ (1708703)
- 4 cohort\$.tw. (421788)
- 5 controlled clinical trial.pt. (94399)
- 6 Epidemiologic Methods/ (31287)
- 7 exp case-control studies/ (886809)
- 8 (case\$ adj3 control\$).tw. (138990)
- 9 Comparative Study/ (1819870)
- 10 prospective\$.tw. (577410)
- 11 retrospective\$.tw. (555639)
- 12 Cross-Sectional Studies/ (251139)
- 13 prevalence/ (245273)
- 14 or/1-13 (4258993)
- 15 exp Genetic Association Studies/ (40956)
- 16 exp Polymorphism, Genetic/ (244145)
- 17 exp Genetic Predisposition to Disease/ (119244)
- 18 exp Genetic Research/ (9488)
- 19 genome-wide association.tw. (20251)
- 20 genomewide association.tw. (599)
- 21 genetic research.tw. (3272)
- 22 gene\$ polymorphism.tw. (19600)
- 23 gene\$ association.tw. (8983)
- 24 or/15-23 (343019)

25 ("Annual review of genetics" or "Biochemical genetics" or "Human heredity" or "Folia biologica" or "Behavior genetics" or "Clinical genetics" or "Theoretical population biology" or "Advances in genetics" or "American journal of human genetics" or "Genetica" or "Heredity" or "Cancer" or "Genetics" or "Hereditas" or "The Journal of heredity" or "Mutation research" or "Neurology" or "Radiation research" or "Annals of human genetics" or "Immunogenetics" or "Trends in biochemical sciences" or "Human genetics" or "Plasmid" or "Current genetics" or



"Molecular and biochemical parasitology" or "Journal of neurogenetics" or "Genetic epidemiology" or "Trends in genetics : TIG" or "Animal genetics" or "Yeast" or "Proteins" or "Genome" or "Mutagenesis" or "Genes & development" or "Genomics" or "Genes, chromosomes & cancer" or "Human gene therapy" or "Animal biotechnology" or "Genetic counseling" or "Mammalian genome : official journal of the International Mammalian Genome Society" or "Psychiatric genetics" or "Molecular plant-microbe interactions : MPMI" or "Current opinion in genetics & development" or "Genetics, selection, evolution : GSE" or "Trends in cell biology" or "Cancer epidemiology, biomarkers & prevention : a publication of the American Association for Cancer Research, cosponsored by the American Society of Preventive Oncology" or "Journal of assisted reproduction and genetics" or "Journal of genetic counseling" or "The Plant cell" or "Human molecular genetics" or "Molecular ecology" or "Human mutation" or "Nature genetics" or "European journal of human genetics : EJHG" or "Insect molecular biology" or "Molekuliarnaia genetika, mikrobiologiia i virusologiia" or "Gene therapy" or "DNA research : an international journal for rapid publication of reports on genes and genomes" or "Cancer gene therapy" or "Ophthalmic genetics" or "Journal of molecular medicine : official organ of the 'Gesellschaft Deutscher Naturforscher und Ärzte'' or "Journal of applied genetics" or "Genome research" or "Molecular vision" or "Fungal genetics and biology : FG & B" or "Genes & genetic systems" or "BioDrugs : clinical immunotherapeutics, biopharmaceuticals and gene therapy" or "Neurogenetics" or "Molecular genetics and metabolism" or "Journal of human genetics" or "International journal of molecular medicine" or "Physiological genomics" or "The journal of gene medicine" or "Genetics in medicine : official journal of the American College of Medical Genetics" or "Plant cell reports" or "Pharmacogenomics" or "Familial cancer" or "Annual review of genomics and human genetics" or "Genesis : the journal of genetics and development" or "Functional & integrative genomics" or "Journal of animal breeding and genetics = Zeitschrift für Tierzüchtung und Züchtungsbiologie" or "Genome biology" or "Nature reviews. Genetics" or "BMC genomics" or "BMC genetics" or "BMC medical genetics" or "Infection, genetics and evolution : journal of molecular epidemiology and evolutionary genetics in infectious diseases" or "Proteomics" or "Current molecular medicine" or "Molecular genetics and genomics : MGG" or "Expert review of molecular diagnostics" or "Current gene therapy" or "Molecular & cellular proteomics : MCP" or "Genes, brain, and behavior" or "Microbial cell factories" or "Cytogenetic and genome research" or "Orthodontics & craniofacial research" or "Plant biology" or "Journal of experimental zoology. Part B, Molecular and developmental evolution" or "Genetics and molecular research : GMR" or "Statistical applications in genetics and molecular biology" or "Cancer genomics & proteomics" or "Genomics, proteomics & bioinformatics" or "Human genomics" or "Pharmacogenetics and genomics" or "International journal of immunogenetics" or "American journal of medical genetics. Part A" or "American journal of medical genetics. Part B, Neuropsychiatric genetics : the official publication of the International Society of Psychiatric Genetics" or "American journal of medical genetics. Part C, Seminars in medical genetics" or "PLoS genetics" or "Twin research and human genetics : the official journal of the International Society for Twin Studies" or "European journal of medical genetics" or "Epigenetics" or "Molecular neurodegeneration" or "Comparative biochemistry and physiology. Part D, Genomics & proteomics" or "The plant genome" or "Current protocols in human genetics" or "Journal of experimental zoology. Part A, Ecological genetics and physiology" or "Journal of nutrigenetics and nutrigenomics" or "WormBook : the online review of C. elegans biology" or "Journal of genetics and genomics = Yi chuan xue bao" or "Molecular oncology" or "Sexual development : genetics, molecular biology, evolution, endocrinology, embryology, and pathology of sex



determination and differentiation" or "Forensic science international. Genetics" or "Genome dynamics" or "BMC medical genomics" or "Journal of cardiovascular translational research" or "Epigenetics & chromatin" or "Public health genomics" or "Marine genomics" or "Genome medicine" or "Circulation. Cardiovascular genetics" or "Genetic testing and molecular biomarkers" or "Clinical epigenetics" or "Epigenomics" or "Briefings in functional genomics" or "Molecular autism" or "Cancer genetics" or "Genetics research" or "Revista de derecho y genoma humano = Law and the human genome review" or "Current protocols in mouse biology" or "Nucleic acid therapeutics" or "GigaScience" or "Human gene therapy. Clinical development" or "Annual review of animal biosciences" or "Mutation research. Genetic toxicology and environmental mutagenesis" or "Mutation research. Reviews in mutation research" or "EBioMedicine" or "Nature plants" or "HLA" or "JBRA assisted reproduction").jn. (411082)

- 26 14 and 25 (66729)
- 27 limit 26 to yr="2007 2017" (26857)
- 28 14 and 24 and 25 (14634)
- 29 limit 28 to yr="2007 2017" (9554)



#### STROBE-AMS

Search Strategy:

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- 1 Observational Study/ (38921)
- 2 (observational adj3 stud\$).tw. (94352)
- 3 exp Cohort Studies/ (1708703)
- 4 cohort\$.tw. (421788)
- 5 controlled clinical trial.pt. (94399)
- 6 Epidemiologic Methods/ (31287)
- 7 exp case-control studies/ (886809)
- 8 (case\$ adj3 control\$).tw. (138990)
- 9 Comparative Study/ (1819870)
- 10 prospective\$.tw. (577410)
- 11 retrospective\$.tw. (555639)
- 12 Cross-Sectional Studies/ (251139)
- 13 prevalence/ (245273)
- 14 or/1-13 (4258993)
- 15 exp Anti-Infective Agents/ (1484444)
- 16 exp Infection/ (716937)
- 17 (antibiot\$ or antimicrob\$).tw. (372664)
- 18 exp Drug Resistance, Microbial/ (145543)
- 19 Vancomycin/ (12240)
- 20 exp Aminoglycosides/ (146448)
- 21 exp Fluoroquinolones/ (29635)
- 22 exp Carbapenems/ (9046)
- 23 exp Cephalosporins/ (40069)
- 24 (vancomycin or aminoglycosides or fluoroquinolones or carbapenems or cephalosporins).tw. (50615)
- 25 or/15-24 (2221817)

26 ("Drug and therapeutics bulletin" or "Chemotherapy" or "The Journal of antibiotics" or "Antimicrobial agents and chemotherapy" or "Current medical research and opinion" or "Infection" or "Clinical pharmacology and therapeutics" or "The Journal of pharmacology and experimental therapeutics" or "Thérapie" or "European journal of clinical pharmacology" or "British journal of pharmacology" or "The Journal of antimicrobial chemotherapy" or "Drugs" or



"Clinical therapeutics" or "Cancer chemotherapy and pharmacology" or "Journal of cardiovascular pharmacology" or "Pharmacology & therapeutics" or "Therapeutic drug monitoring" or "Journal of veterinary pharmacology and therapeutics" or "Antiviral research" or "Pharmacotherapy" or "Drug development research" or "American journal of clinical oncology" or "Biomedicine & pharmacotherapy = Biomédecine & pharmacothérapie" or "Critical reviews in therapeutic drug carrier systems" or "Advances in therapy" or "Alimentary pharmacology & therapeutics" or "Fundamental & clinical pharmacology" or "Advanced drug delivery reviews" or "Cardiovascular drugs and therapy" or "Magnesium research" or "Journal of chemotherapy" or "The Journal of dermatological treatment" or "Drug safety" or "Antiviral chemistry & chemotherapy" or "Anti-cancer drugs" or "Drugs & aging" or "Journal of child and adolescent psychopharmacology" or "European neuropsychopharmacology : the journal of the European College of Neuropsychopharmacology" or "International journal of antimicrobial agents" or "Inflammopharmacology" or "The Annals of pharmacotherapy" or "The International journal of pharmacy practice" or "Pharmacoepidemiology and drug safety" or "PharmacoEconomics" or "Drug delivery" or "International journal of clinical pharmacology and therapeutics" or "CNS drugs" or "Expert opinion on investigational drugs" or "Prescrire international" or "American journal of health-system pharmacy : AJHP : official journal of the American Society of Health-System Pharmacists" or "Clinical drug investigation" or "Microbial drug resistance : MDR : mechanisms, epidemiology, and disease" or "Journal of ocular pharmacology and therapeutics : the official journal of the Association for Ocular Pharmacology and Therapeutics" or "Journal of oncology pharmacy practice : official publication of the International Society of Oncology Pharmacy Practitioners" or "Journal of cardiovascular pharmacology and therapeutics" or "Journal of experimental therapeutics & oncology" or "Journal of infection and chemotherapy : official journal of the Japan Society of Chemotherapy" or "BioDrugs : clinical immunotherapeutics, biopharmaceuticals and gene therapy" or "Pulmonary pharmacology & therapeutics" or "European review for medical and pharmacological sciences" or "Journal of pharmacy & pharmaceutical sciences : a publication of the Canadian Society for Pharmaceutical Sciences, Société canadienne des sciences pharmaceutiques." or "Drug resistance updates : reviews and commentaries in antimicrobial and anticancer chemotherapy" or "Antiviral therapy" or "The international journal of neuropsychopharmacology" or "Skin therapy letter" or "Diabetes, obesity & metabolism" or "Drugs in R&D" or "Paediatric drugs" or "Value in health : the journal of the International Society for Pharmacoeconomics and Outcomes Research" or "Research initiative, treatment action : RITA" or "Expert opinion on pharmacotherapy" or "Current pharmaceutical biotechnology" or "Current drug targets" or "Current opinion in pharmacology" or "American journal of cardiovascular drugs : drugs, devices, and other interventions" or "Current cancer drug targets" or "Clinical colorectal cancer" or "Nature reviews. Drug discovery" or "Journal of pain & palliative care pharmacotherapy" or "Daru : journal of Faculty of Pharmacy, Tehran University of Medical Sciences" or "Expert opinion on therapeutic targets" or "Expert review of neurotherapeutics" or "Expert review of pharmacoeconomics & outcomes research" or "Expert opinion on emerging drugs" or "Annals of clinical microbiology and antimicrobials" or "Harm reduction journal" or "Current vascular pharmacology" or "Journal of drugs in dermatology : JDD" or "Drugs of today" or "Expert opinion on drug safety" or "Journal of the American Pharmacists Association : JAPhA" or "Expert review of anti-infective therapy" or "Skin pharmacology and physiology" or "Current drug delivery" or "The AAPS journal" or "Expert opinion on drug delivery" or "Journal of opioid management" or "Drug discovery today. Technologies" or "Anti-cancer agents in medicinal chemistry" or "Recent patents on anti-cancer



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drug discovery" or "Recent patents on anti-infective drug discovery" or "Inflammation & allergy drug targets" or "CNS & neurological disorders drug targets" or "Endocrine, metabolic & immune disorders drug targets" or "Infectious disorders drug targets" or "Cardiovascular & hematological disorders drug targets" or "Current drug safety" or "Recent patents on endocrine, metabolic & immune drug discovery" or "Recent patents on inflammation & allergy drug discovery" or "Cardiovascular therapeutics" or "Anti-inflammatory & anti-allergy agents in medicinal chemistry" or "Journal of aerosol medicine and pulmonary drug delivery" or "Drug design, development and therapy" or "Probiotics and antimicrobial proteins" or "Drug discoveries & therapeutics" or "Journal of population therapeutics and clinical pharmacology = Journal de la therapeutique des populations et de la pharamcologie clinique" or "Therapeutic delivery" or "International journal of clinical pharmacy" or "Drug research" or "Journal of global antimicrobial resistance" or "ACS infectious diseases" or "European heart journal. Cardiovascular pharmacotherapy").jn. (222039)

27 14 and 26 (45127)

- 28 limit 27 to yr="2014 2017" (6860)
- 29 14 and 25 and 26 (15283)
- 30 limit 29 to yr="2014 2017" (1800)



#### **STROBE-ME**

Search Strategy:

The search was erroneously performed on the journal pool that included the infectious disease journals. These articles and journals were deleted from the final corpus but because of this, numbers for the search strategy items 22, 23 and 25 are unable to be provided. This error should have no effect on the final results provided.

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- 1 Observational Study/ (39203)
- 2 (observational adj3 stud\$).tw. (94446)
- 3 exp Cohort Studies/ (1710664)
- 4 cohort\$.tw. (422091)
- 5 controlled clinical trial.pt. (94418)
- 6 Epidemiologic Methods/ (31294)
- 7 exp case-control studies/ (888040)
- 8 (case\$ adj3 control\$).tw. (139034)
- 9 Comparative Study/ (1820429)
- 10 prospective\$.tw. (577689)
- 11 retrospective\$.tw. (555893)
- 12 Cross-Sectional Studies/ (251568)
- 13 prevalence/ (245612)
- 14 or/1-13 (4261233)
- 15 exp molecular epidemiology/ (32592)
- 16 exp Biomarkers/ (813358)
- 17 Molecular epidemiolog\$.tw. (9339)
- 18 Genetic epidemiolog\$.tw. (2616)
- 19 Biomarker\$.tw. (168435)
- 20 Bio-marker\$.tw. (486)
- 21 or/15-20 (935725)

22 ("Molecular pharmacology" or "Biochemical genetics" or "FEBS letters" or "The Journal of membrane biology" or "Chemico-biological interactions" or "Progress in molecular and subcellular biology" or "Folia biologica" or "Journal of molecular and cellular cardiology" or "Advances in enzymology and related areas of molecular biology" or "Journal of molecular evolution" or "Molecular and cellular biochemistry" or "Experimental and molecular pathology" or "Life sciences" or "Journal of lipid research" or "Progress in biophysics and molecular biology" or "Molecular biology reports" or "Molecular and cellular endocrinology" or "Molecular



aspects of medicine" or "Gene" or "Molecular immunology" or "International journal of biological macromolecules" or "Molecular and biochemical parasitology" or "Bioscience reports" or "Molecular and cellular biology" or "Cellular and molecular neurobiology" or "The EMBO journal" or "Journal of biomolecular structure & dynamics" or "Molecular biology and evolution" or "Biotechnology & genetic engineering reviews" or "BioEssays : news and reviews in molecular, cellular and developmental biology" or "Histology and histopathology" or "Origins of life and evolution of the biosphere : the journal of the International Society for the Study of the Origin of Life" or "Molecular and cellular probes" or "Journal of computer-aided molecular design" or "Oncogene" or "Genes & development" or "Molecular microbiology" or "Environmental and molecular mutagenesis" or "Virus genes" or "Molecular carcinogenesis" or "Molecular neurobiology" or "Journal of molecular endocrinology" or "Molecular reproduction and development" or "Critical reviews in biochemistry and molecular biology" or "Current protocols in molecular biology" or "Zeitschrift für Naturforschung C, A journal of biosciences" or "Chirality" or "American journal of respiratory cell and molecular biology" or "Journal of molecular neuroscience : MN" or "DNA and cell biology" or "Journal of molecular recognition : JMR" or "Critical reviews in eukaryotic gene expression" or "Genes, chromosomes & cancer" or "Pathobiology : journal of immunopathology, molecular and cellular biology" or "Journal of structural biology" or "The Journal of steroid biochemistry and molecular biology" or "Molecular and cellular neurosciences" or "Mechanisms of development" or "Protein expression and purification" or "Plant molecular biology" or "Current opinion in structural biology" or "Molecular plant-microbe interactions : MPMI" or "Journal of biomolecular NMR" or "Gene expression" or "Molecular biology of the cell" or "Roumanian archives of microbiology and immunology" or "Insect biochemistry and molecular biology" or "The Plant journal : for cell and molecular biology" or "Human molecular genetics" or "Transgenic research" or "Protein science : a publication of the Protein Society" or "Molecular ecology" or "Methods in molecular biology" or "Cellular and molecular biology" or "Insect molecular biology" or "Molecular phylogenetics and evolution" or "Chromosome research : an international journal on the molecular, supramolecular and evolutionary aspects of chromosome biology" or "Molecular biotechnology" or "DNA research : an international journal for rapid publication of reports on genes and genomes" or "Virchows Archiv : an international journal of pathology" or "Molecular membrane biology" or "Matrix biology: journal of the International Society for Matrix Biology" or "Journal of computational biology : a journal of computational molecular cell biology" or "Biocell : official journal of the Sociedades Latinoamericanas de Microscopia Electronica et al" or "Molecular medicine" or "Nature medicine" or "Journal of molecular medicine : official organ of the Gesellschaft Deutscher Naturforscher und Ärzte" or "journal of molecular medicine" or "RNA" or "Molecular human reproduction" or "Comparative biochemistry and physiology Part B, Biochemistry & molecular biology" or "Molecular diversity" or "Spectrochimica acta Part A, Molecular and biomolecular spectroscopy" or "Molecular vision" or "Genes to cells : devoted to molecular & cellular mechanisms" or "Cellular & molecular biology letters" or "Genes & genetic systems" or "Molecular psychiatry" or "Experimental & molecular medicine" or "Molecules and cells" or "Cellular and molecular life sciences : CMLS" or "Microbiology and molecular biology reviews : MMBR" or "Journal of molecular graphics & modelling" or "Journal of biochemical and molecular toxicology" or "Molecular cell" or "Molecular genetics and metabolism" or "Comparative biochemistry and physiology Part A, Molecular & integrative physiology" or "Journal of molecular modeling" or "Bioinformatics" or "Combinatorial chemistry & high throughput screening" or "International journal of molecular medicine" or "Physiological



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genomics" or "The journal of gene medicine" or "Journal of plant physiology" or "Macromolecular rapid communications" or "Evolution & development" or "Journal of biomolecular techniques : JBT" or "IUBMB life" or "Applied immunohistochemistry & molecular morphology : AIMM" or "Molecular therapy : the journal of the American Society of Gene Therapy" or "Journal of molecular microbiology and biotechnology" or "Marine biotechnology" or "Biomacromolecules" or "The Journal of molecular diagnostics : JMD" or "Current protocols in cytometry" or "American journal of physiology Lung cellular and molecular physiology" or "Genesis : the journal of genetics and development" or "Current issues in molecular biology" or "Functional & integrative genomics" or "Expert reviews in molecular medicine" or "Genes and immunity" or "Molecular plant pathology" or "Comparative biochemistry and physiology Toxicology & pharmacology : CBP" or "Nature reviews Molecular cell biology" or "EMBO reports" or "Trends in molecular medicine" or "BMC genetics" or "BMC molecular biology" or "BMC gastroenterology" or "Biochemistry and molecular biology education : a bimonthly publication of the International Union of Biochemistry and Molecular Biology" or "Journal of cellular and molecular medicine" or "The pharmacogenomics journal" or "Structure" or "Nano letters" or "BMC structural biology" or "International journal of molecular sciences" or "Current molecular medicine" or "Molecular genetics and genomics : MGG" or "Expert review of molecular diagnostics" or "Molecular imaging and biology : MIB : the official publication of the Academy of Molecular Imaging" or "Molecular & cellular proteomics : MCP" or "Omics : a journal of integrative biology" or "Neuromolecular medicine" or "DNA repair" or "Annual review of plant biology" or "Archaea : an international microbiological journal" or "Molecular cancer" or "Molecular cancer research : MCR" or "Gene expression patterns : GEP" or "Journal of experimental zoology Part B, Molecular and developmental evolution" or "Genetics and molecular research : GMR" or "Statistical applications in genetics and molecular biology" or "Nature structural & molecular biology" or "Journal of molecular histology" or "Chemistry & biodiversity" or "Molecular pharmaceutics" or "The quarterly journal of nuclear medicine and molecular imaging : official publication of the Italian Association of Nuclear Medicine (AIMN) [and] the International Association of Radiopharmacology (IAR), [and] Section of the Society of Radiopharmaceutica" or "The FEBS journal" or "Molecular nutrition & food research" or "Journal of chemical theory and computation" or "RNA biology" or "Molecular systems biology" or "Medical molecular morphology" or "Future cardiology" or "Molecular pain" or "Cellular & molecular immunology" or "Molecular bioSystems" or "Molecular & cellular biomechanics : MCB" or "Molecular diagnosis & therapy" or "Molecular neurodegeneration" or "The anatomical record : advances in integrative anatomy and evolutionary biology" or "WormBook : the online review of C elegans biology" or "Molecular oncology" or "Sexual development : genetics, molecular biology, evolution, endocrinology, embryology, and pathology of sex determination and differentiation" or "BMB reports" or "New biotechnology" or "Molecular plant" or "Molecular ecology resources" or "Current molecular pharmacology" or "Molecular brain" or "Biomolecular NMR assignments" or "Molecular medicine reports" or "International review of cell and molecular biology" or "EMBO molecular medicine" or "Genetic testing and molecular biomarkers" or "Progress in molecular biology and translational science" or "Journal of molecular cell biology" or "Genome biology and evolution" or "Cold Spring Harbor perspectives in biology" or "Nucleus" or "Biomolecular concepts" or "Cold Spring Harbor protocols" or "Molecular informatics" or "Transcription" or "Virulence" or "Wiley interdisciplinary reviews RNA" or "Hormone molecular biology and clinical investigation" or "Chimerism" or "Theranostics" or "Nucleic acid therapeutics" or "G3 : genes -



genomes - genetics" or "Advances in biological regulation" or "GM crops & food" or "Cell reports" or "Annual review of chemical and biomolecular engineering" or "ACS synthetic biology" or "Open biology" or "Biomolecules" or "MicroRNA" or "EcoSal Plus" or "The Enzymes" or "Cell systems" or "Mitochondrial DNA Part A, DNA mapping, sequencing, and analysis" or "SLAS discovery").jn. (x)

- 23 14 and 22 (x)
- 24 limit 23 to yr="2012 2017" (9288)
- 25 14 and 21 and 22 (x)
- 26 limit 25 to yr="2012 2017" (2107)



#### STROME-ID

Search Strategy:

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- 1 Observational Study/ (38921)
- 2 (observational adj3 stud\$).tw. (94352)
- 3 exp Cohort Studies/ (1708703)
- 4 cohort\$.tw. (421788)
- 5 controlled clinical trial.pt. (94399)
- 6 Epidemiologic Methods/ (31287)
- 7 exp case-control studies/ (886809)
- 8 (case\$ adj3 control\$).tw. (138990)
- 9 Comparative Study/ (1819870)
- 10 prospective\$.tw. (577410)
- 11 retrospective\$.tw. (555639)
- 12 Cross-Sectional Studies/ (251139)
- 13 prevalence/ (245273)
- 14 or/1-13 (4258993)
- 15 Molecular Epidemiology/ (10827)
- 16 molecular epidemiolog\$.tw. (9334)
- 17 exp Communicable Diseases/ep [Epidemiology] (10293)
- 18 exp Infection Control/ (58285)
- 19 infection\$.tw. (1178401)
- 20 exp Molecular Typing/ (10487)
- 21 molecular typing.tw. (3954)
- 22 molecular marker\$.tw. (21014)
- 23 molecular clock.tw. (2391)
- 24 multiple-strain.tw. (159)
- 25 or/15-24 (1267122)

26 ("Molecular pharmacology" or "Biochemical genetics" or "The Journal of antibiotics" or "FEBS letters" or "The Journal of membrane biology" or "Chemico-biological interactions" or "Progress in molecular and subcellular biology" or "Folia biologica" or "Antimicrobial agents and chemotherapy" or "Journal of molecular and cellular cardiology" or "Advances in enzymology and related areas of molecular biology" or "Journal of molecular evolution" or "The Journal of antimicrobial chemotherapy" or "Molecular and cellular biochemistry" or "Journal of



chemotherapy" or "International journal of antimicrobial agents" or "Microbial drug resistance : MDR : mechanisms, epidemiology, and disease" or "Journal of infection and chemotherapy : official journal of the Japan Society of Chemotherapy" or "Drug resistance updates : reviews and commentaries in antimicrobial and anticancer chemotherapy" or "Annals of clinical microbiology and antimicrobials" or "Expert review of anti-infective therapy" or "Probiotics and antimicrobial proteins" or "Journal of global antimicrobial resistance" or "ACS infectious diseases" or "Experimental and molecular pathology" or "Life sciences" or "Journal of lipid research" or "Progress in biophysics and molecular biology" or "Molecular biology reports" or "Antiviral research" or "Antiviral chemistry & chemotherapy" or "Antiviral therapy" or "Recent patents on anti-infective drug discovery" or "Infectious disorders drug targets" or "Molecular and cellular endocrinology" or "Molecular aspects of medicine" or "Gene" or "Molecular immunology" or "International journal of biological macromolecules" or "Molecular and biochemical parasitology" or "Bioscience reports" or "Molecular and cellular biology" or "Cellular and molecular neurobiology" or "The EMBO journal" or "Journal of biomolecular structure & dynamics" or "Molecular biology and evolution" or "Biotechnology & genetic engineering reviews" or "BioEssays : news and reviews in molecular, cellular and developmental biology" or "Histology and histopathology" or "Origins of life and evolution of the biosphere : the journal of the International Society for the Study of the Origin of Life" or "Molecular and cellular probes" or "Journal of computer-aided molecular design" or "Oncogene" or "Genes & development" or "Molecular microbiology" or "Environmental and molecular mutagenesis" or "Virus genes" or "Molecular carcinogenesis" or "Molecular neurobiology" or "Journal of molecular endocrinology" or "Molecular reproduction and development" or "Critical reviews in biochemistry and molecular biology" or "Current protocols in molecular biology" or "Zeitschrift für Naturforschung C, A journal of biosciences" or "Chirality" or "American journal of respiratory cell and molecular biology" or "Journal of molecular neuroscience : MN" or "DNA and cell biology" or "Journal of molecular recognition : JMR" or "Critical reviews in eukaryotic gene expression" or "Genes, chromosomes & cancer" or "Pathobiology : journal of immunopathology, molecular and cellular biology" or "Journal of structural biology" or "The Journal of steroid biochemistry and molecular biology" or "Molecular and cellular neurosciences" or "Mechanisms of development" or "Protein expression and purification" or "Plant molecular biology" or "Current opinion in structural biology" or "Molecular plant-microbe interactions : MPMI" or "Journal of biomolecular NMR" or "Gene expression" or "Molecular biology of the cell" or "Roumanian archives of microbiology and immunology" or "Insect biochemistry and molecular biology" or "The Plant journal : for cell and molecular biology" or "Human molecular genetics" or "Transgenic research" or "Protein science : a publication of the Protein Society" or "Molecular ecology" or "Methods in molecular biology" or "Cellular and molecular biology" or "Insect molecular biology" or "Molecular phylogenetics and evolution" or "Chromosome research : an international journal on the molecular, supramolecular and evolutionary aspects of chromosome biology" or "Molecular biotechnology" or "DNA research : an international journal for rapid publication of reports on genes and genomes" or "Virchows Archiv : an international journal of pathology" or "Molecular membrane biology" or "Matrix biology : journal of the International Society for Matrix Biology" or "Journal of computational biology : a journal of computational molecular cell biology" or "Biocell : official journal of the Sociedades Latinoamericanas de Microscopia Electronica et al" or "Molecular medicine" or "Nature medicine" or "Journal of molecular medicine : official organ of the Gesellschaft Deutscher Naturforscher und Ärzte" or "journal of molecular medicine" or "RNA" or "Molecular human



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reproduction" or "Comparative biochemistry and physiology Part B, Biochemistry & molecular biology" or "Molecular diversity" or "Spectrochimica acta Part A, Molecular and biomolecular spectroscopy" or "Molecular vision" or "Genes to cells : devoted to molecular & cellular mechanisms" or "Cellular & molecular biology letters" or "Genes & genetic systems" or "Molecular psychiatry" or "Experimental & molecular medicine" or "Molecules and cells" or "Cellular and molecular life sciences : CMLS" or "Microbiology and molecular biology reviews : MMBR" or "Journal of molecular graphics & modelling" or "Journal of biochemical and molecular toxicology" or "Molecular cell" or "Molecular genetics and metabolism" or "Comparative biochemistry and physiology Part A, Molecular & integrative physiology" or "Journal of molecular modeling" or "Bioinformatics" or "Combinatorial chemistry & high throughput screening" or "International journal of molecular medicine" or "Physiological genomics" or "The journal of gene medicine" or "Journal of plant physiology" or "Macromolecular rapid communications" or "Evolution & development" or "Journal of biomolecular techniques : JBT" or "IUBMB life" or "Applied immunohistochemistry & molecular morphology : AIMM" or "Molecular therapy : the journal of the American Society of Gene Therapy" or "Journal of molecular microbiology and biotechnology" or "Marine biotechnology" or "Biomacromolecules" or "The Journal of molecular diagnostics : JMD" or "Current protocols in cytometry" or "American journal of physiology Lung cellular and molecular physiology" or "Genesis : the journal of genetics and development" or "Current issues in molecular biology" or "Functional & integrative genomics" or "Expert reviews in molecular medicine" or "Genes and immunity" or "Molecular plant pathology" or "Comparative biochemistry and physiology Toxicology & pharmacology : CBP" or "Nature reviews Molecular cell biology" or "EMBO reports" or "Trends in molecular medicine" or "BMC genetics" or "BMC molecular biology" or "BMC gastroenterology" or "Biochemistry and molecular biology education : a bimonthly publication of the International Union of Biochemistry and Molecular Biology" or "Journal of cellular and molecular medicine" or "The pharmacogenomics journal" or "Structure" or "Nano letters" or "BMC structural biology" or "International journal of molecular sciences" or "Current molecular medicine" or "Molecular genetics and genomics : MGG" or "Expert review of molecular diagnostics" or "Molecular imaging and biology : MIB : the official publication of the Academy of Molecular Imaging" or "Molecular & cellular proteomics : MCP" or "Omics : a journal of integrative biology" or "Neuromolecular medicine" or "DNA repair" or "Annual review of plant biology" or "Archaea : an international microbiological journal" or "Molecular cancer" or "Molecular cancer research : MCR" or "Gene expression patterns : GEP" or "Journal of experimental zoology Part B, Molecular and developmental evolution" or "Genetics and molecular research : GMR" or "Statistical applications in genetics and molecular biology" or "Nature structural & molecular biology" or "Journal of molecular histology" or "Chemistry & biodiversity" or "Molecular pharmaceutics" or "The quarterly journal of nuclear medicine and molecular imaging : official publication of the Italian Association of Nuclear Medicine (AIMN) [and] the International Association of Radiopharmacology (IAR), [and] Section of the Society of Radiopharmaceutica" or "The FEBS journal" or "Molecular nutrition & food research" or "Journal of chemical theory and computation" or "RNA biology" or "Molecular systems biology" or "Medical molecular morphology" or "Future cardiology" or "Molecular pain" or "Cellular & molecular immunology" or "Molecular bioSystems" or "Molecular & cellular biomechanics : MCB" or "Molecular diagnosis & therapy" or "Molecular neurodegeneration" or "The anatomical record : advances in integrative anatomy and evolutionary biology" or "WormBook : the online review of C elegans biology" or "Molecular



oncology" or "Sexual development : genetics, molecular biology, evolution, endocrinology, embryology, and pathology of sex determination and differentiation" or "BMB reports" or "New biotechnology" or "Molecular plant" or "Molecular ecology resources" or "Current molecular pharmacology" or "Molecular brain" or "Biomolecular NMR assignments" or "Molecular medicine reports" or "International review of cell and molecular biology" or "EMBO molecular medicine" or "Genetic testing and molecular biomarkers" or "Progress in molecular biology and translational science" or "Journal of molecular cell biology" or "Genome biology and evolution" or "Cold Spring Harbor perspectives in biology" or "Nucleus" or "Biomolecular concepts" or "Cold Spring Harbor protocols" or "Molecular informatics" or "Transcription" or "Virulence" or "Wiley interdisciplinary reviews RNA" or "Hormone molecular biology and clinical investigation" or "Chimerism" or "Theranostics" or "Nucleic acid therapeutics" or "G3 : genes genomes - genetics" or "Advances in biological regulation" or "GM crops & food" or "Cell reports" or "Annual review of chemical and biomolecular engineering" or "ACS synthetic biology" or "Open biology" or "Biomolecules" or "MicroRNA" or "EcoSal Plus" or "The Enzymes" or "Cell systems" or "Mitochondrial DNA Part A, DNA mapping, sequencing, and analysis" or "SLAS discovery").jn. (585096)

- 27 14 and 26 (54026)
- 28 limit 27 to yr="2012 2017" (11035)
- 29 14 and 26 and 25 (4594)
- 30 limit 29 to yr="2012 2017" (1282)



#### **STROBE-RDS**

Search Strategy:

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- 1 Observational Study/ (38921)
- 2 (observational adj3 stud\$).tw. (94352)
- 3 exp Cohort Studies/ (1708703)
- 4 cohort\$.tw. (421788)
- 5 controlled clinical trial.pt. (94399)
- 6 Epidemiologic Methods/ (31287)
- 7 exp case-control studies/ (886809)
- 8 (case\$ adj3 control\$).tw. (138990)
- 9 Comparative Study/ (1819870)
- 10 prospective\$.tw. (577410)
- 11 retrospective\$.tw. (555639)
- 12 Cross-Sectional Studies/ (251139)
- 13 prevalence/ (245273)
- 14 or/1-13 (4258993)
- 15 respondent driven.tw. (952)
- 16 respondentdriven.tw. (1)
- 17 participant driven.tw. (44)
- 18 or/15-17 (994)

19 ("Journal of public health dentistry" or "Psychopharmacology bulletin" or "Demography" or "Medical care" or "The Southeast Asian journal of tropical medicine and public health" or "Preventive medicine" or "Canadian journal of public health = Revue canadienne de santé publique" or "Papua and New Guinea medical journal" or "Public health" or "Indian journal of public health" or "Nursing outlook" or "Salud pública de México" or "Community dentistry and oral epidemiology" or "Roczniki Państwowego Zakładu Higieny" or "American journal of public health" or "International journal of health services : planning, administration, evaluation" or "Annali dell'Istituto superiore di sanità" or "Journal of the National Cancer Institute" or "The Journal of the Egyptian Public Health Association" or "Transactions of the Royal Society of Tropical Medicine and Hygiene" or "Bulletin of the World Health Organization" or "Journal of community health" or "Journal of health politics, policy and law" or "Family & community health" or "World Health Organization technical report series" or "Journal of epidemiology and community health" or "Epidemiologic reviews" or "Annual review of public health" or "Journal of public health policy" or "International quarterly of community health education" or "Journal of tropical pediatrics" or "The journal of primary prevention" or "Journal of policy analysis and management : [the journal of the Association for Public Policy Analysis and Management]" or



"Health affairs" or "Health policy" or "Community dental health" or "Journal of community health nursing" or "National Toxicology Program technical report series" or "Public health nursing" or "The Journal of rural health : official journal of the American Rural Health Association and the National Rural Health Care Association" or "The Health service journal" or "The Milbank quarterly" or "Health policy and planning" or "American journal of health promotion : AJHP" or "AIDS policy & law" or "American journal of preventive medicine" or "Asia-Pacific journal of public health" or "Journal of aging & social policy" or "Journal of law and health" or "Health promotion international" or "Journal of the National Cancer InstituteMonographs" or "Health reports" or "The International journal on drug policy" or "Ethnicity & disease" or "European journal of public health" or "Developments in health economics and public policy" or "Journal of preventive medicine and hygiene" or "European journal of cancer prevention : the official journal of the European Cancer Prevention Organisation (ECP)" or "The Australian journal of rural health" or "Health & social care in the community" or "Health matrix" or "Central European journal of public health" or "Journal of agromedicine" or "European journal of health law" or "Health care analysis : HCA : journal of health philosophy and policy" or "Journal of medical screening" or "Journal of travel medicine" or "Pacific health dialog" or "Ophthalmic epidemiology" or "Health and human rights" or "Journal of correctional health care : the official journal of the National Commission on Correctional Health Care" or "Journal of public health management and practice : JPHMP" or "Health & place" or "Revista española de salud pública" or "Journal of health communication" or "Journal of health services research & policy" or "Ethnicity & health" or "Eastern Mediterranean health journal = La revue de santé de la Méditerranée orientale = al-Majallah al-sihhīyah li-sharq al-mutawassit" or "Environmental health and preventive medicine" or "Tropical medicine & international health : TM & IH" or "Australian and New Zealand journal of public health" or "Advances in health sciences education : theory and practice" or "Journal of prevention & intervention in the community" or "Health education & behavior : the official publication of the Society for Public Health Education" or "Revista panamericana de salud pública = Pan American journal of public health" or "Anales del sistema sanitario de Navarra" or "Health promotion journal of Australia : official journal of Australian Association of Health Promotion Professionals" or "Ciência & saúde coletiva" or "Public health reports" or "Public health nutrition" or "Community practitioner : the journal of the Community Practitioners' & Health Visitors' Association" or "Journal of urban health : bulletin of the New York Academy of Medicine" or "Issue brief" or "The journal of mental health policy and economics" or "Nicotine & tobacco research : official journal of the Society for Research on Nicotine and Tobacco" or "Health expectations : an international journal of public participation in health care and health policy" or "Cornell journal of law and public policy" or "Scandinavian journal of public health" or "Value in health : the journal of the International Society for Pharmacoeconomics and Outcomes Research" or "Health promotion practice" or "International journal of hygiene and environmental health" or "Policy, politics & nursing practice" or "Revista de salud pública" or "Journal of health, population, and nutrition" or "Journal of medical Internet research" or "MEDICC review" or "BMC public health" or "Policy brief" or "BMC international health and human rights" or "Issues in brief" or "Toxicity report series" or "Australian journal of primary health" or "MMWR. Recommendations and reports : Morbidity and mortality weekly report. Recommendations and reports" or "Rural policy brief" or "Yale journal of health policy, law, and ethics" or "The European journal of health economics : HEPAC : health economics in prevention and care" or "MMWR. Surveillance summaries : Morbidity and mortality weekly report.



Surveillance summaries" or "International journal for equity in health" or "Hispanic health care international : the official journal of the National Association of Hispanic Nurses" or "Applied health economics and health policy" or "International journal of health geographics" or "Health and quality of life outcomes" or "Report on carcinogens : carcinogen profiles" or "Health research policy and systems" or "Rural and remote health" or "Population health metrics" or "Journal of water and health" or "Journal of public health" or "Preventing chronic disease" or "Postępy higieny i medycyny doświadczalnej" or "Ethiopian journal of health sciences" or "PLoS medicine" or "International journal of environmental research and public health" or "Journal of preventive medicine and public health = Yebang Uihakhoe chi" or "Globalization and health" or "Health economics, policy, and law" or "International journal of prisoner health" or "Global public health" or "International journal of qualitative studies on health and well-being" or "Journal of immigrant and minority health" or "Progress in community health partnerships : research, education, and action" or "Healthcare policy = Politiques de santé" or "American journal of men's health" or "Journal of Nepal Health Research Council" or "Disaster medicine and public health preparedness" or "Zoonoses and public health" or "East African journal of public health" or "Geospatial health" or "International journal of public health" or "Disability and health journal" or "World health & population" or "Social work in public health" or "Public health genomics" or "Deutsches Ärzteblatt international" or "Journal of research in health sciences" or "Population health management" or "Journal of infection and public health" or "NCHS data brief" or "Global health action" or "Global health promotion" or "Perspectives in public health" or "Journal of environmental and public health" or "International health" or "Journal of primary care & community health" or "Epidemiology and health" or "Translational behavioral medicine" or "Western Pacific surveillance and response journal : WPSAR" or "Translational psychiatry" or "Journal of global health" or "Hawai'i journal of medicine & public health : a journal of Asia Pacific Medicine & Public Health" or "Paediatrics and international child health" or "Pathogens and global health" or "Israel journal of health policy research" or "WHO South-East Asia journal of public health" or "Journal of epidemiology and global health" or "Value in health regional issues" or "The Lancet. Global health" or "LGBT health" or "International journal of health policy and management" or "Global health, science and practice" or "Journal of racial and ethnic health disparities" or "Current environmental health reports" or "Public health research & practice" or "Health promotion and chronic disease prevention in Canada : research policy and practice").jn. (244689)

- 20 14 and 19 (57524)
- 21 limit 20 to yr="2013 2017" (15883)
- 22 15 and 18 and 19 (98)
- 23 limit 22 to yr="2013 2017" (59)



### Additional File 2. Journals Assessed for Endorsement

#### RECORD

- 1. African health sciences
- 2. Aging & mental health
- 3. American journal of community psychology
- 4. American journal of men's health
- 5. Australian journal of primary health
- 6. BMC family practice
- 7. BMC health services research
- 8. BMC medical research methodology
- 9. BMJ quality & safety
- 10. Child welfare
- 11. Clinical interventions in aging
- 12. Community mental health journal
- 13. Ethnicity & health
- 14. Health & place
- 15. Health & social care in the community
- 16. Health & social work
- 17. Health affairs
- 18. Health care for women international
- 19. Health care management review
- 20. Health care management science
- 21. Health economics
- 22. Health policy
- 23. Health services research
- 24. Healthcare
- 25. Healthcare policy = Politiques de santé
- 26. Healthcare quarterly
- 27. Hispanic health care international : the official journal of the National Association of Hispanic Nurses
- 28. Home health care services quarterly
- 29. Home healthcare now
- 30. Infant mental health journal
- 31. International journal for equity in health
- 32. International journal of evidence-based healthcare
- 33. International journal of health care quality assurance
- 34. International journal of health economics and management
- 35. International journal of technology assessment in health care
- 36. Israel journal of health policy research
- 37. Journal of allied health
- 38. Journal of community health
- 39. Journal of comparative effectiveness research
- 40. Journal of evaluation in clinical practice
- 41. Journal of global health



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- 42. Journal of health communication
- 43. Journal of health economics
- 44. Journal of medical economics
- 45. Journal of medical engineering & technology
- 46. Journal of mental health
- 47. Journal of Nepal Health Research Council
- 48. Journal of patient safety
- 49. Journal of prevention & intervention in the community
- 50. Journal of primary care & community health
- 51. Journal of women & aging
- 52. Managed care
- 53. Medical care
- 54. Perspectives in health information management
- 55. Population health management
- 56. Primary health care research & development
- 57. Professional case management
- 58. Psychiatric rehabilitation journal
- 59. Psychological services
- 60. Psychology, health & medicine
- 61. Public health reports
- 62. Quality management in health care
- 63. Research in nursing & health
- 64. Scandinavian journal of work, environment & health
- 65. Sexual & reproductive healthcare : official journal of the Swedish Association of Midwives
- 66. Social science & medicine
- 67. Social work in health care
- 68. Tanzania journal of health research
- 69. The American journal of managed care
- 70. The health care manager
- 71. The Journal of ambulatory care management
- 72. The journal of behavioral health services & research
- 73. The Journal of continuing education in the health professions
- 74. The journal of extra-corporeal technology
- 75. The journal of primary prevention
- 76. The Journal of school health
- 77. The Milbank quarterly
- 78. The Permanente journal



#### **STREGA**

- 1. American journal of human genetics
- 2. American journal of medical genetics. Part A
- 3. American journal of medical genetics. Part B, Neuropsychiatric genetics : the official publication of the International Society of Psychiatric Genetics
- 4. American journal of medical genetics. Part C, Seminars in medical genetics
- 5. Annals of human genetics
- 6. Behavior genetics
- 7. Biochemical genetics
- 8. BMC genetics
- 9. BMC genomics
- 10. BMC medical genetics
- 11. Cancer
- 12. Cancer gene therapy
- 13. Cancer genetics
- 14. Cancer genomics & proteomics
- 15. Circulation. Cardiovascular genetics
- 16. Clinical epigenetics
- 17. Clinical genetics
- 18. Current molecular medicine
- 19. EBioMedicine
- 20. Epigenomics
- 21. European journal of medical genetics
- 22. Familial cancer
- 23. Folia biologica
- 24. Forensic science international. Genetics
- 25. Functional & integrative genomics
- 26. G3 : genes genomes genetics
- 27. Genes & genetic systems
- 28. Genes, chromosomes & cancer
- 29. Genetic counseling
- 30. Genetic epidemiology
- 31. Genetica
- 32. Genetics
- 33. Genome
- 34. Genome biology
- 35. Genome medicine
- 36. Genome research
- 37. Genomics
- 38. Hereditas
- 39. Human genetics
- 40. Human genomics
- 41. Human heredity
- 42. Human molecular genetics
- 43. Human mutation
- 44. Immunogenetics





- 45. International journal of immunogenetics
- 46. International journal of molecular medicine
- 47. Journal of applied genetics
- 48. Journal of cardiovascular translational research
- 49. Journal of human genetics
- 50. Journal of neurogenetics
- 51. Molecular autism
- 52. Molecular neurodegeneration
- 53. Molecular oncology
- 54. Molecular vision
- 55. Mutagenesis
- 56. Mutation Research Fundamental and Molecular Mechanisms of Mutagenesis
- 57. Mutation Research Genetic Toxicology and Environmental Mutagenesis
- 58. Mutation research Reviews
- 59. Nature genetics
- 60. Neurogenetics
- 61. Neurology
- 62. Ophthalmic genetics
- 63. Orthodontics & craniofacial research
- 64. Pharmacogenetics and genomics
- 65. Pharmacogenomics
- 66. Physiological genomics
- 67. PLoS genetics
- 68. Proteomics
- 69. Psychiatric genetics
- 70. Public health genomics
- 71. Radiation research
- 72. The journal of gene medicine


#### STROBE-AMS

- 1. Advances in therapy
- 2. Alimentary pharmacology & therapeutics
- 3. Chemotherapy
- 4. Clinical drug investigation
- 5. Clinical therapeutics
- 6. Current drug safety
- 7. Drug discoveries & therapeutics
- 8. Drug research
- 9. European journal of clinical pharmacology
- 10. Infection
- 11. Infectious disorders drug targets
- 12. International journal of antimicrobial agents
- 13. International journal of clinical pharmacy
- 14. Journal of chemotherapy
- 15. Journal of global antimicrobial resistance
- 16. Paediatric drugs
- 17. Recent patents on anti-infective drug discovery
- 18. The Annals of pharmacotherapy
- 19. The International journal of pharmacy practice
- 20. The Journal of antibiotics
- 21. The Journal of antimicrobial chemotherapy
- 22. The Journal of dermatological treatment
- 23. Therapeutic drug monitoring



#### STROBE-EULAR

- 1. Annals of the rheumatic diseases
- 2. Arthritis & rheumatology
- 3. Arthritis care & research
- 4. Arthritis research & therapy
- 5. Clinical rheumatology
- 6. Connective tissue research
- 7. Current rheumatology reviews
- 8. International journal of rheumatic diseases
- 9. Joint, bone, spine : revue du rhumatisme

10. Lupus

- 11. Modern rheumatology
- 12. Pediatric rheumatology online journal
- 13. Reumatología clinica
- 14. Rheumatology
- 15. Rheumatology international
- 16. Scandinavian journal of rheumatology
- 17. The Journal of rheumatology



#### **STROBE-ME**

- 1. Biochemical genetics
- 2. Biomolecules
- 3. Bioscience reports
- 4. BMB reports
- 5. BMC gastroenterology
- 6. BMC genetics
- 7. Cell reports
- 8. Cellular & molecular immunology
- 9. Chemico-biological interactions
- 10. Combinatorial chemistry & high throughput screening
- 11. Current molecular medicine
- 12. DNA repair
- 13. EMBO molecular medicine
- 14. Experimental & molecular medicine
- 15. Expert review of molecular diagnostics
- 16. Folia biologica
- 17. Future cardiology
- 18. G3 : genes genomes genetics
- 19. Gene
- 20. Gene expression
- 21. Genes & genetic systems
- 22. Genes, chromosomes & cancer
- 23. Human molecular genetics
- 24. International journal of molecular medicine
- 25. International journal of molecular sciences
- 26. IUBMB life
- 27. Journal of lipid research
- 28. Journal of molecular endocrinology
- 29. Journal of molecular histology
- 30. Journal of molecular medicine
- 31. Life sciences
- 32. Medical molecular morphology
- 33. Methods in molecular biology
- 34. MicroRNA
- 35. Mitochondrial DNA. Part A, DNA mapping, sequencing, and analysis
- 36. Molecular biology reports
- 37. Molecular bioSystems
- 38. Molecular biotechnology
- 39. Molecular brain
- 40. Molecular cancer
- 41. Molecular cancer research : MCR
- 42. Molecular carcinogenesis
- 43. Molecular diagnosis & therapy
- 44. Molecular human reproduction
- 45. Molecular immunology



- 46. Molecular medicine
- 47. Molecular medicine reports
- 48. Molecular neurobiology
- 49. Molecular neurodegeneration
- 50. Molecular nutrition & food research
- 51. Molecular oncology
- 52. Molecular psychiatry
- 53. Molecular systems biology
- 54. Molecular vision
- 55. Nature medicine
- 56. Neuromolecular medicine
- 57. Omics : a journal of integrative biology
- 58. Oncogene
- 59. Physiological genomics
- 60. RNA biology
- 61. The FEBS journal
- 62. The journal of gene medicine
- 63. The pharmacogenomics journal
- 64. Theranostics
- 65. Virulence



#### STROBE-RDS

- 1. American journal of public health
- 2. Asia-Pacific journal of public health
- 3. BMC public health
- 4. Canadian journal of public health = Revue canadienne de sante publique
- 5. Ciência & saúde coletiva
- 6. Community dental health
- 7. Demography
- 8. International journal for equity in health
- 9. Journal of community health
- 10. Journal of epidemiology and global health
- 11. Journal of medical Internet research
- 12. LGBT health
- 13. Public health nutrition
- 14. Public health reports
- 15. Public health



#### **STROME-ID**

- 1. Antiviral research
- 2. Antiviral therapy
- 3. BMC gastroenterology
- 4. BMC genetics
- 5. Cellular & molecular immunology
- 6. Current molecular medicine
- 7. EMBO molecular medicine
- 8. Expert review of molecular diagnostics
- 9. FEBS letters
- 10. Folia biologica
- 11. G3 : genes genomes genetics
- 12. Gene
- 13. Human molecular genetics
- 14. Infectious disorders drug targets
- 15. International journal of antimicrobial agents
- 16. International journal of molecular medicine
- 17. International journal of molecular sciences
- 18. Journal of biomolecular techniques : JBT
- 19. Journal of chemotherapy
- 20. Journal of global antimicrobial resistance
- 21. Journal of lipid research
- 22. Journal of molecular medicine : official organ of the "Gesellschaft Deutscher Naturforscher und Ärzte"
- 23. Life sciences
- 24. Molecular biology reports
- 25. Molecular cancer
- 26. Molecular cancer research : MCR
- 27. Molecular carcinogenesis
- 28. Molecular diagnosis & therapy
- 29. Molecular immunology
- 30. Molecular medicine
- 31. Molecular medicine reports
- 32. Molecular vision
- 33. Nature medicine
- 34. Omics : a journal of integrative biology
- 35. Recent patents on anti-infective drug discovery
- 36. The Journal of antibiotics
- 37. The Journal of antimicrobial chemotherapy
- 38. The pharmacogenomics journal
- 39. Theranostics
- 40. Virulence
- 41. Virus genes



Additional File 3. Journal Screening Flow Diagram



# **Chapter Two: Article**

Additional File 1. Checklist for Reporting Results of Internet E-Surveys (CHERRIES)

Item Category	Checklist Item	Explanation	Reference Location and/or Notes
Design	Describe survey design	Describe target population, sample frame. Is the sample a convenience sample? (In "open" surveys this is most likely.)	Lines 111 – 112: "Eligible participants were researchers involved in manuscript writing (in the past 10 years) reporting the results of an observational study."
IRB (Institutional Review Board)	IRB approval	Mention whether the study has been approved by an IRB.	Lines 83 – 84: "The University of Split School of Medicine ethical review committee granted ethical approval."
informed consent process	Informed consent	Describe the informed consent process. Where were the participants told the length of time of the survey, which data were stored and where and for how long, who the investigator was, and the purpose of the study?	The full informed consent document is available to read in Supplemental File 2. "The purpose of this research project is to better understand the use of and attitudes towards the STrenghtening the Reporting of OBservational Studies in Epidemiology (STROBE) Statement (https://www.strobe- statement.org/), a reporting guideline for cohort, case-control and cross-sectional studiesYour participation includes completing an online survey that will take approximately 10 minutes All data are stored in a password- protected electronic format and are only accessible by those directly involved in the project. If you have any questions about the research study, please contact Melissa Sharp or Pr. Darko Hren This study project has been approved by the University of Split ethics committee"



Item Category	Checklist Item	Explanation	<b>Reference Location and/or Notes</b>	
	Data protection	If any personal information was collected or stored, describe what mechanisms were used to protect unauthorized access.	The full informed consent document is available to read in Supplemental File 2.	
Development and pre- testing Recruitment process and description of the sample	Development and testing	State how the survey was developed, including whether the usability and technical functionality of the electronic questionnaire had been tested before fielding the questionnaire.	Lines 81 – 83: "Prior to distribution, we piloted the survey within the Methods in Research on Research (MiRoR) network [172], allowing collaborators to give feedback on content and functionality [195]."	
having access to the questionnaire	Open survey versus closed survey	An "open survey" is a survey open for each visitor of a site, while a closed survey is only open to a sample which the investigator knows (password- protected survey).	The survey was open	
	Contact mode	Indicate whether or not the initial contact with the potential participants was made on the Internet. (Investigators may also send out questionnaires by mail and allow for Web-based data entry.)	Recruitment section: lines 111 - 134	
	Advertising the survey	How/where was the survey announced or advertised? Some examples are offline media (newspapers), or online (mailing lists – If yes, which ones?) or banner ads (Where were these banner ads posted and what did they look like?). It is important to know the wording of the announcement as it will heavily influence who chooses to participate. Ideally the survey announcement should be published as an appendix.	Recruitment section: lines 111 - 134	
	Web/E-mail	State the type of e-survey (eg, one posted on a Web site, or	E-mails were sent using Microsoft Word's mail merge function and	



Item Category	em Category Checklist Item Explanation		<b>Reference Location and/or Notes</b>
Survey administration		one sent out through e-mail). If it is an e-mail survey, were the responses entered manually into a database, or was there an automatic method for capturing responses?	the scraped emails of authors in an Excel spreadsheet. The survey was open so we did not track respondents automatically. E-mail responses were manually entered in Excel.
	Context	Describe the Web site (for mailing list/newsgroup) in which the survey was posted. What is the Web site about, who is visiting it, what are visitors normally looking for? Discuss to what degree the content of the Web site could pre-select the sample or influence the results. For example, a survey about vaccination on a anti- immunization Web site will have different results from a Web survey conducted on a government Web site	Journals contacted are listed in Supplemental File 4. Journals who participated may be more willing to endorse reporting guidelines (i.e. STROBE) and their authors would therefore have been more likely to have used them. Personal contacts from the first author (lines 114 – 117) may also be more biased to have used reporting guidelines before due to the nature of their work and affiliation with the first author.
	Mandatory/voluntary	Was it a mandatory survey to be filled in by every visitor who wanted to enter the Web site, or was it a voluntary survey?	The survey was completely voluntary
	Incentives	Were any incentives offered (eg, monetary, prizes, or non- monetary incentives such as an offer to provide the survey results)?	<i>There were no monetary incentives for participation.</i>
	Time/Date	In what timeframe were the data collected?	Lines 112 – 113: "The survey was distributed from March 5 to August 31, 2018."
	Randomization of items or questionnaires	To prevent biases items can be randomized or alternated.	Items were not randomized or alternated.
	Adaptive questioning	Use adaptive questioning (certain items, or only conditionally displayed based	Lines 88 - 90: "After consenting to participate, adaptive questioning branched the survey based on



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Item Category Checklist Item E.		Explanation	<b>Reference Location and/or Notes</b>	
		on responses to other items) to reduce number and complexity of the questions.	participant's level of awareness and use of STROBE (i.e., never heard of, never used; heard of, never used; heard of, have used)."	
	Number of Items	What was the number of questionnaire items per page? The number of items is an important factor for the completion rate.	The survey flow is presented in Figure 1 and the full survey is in Supplemental File 2.	
	Number of screens (pages)	Over how many pages was the questionnaire distributed? The number of items is an important factor for the completion rate.	The survey flow is presented in Figure 1 and the full survey is in Supplemental File 2.	
	Completeness check	It is technically possible to do consistency or completeness checks before the questionnaire is submitted. Was this done, and if "yes", how (usually JAVAScript)? An alternative is to check for completeness after the questionnaire has been submitted (and highlight mandatory items). If this has been done, it should be reported. All items should provide a non-response option such as "not applicable" or "rather not say", and selection of one response option should be enforced.	There was no completeness check at the end of the survey.	
	Review step	State whether respondents were able to review and change their answers (eg, through a Back button or a Review step which displays a summary of the responses and asks the respondents if they are correct).	The back button and review functionalities were not enabled so participants could not change answers if they learned new information and/or wanted to respond in a more socially desirable manner.	
Response rates	Unique site visitor	If you provide view rates or participation rates, you need to define how you determined a unique visitor. There are	View rates were defined as those who opened the survey and viewed/loaded the first page of the survey (which was the informed	



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Item Category	Checklist Item	Explanation	Reference Location and/or Notes
		different techniques available, based on IP addresses or cookies or both.	<i>consent page. IP addresses were</i> <i>automatically logged.</i> Lines 180 – 181: "However, we know that 1293 visitors read the informed consent page and 1265 (97.8%) agreed to participate."
	View rate (Ratio of unique survey visitors/unique site visitors)	Requires counting unique visitors to the first page of the survey, divided by the number of unique site visitors (not page views!). It is not unusual to have view rates of less than 0.1 % if the survey is voluntary.	Not applicable.
	Participation rate (Ratio of unique visitors who agreed to participate/unique first survey page visitors)	Count the unique number of people who filled in the first survey page (or agreed to participate, for example by checking a checkbox), divided by visitors who visit the first page of the survey (or the informed consents page, if present). This can also be called "recruitment" rate.	Lines 180 – 181: "However, we know that 1293 visitors read the informed consent page and 1265 (97.8%) agreed to participate."
	Completion rate (Ratio of users who finished the survey/users who agreed to participate)	The number of people submitting the last questionnaire page, divided by the number of people who agreed to participate (or submitted the first survey page). This is only relevant if there is a separate "informed consent" page or if the survey goes over several pages. This is a measure for attrition. Note that "completion" can involve leaving questionnaire items blank. This is not a measure for how completely questionnaires were filled in. (If you need a measure for this, use the word "completeness rate".)	Lines 140 – 141: "Completion/dropout rates were calculated overall and per survey branch based on completion of the final forced-response question." Lines 180 – 183: "However, we know that 1293 visitors read the informed consent page and 1265 (97.8%) agreed to participate. After evaluating free-text responses, seven indicated ineligibility (e.g., <i>"I do not do</i> <i>observational research"</i> ). Of the 1258 eligible participants, 1015 (80.7%) completed the survey."



Item Category	Checklist Item	Explanation	<b>Reference Location and/or Notes</b>	
Preventing multiple entries from the same individual	Cookies used	Indicate whether cookies were used to assign a unique user identifier to each client computer. If so, mention the page on which the cookie was set and read, and how long the cookie was valid. Were duplicate entries avoided by preventing users access to the survey twice; or were duplicate database entries having the same user ID eliminated before analysis? In the latter case, which entries were kept for analysis (eg, the first entry or the most recent)?	Visitors were tracked using SurveyMonkey's multiple standard cookies for tracking website visitors [196,197]. We allowed multiple IP addresses as some participants came from the same institutions and experienced access issues.	
	IP check	Indicate whether the IP address of the client computer was used to identify potential duplicate entries from the same user. If so, mention the period of time for which no two entries from the same IP address were allowed (eg, 24 hours). Were duplicate entries avoided by preventing users with the same IP address access to the survey twice; or were duplicate database entries having the same IP address within a given period of time eliminated before analysis? If the latter, which entries were kept for analysis (eg, the first entry or the most recent)?	IP addresses were automatically collected; based on completeness and judgement (i.e., immediately consecutive access cases using identical IP addresses with no data or extreme time outliers with no responses), duplicate entries were deleted prior to analyses.	
	Log file analysis	Indicate whether other techniques to analyze the log file for identification of multiple entries were used. If so, please describe.	None	
	Registration	In "closed" (non-open) surveys, users need to login first and it is easier to prevent duplicate	The survey was open so registration was not necessary.	



Item Category	Checklist Item	Explanation	Reference Location and/or Notes
		entries from the same user. Describe how this was done. For example, was the survey never displayed a second time once the user had filled it in, or was the username stored together with the survey results and later eliminated? If the latter, which entries were kept for analysis (eg, the first entry or the most recent)?	
Analysis	Handling of incomplete questionnaires	Were only completed questionnaires analyzed? Were questionnaires which terminated early (where, for example, users did not go through all questionnaire pages) also analyzed?	Only complete questionnaires were analyzed.
	Questionnaires submitted with an atypical timestamp	Some investigators may measure the time people needed to fill in a questionnaire and exclude questionnaires that were submitted too soon. Specify the timeframe that was used as a cut-off point, and describe how this point was determined.	There was no cutoff point for submitting "too soon."
	Statistical correction	Indicate whether any methods such as weighting of items or propensity scores have been used to adjust for the non- representative sample; if so, please describe the methods.	No methods were used to adjust for the non-representative sample.





Informed Consent

\* The purpose of this research project is to better understand the use of and attitudes towards the STrenghtening the Reporting of OBservational Studies in Epidemiology (STROBE) Statement (<u>https://www.strobe-statement.org/</u>), a reporting guideline for cohort, case-control and cross-sectional studies.

This research project is conducted by Melissa Sharp, a doctoral student at the University of Split and Université Paris Descartes, Sorbonne Paris Cité.

You can participate in this study if, you currently work onor within the past 10 years have worked on manuscripts reporting the results of observational studies (e.g., cohort, case-control, cross-sectional). You do not need to know anything about the STROBE Statement to participate.

Your participation includes completing an online survey that will take approximately 10 minutes. Survey questions will be about your interactions with STROBE, your understanding of its use, and your perceptions towards the Statement.

Your participation in this research study is voluntary and you can choose to not participate. You can withdraw your participation at any time. Your responses will be confidential and results of this study will only be published in the aggregate. All data are stored in a password-protected electronic format and are only accessible by those directly involved in the project.

If you have any questions about the research study, please contact Melissa Sharp <u>(nsharp@unist.hr;</u> <u>melissa.sharp@etu.paris-descartes.fr</u> or Pr. Darko Hren (<u>dhren@ffst.hr</u>). This study project has been approved by the University of Split ethics committee.

Please select your consent choice below.

By agreeing to participate. You have ....

- · Read the above information about the study
- Are voluntarily agreeing to participate
- Are at least 18 years of age
- Are currently work on *or* within the past 10 years have worked on manuscripts reporting the results of observational studies

) YES, I agree to participate

NO, I decline to participate

TT S S P L I S	Methods in Research or	Research
	STROBE Statement Surve	ey for Authors
Demographic Information		
* How long have you been w	orking in research?	
1 - 10 years 11 - 20 years	ars 🔵 21 - 30 years 🔵 31 - 40 yea	ars 41 + years I do not work in research
Prefer not to say		
* What is your age?		
18 to 24	( ) 45 to 54	75 or older
25 to 34	55 to 64	Prefer not to say
35 to 44	65 to 74	
In what country do you prim	arily live and work?	
* What is your gender?		
Man		
<ul> <li>Transgender</li> </ul>		
Prefer not to say		
Other (please share)		

(Which Journal?)				
Personal invitation (Who?)				
Twitter (Which account and/or hashtag?)				
Facebook (Which group, page, etc.?)				
Other (Please specify)				
What is your primary field of work?	Plea	se select up to 3 options.		
Anatomy		Environmental Toxicology/Health		Nutrition Sciences
Bacteriology		Epidemiology		Oral Biology/Oral Pathology
Biochemistry		Ethics		Parasitology
Bioinformatics		Evolutionary Biology		Pathology
Biomedical Sciences		Genetics/Genomics		Pharmacology/Pharmaceutical
Biometrics & Biostatistics		Gerontology		Sciences
Biophysics		Health and Behavior		Physiology
Biotechnology		Health Policy Analysis		Psychology
Botany/Plant Biology		Health Systems/Service Administration	n	Public Health
Cancer Biology		Immunology		Rehabilitation/Therapeutic Servic
Cell/Cellular Biology & Histology		Kinesiology/Exercise Physiology		Speech-Language Pathology & Audiology
Computational Biology		Library Science		Social Work
Developmental Biology/Embryology		Microbiology		ΤοχίςοΙοαν
		Molecular Biology		Veterinary Sciences
				Virology
		Neurosciences & Neurobiology		Zaclowy
		Nursing Sciences		Zoology
Uther (please specify)			٦	





### Familiarity and Use of STROBE

\* Which of these statements best describes your familiarity with STROBE?

- I had **not heard** of STROBE before this survey <u>and</u> I have never **used** STROBE
  - I had heard of STROBE before this survey but never used STROBE
  - I had heard of STROBE before this survey and have used STROBE
- \* Have you heard of any of the following STROBE extensions?(please check all that apply)
  - I have not heard of any STROBE extensions
  - STrengthening the REporting of Genetic Association Studies (STREGA)
  - A EULAR extension of STROBE guidelines
  - STrengthening the Reporting of OBservational studies in Epidemiology Molecular Epidemiology (STROBE-ME)
  - Strengthening the Reporting of Molecular Epidemiology for Infectious Diseases (STROME-ID)
  - Strengthening the Reporting of Observational Studies in Epidemiology for Respondent-Driven Sampling studies (STROBE-RDS)
  - REporting of studies Conducted using Observational Routinely-collected health Data (RECORD) Statement
  - Strengthening the Reporting of Observational Studies in Epidemiology for AntiMicrobial Stewardship (STROBE-AMS)
  - Medical Abortion Reporting of Efficacy STROBE (MARE-S)
  - Strengthening the Reporting of Observational Studies in Epidemiology-NUTritional epidemiology (STROBE-NUT)
  - CONSISE statement on the REporting of SEroepidemiologic Studies for influenza (ROSES-I)
  - Strengthening the Reporting of Observational Studies in Epidemiology for Simulation-Based Research (STROBE-SBR)
  - Strengthening the Reporting of Observational Studies in Epidemiology for Newborn Infection (STROBE-NI)
  - Strengthening the Reporting of Observational Studies in Epidemiology Veterinary (STROBE-Vet)







Introduction to STROBE

The Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) recommendations were created in 2007 by an international collaboration of epidemiologists, methodologists, statisticians, and journal editors. It was simultaneously published in the Lancet, the Annals of Internal Medicine, Epidemiology, Bulletin of the World Health Organization, Preventative Medicine, BMJ, PLoS Medicine, and the Journal of Clinical Epidemiology.

STROBE provides guidance on reporting (writing about) of cohort, case-control, and cross-sectional studies. The recommendations come in the form of a checklist of the methods and results details that need to be reported for reproducibility, which you can see below. An explanatory and elaboration document was also published, which explains why each checklist item is needed and gives examples of good reporting of each item.

If you'd like more information on STROBE, you can visit <u>https://www.strobe-</u> <u>statement.org/</u>. However, you do not need to visit this website to complete this survey.

#### STROBE Checklist

# Appendix Table. The Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) Statement: Checklist of Items That Should Be Addressed in Reports of Observational Studies

Item	Item Number	Recommendation
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract. (b) Provide in the abstract an informative and balanced summary of what was done and what was found.
Introduction Background/ rationale	2	Explain the scientific background and rationale for the investigation being reported.
Objectives	3	State specific objectives, including any prespecified hypotheses.
Methods		
Study design	4	Present key elements of study design early in the paper.
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection.
Participants	6	<ul> <li>(a) Cohort study: Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up.</li> <li>Case-control study: Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls.</li> <li>Cross-sectional study: Give the eligibility criteria, and the sources and methods of selection of participants.</li> <li>(b) Cohort study: For matched studies, give matching criteria and number of exposed and unexposed.</li> <li>Case-control study: For matched studies, give matching criteria and the number of controls per case.</li> </ul>
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable.
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group.
Bias	9	Describe any efforts to address potential sources of bias.
Study size	10	Explain how the study size was arrived at.
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen, and why.
Statistical methods	12	<ul> <li>(a) Describe all statistical methods, including those used to control for confounding.</li> <li>(b) Describe any methods used to examine subgroups and interactions.</li> <li>(c) Explain how missing data were addressed.</li> <li>(d) Cohort study: If applicable, explain how loss to follow-up was addressed.</li> <li>Case-control study: If applicable, explain how matching of cases and controls was addressed.</li> <li>Cross-sectional study: If applicable, describe analytical methods taking account of sampling strategy.</li> <li>(e) Describe any sensitivity analyses</li> </ul>
Results		
Participants	13*	<ul> <li>(a) Report the numbers of individuals at each stage of the study—e.g., numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analyzed.</li> <li>(b) Give reasons for nonparticipation at each stage.</li> <li>(c) Consider use of a flow diagram.</li> </ul>
Descriptive data	14*	<ul> <li>(a) Give characteristics of study participants (e.g., demographic, clinical, social) and information on exposures and potential confounders.</li> <li>(b) Indicate the number of participants with missing data for each variable of interest.</li> <li>(c) Cohort study: Summarize follow-up time—e.g., average and total amount.</li> </ul>
Outcome data	15*	Cohort study: Report numbers of outcome events or summary measures over time. Case-control study: Report numbers in each exposure category or summary measures of exposure. Cross-sectional study: Report numbers of outcome events or summary measures.
Main results	16	<ul> <li>(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (e.g., 95% confidence intervals). Make clear which confounders were adjusted for and why they were included.</li> <li>(b) Report category boundaries when continuous variables were categorized.</li> <li>(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period.</li> </ul>
Other analyses	17	Report other analyses done—e.g., analyses of subgroups and interactions and sensitivity analyses.
Discussion		
Key results	18	Summarize key results with reference to study objectives
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias.
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence.
Generalizability	21	Discuss the generalizability (external validity) of the study results.
Other information		
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based.

\*Give such information separately for cases and controls in case-control studies, and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Separate versions of the checklist for cohort, case-control, and cross-sectional studies are available on the STROBE Web site (www.strobe-statement.org).

Methods in Research on Research
STROBE Statement Survey for Authors
Impressions of STROBE
Thinking about what you now know about the STROBE Statement
* During which stage(s) of the research process would you consider using STROBE? Please check all that apply.
I would not consider using STROBE
Developing a study protocol/designing a study
Working on a grant application
Writing a manuscript
After completing a manuscript, to check that everything has been reported
Evaluating the reporting in an article
* What would motivate you to use STROBE? Please check all that apply.
I would initiate the use of STROBE myself
If my co-authors suggest using STROBE
If a journal suggests/requires using STROBE
If a journal suggests using STROBE during peer review
If it was a social norm in my research group
If my mentor/supervisor recommended it
Other (please specify)

		THE BELL	Ĵ,	MiRc Methods in Research on Res	search		
		ST	ROBE Stat	ement Survey for	Authors		
Bel	iefs, Influence	es, and Facilita	tors				
The acc	These questions ask about your attitudes towards STROBE and factors influencing its acceptability.						
* (	STROBE will b	e useful in my jol	b.				
	Strongly Disagree	Disagree	Slightly Disagree	Neutral (Neither Disagree Nor Agree)	Slightly Agree	Agree	Strongly Agree
	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$
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*	* If I use STROBE, I will get a more positive peer review of my paper.						
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#### Interactions with STROBE

\* How did you first become aware of STROBE?

A peer/colleague told me about it

A boss/mentor/supervisor told me about it

A journal required/recommended it

I learned about it in a course or workshop

I learned about it online (not from a journal or online course; e.g., EQUATOR Network)

Other (please specify)

\* Why do you think you have not used STROBE before? (Check all that apply)
I do not think my writing would benefit from using it
I do not want to follow such strict rules
The guidelines are hard to understand
Following the guidelines clashes with the journal's word count restrictions for articles
STROBE's format makes it difficult to use
My co-authors do not use STROBE
It is likely to make for a more negative peer review
Journals do not require me to use STROBE
STROBE does not work for my kind of case-control, cohort, or cross-sectional study
Other (please specify)

Wh	nat would motivate you to use STROBE? Please check all that apply
	I would initiate the use of STROBE myself
	If my co-authors suggests using STROBE
	If a journal suggests/requires using STROBE
	If a journal suggests using STROBE during peer review
	If using reporting guidelines was incentivized by promotion and tenure committees
	If I could get immediate audit and feedback about the quality of reporting of my manuscript prior to journal submission
	Other (please specify)
* Du Ple	ring which stage(s) of the research process would you consider using STROBE? ease check all that apply.
	I would not consider using STROBE
	Developing a study protocol/designing a study
	Working on a grant application
	Writing a manuscript
	After completing a manuscript, to check that everything has been reported
	Evaluating the reporting in an article

		TIT S B PLI	Ĵ,	MiRc Methods in Research on Res	search		
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* (	STROBE is use	eful in my job.					
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#### Interactions with STROBE

- \* How often do you use STROBE?
  - Never
  - In less than a quarter of my manuscripts
  - In around half of my manuscripts
  - In more than three quarters of my manuscript, but not all
  - In all of my manuscripts

#### \* How did you first become aware of STROBE?

- A peer/colleague told me about it
- A boss/mentor/supervisor told me about it
- A journal required/recommended it
- I learned about it in a course or workshop
- I learned about it online (not from a journal or online course; e.g., EQUATOR Network)
- Other (please specify)

\* During what stage(s) of the research process have you used STROBE? *Please check all that apply.* 



Writing a manuscript

After completing a manuscript, to check that everything had been reported

Evaluating the reporting in an article

* Wh	at motivated you to use STROBE in the past?						
Ple	ase check all that apply.						
	I decided to use STROBE, or suggested using it to my co-authors						
	No as suthers suggested using it when writing a menuscript						
	my co-authors suggested using it when whing a manuscript						
	A journal suggested/required using it during manuscript submission						
	A journal suggested using it during peer review						
	Other (please specify)						
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Bel	iefs, Influence	es, and Facilita	tors (Part 2)	)			
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*	My peers think	that I should use	STROBE.				
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#### STROBE Statement Survey for Authors

**Final Thoughts** 

Do you have any other comments?

Please feel free to expand on anything related to STROBE or this survey. For example, your experiences with STROBE, thoughts about its usefulness, content, format, the extensions, etc.

# Additional File 3. Editorial Offices Contacted and Journal Pools with Accompanying Search Strategies

#### Editorial Offices Contacted

- 1. Advances in therapy
- 2. African health sciences
- 3. Aging & mental health
- 4. Alimentary pharmacology & therapeutics
- 5. American journal of community psychology
- 6. American journal of human genetics
- 7. American journal of medical genetics. Part A
- 8. American journal of medical genetics. Part B, Neuropsychiatric genetics : the official publication of the International Society of Psychiatric Genetics
- 9. American journal of medical genetics. Part C, Seminars in medical genetics
- 10. American journal of men's health
- 11. American journal of public health
- 12. Annals of human genetics
- 13. Annals of the rheumatic diseases
- 14. Antiviral research
- 15. Antiviral therapy
- 16. Arthritis & rheumatology
- 17. Arthritis care & research
- 18. Arthritis research & therapy
- 19. Asia-Pacific journal of public health
- 20. Australian journal of primary health
- 21. Behavior genetics
- 22. Biochemical genetics
- 23. Biomolecules
- 24. Bioscience reports
- 25. BMB reports
- 26. BMC family practice
- 27. BMC gastroenterology
- 28. BMC genetics
- 29. BMC genomics
- 30. BMC health services research
- 31. BMC medical genetics
- 32. BMC medical research methodology
- 33. BMC public health
- 34. BMJ quality & safety
- 35. Canadian journal of public health = Revue canadienne de sante publique
- 36. Cancer
- 37. Cancer gene therapy
- 38. Cancer genetics
- 39. Cancer genomics & proteomics
- 40. Cell reports
- 41. Cellular & molecular immunology
- 42. Chemico-biological interactions



- 43. Chemotherapy
- 44. Child welfare
- 45. Ciência & saúde coletiva
- 46. Circulation. Cardiovascular genetics
- 47. Clinical drug investigation
- 48. Clinical epigenetics
- 49. Clinical genetics
- 50. Clinical interventions in aging
- 51. Clinical rheumatology
- 52. Clinical therapeutics
- 53. Combinatorial chemistry & high throughput screening
- 54. Community dental health
- 55. Community mental health journal
- 56. Connective tissue research
- 57. Current drug safety
- 58. Current molecular medicine
- 59. Current rheumatology reviews
- 60. Demography
- 61. DNA repair
- 62. Drug discoveries & therapeutics
- 63. Drug research
- 64. EBioMedicine
- 65. EMBO molecular medicine
- 66. Epigenomics
- 67. Ethnicity & health
- 68. European journal of clinical pharmacology
- 69. European journal of medical genetics
- 70. Experimental & molecular medicine
- 71. Expert review of molecular diagnostics
- 72. Familial cancer
- 73. FEBS letters
- 74. Folia biologica
- 75. Forensic science international. Genetics
- 76. Functional & integrative genomics
- 77. Future cardiology
- 78. G3 : genes genomes genetics
- 79. Gene
- 80. Gene expression
- 81. Genes & genetic systems
- 82. Genes, chromosomes & cancer
- 83. Genetic epidemiology
- 84. Genetica
- 85. Genetics
- 86. Genome
- 87. Genome biology
- 88. Genome medicine
- 89. Genome research
- 90. Genomics



- 91. Health & place
- 92. Health & social care in the community
- 93. Health & social work
- 94. Health affairs
- 95. Health care for women international
- 96. Health care management review
- 97. Health care management science
- 98. Health economics
- 99. Health policy
- 100. Health services research
- 101. Healthcare
- 102. Healthcare policy = Politiques de santé
- 103. Healthcare quarterly
- 104. Hereditas
- 105. Hispanic health care international : the official journal of the National Association of Hispanic Nurses
- 106. Home health care services quarterly
- 107. Home healthcare now
- 108. Human genetics
- 109. Human genomics
- 110. Human heredity
- 111. Human molecular genetics
- 112. Human mutation
- 113. Immunogenetics
- 114. Infant mental health journal
- 115. Infection
- 116. Infectious disorders drug targets
- 117. International journal for equity in health
- 118. International journal of antimicrobial agents
- 119. International journal of clinical pharmacy
- 120. International journal of evidence-based healthcare
- 121. International journal of health care quality assurance
- 122. International journal of health economics and management
- 123. International journal of immunogenetics
- 124. International journal of molecular medicine
- 125. International journal of molecular sciences
- 126. International journal of rheumatic diseases
- 127. International journal of technology assessment in health care
- 128. Israel journal of health policy research
- 129. IUBMB life
- 130. Joint, bone, spine : revue du rhumatisme
- 131. Journal of allied health
- 132. Journal of applied genetics
- 133. Journal of biomolecular techniques : JBT
- 134. Journal of cardiovascular translational research
- 135. Journal of chemotherapy
- 136. Journal of community health
- 137. Journal of comparative effectiveness research
- 138. Journal of epidemiology and global health



- 139. Journal of evaluation in clinical practice
- 140. Journal of global antimicrobial resistance
- 141. Journal of global health
- 142. Journal of health communication
- 143. Journal of health economics
- 144. Journal of human genetics
- 145. Journal of lipid research
- 146. Journal of medical economics
- 147. Journal of medical engineering & technology
- 148. Journal of medical Internet research
- 149. Journal of mental health
- 150. Journal of molecular endocrinology
- 151. Journal of molecular histology
- 152. Journal of molecular medicine
- 153. Journal of Nepal Health Research Council
- 154. Journal of neurogenetics
- 155. Journal of patient safety
- 156. Journal of prevention & intervention in the community
- 157. Journal of primary care & community health
- 158. Journal of women & aging
- 159. LGBT health
- 160. Life sciences
- 161. Lupus
- 162. Managed care
- 163. Medical care
- 164. Medical molecular morphology
- 165. Methods in molecular biology
- 166. MicroRNA
- 167. Mitochondrial DNA. Part A, DNA mapping, sequencing, and analysis
- 168. Modern rheumatology
- 169. Molecular autism
- 170. Molecular biology reports
- 171. Molecular bioSystems
- 172. Molecular biotechnology
- 173. Molecular brain
- 174. Molecular cancer
- 175. Molecular cancer research : MCR
- 176. Molecular carcinogenesis
- 177. Molecular diagnosis & therapy
- 178. Molecular human reproduction
- 179. Molecular immunology
- 180. Molecular medicine
- 181. Molecular medicine reports
- 182. Molecular neurobiology
- 183. Molecular neurodegeneration
- 184. Molecular nutrition & food research
- 185. Molecular oncology
- 186. Molecular psychiatry



- 187. Molecular systems biology
- 188. Molecular vision
- 189. Mutagenesis
- 190. Mutation Research Fundamental and Molecular Mechanisms of Mutagenesis
- 191. Mutation Research Genetic Toxicology and Environmental Mutagenesis
- 192. Mutation research reviews
- 193. Nature genetics
- 194. Nature medicine
- 195. Neurogenetics
- 196. Neurology
- 197. Neuromolecular medicine
- 198. Omics : a journal of integrative biology
- 199. Oncogene
- 200. Ophthalmic genetics
- 201. Orthodontics & craniofacial research
- 202. Paediatric drugs
- 203. Pediatric rheumatology online journal
- 204. Perspectives in health information management
- 205. Pharmacogenetics and genomics
- 206. Pharmacogenomics
- 207. Physiological genomics
- 208. PLoS genetics
- 209. Population health management
- 210. Primary health care research & development
- 211. Professional case management
- 212. Proteomics
- 213. Psychiatric genetics
- 214. Psychiatric rehabilitation journal
- 215. Psychological services
- 216. Psychology, health & medicine
- 217. Public health
- 218. Public health genomics
- 219. Public health nutrition
- 220. Public health reports
- 221. Quality management in health care
- 222. Radiation research
- 223. Recent patents on anti-infective drug discovery
- 224. Research in nursing & health
- 225. Reumatología clinica
- 226. Rheumatology
- 227. Rheumatology international
- 228. RNA biology
- 229. Scandinavian journal of rheumatology
- 230. Scandinavian journal of work, environment & health
- 231. Sexual & reproductive healthcare : official journal of the Swedish Association of Midwives
- 232. Social science & medicine
- 233. Social work in health care
- 234. Tanzania journal of health research



- 235. The American journal of managed care
- 236. The Annals of pharmacotherapy
- 237. The FEBS journal
- 238. The health care manager
- 239. The International journal of pharmacy practice
- 240. The Journal of ambulatory care management
- 241. The Journal of antibiotics
- 242. The Journal of antimicrobial chemotherapy
- 243. The journal of behavioral health services & research
- 244. The Journal of continuing education in the health professions
- 245. The Journal of dermatological treatment
- 246. The journal of extra-corporeal technology
- 247. The journal of gene medicine
- 248. The journal of primary prevention
- 249. The Journal of rheumatology
- 250. The Journal of school health
- 251. The Milbank quarterly
- 252. The Permanente journal
- 253. The pharmacogenomics journal
- 254. Theranostics
- 255. Therapeutic drug monitoring
- 256. Virulence
- 257. Virus genes



#### Journals from observational-study corpus [Search run July 2017]

- 1. ACS synthetic biology
- 2. Advanced drug delivery reviews
- 3. Advances in therapy
- 4. Alimentary pharmacology & therapeutics
- 5. American journal of clinical oncology
- 6. Annals of the rheumatic diseases
- 7. Anti-cancer drugs
- 8. Anti-inflammatory & anti-allergy agents in medicinal chemistry
- 9. Antiviral chemistry & chemotherapy
- 10. Antiviral research
- 11. Antiviral therapy
- 12. Arthritis & rheumatology (Hoboken, N.J.)
- 13. Arthritis care & research
- 14. Arthritis research & therapy
- 15. Biochemical genetics
- 16. Biochemistry and molecular biology education : a bimonthly publication of the International Union of Biochemistry and Molecular Biology
- 17. Bioinformatics (Oxford, England)
- 18. Biomacromolecules
- 19. Biomolecular concepts
- 20. Biomolecules
- 21. Bioscience reports
- 22. BMB reports
- 23. BMC gastroenterology
- 24. BMC genetics
- 25. BMC structural biology
- 26. British journal of pharmacology
- 27. Cardiovascular & hematological disorders drug targets
- 28. Cardiovascular therapeutics
- 29. Cell reports
- 30. Cell systems
- 31. Cellular & molecular biology letters
- 32. Cellular & molecular immunology
- 33. Chemico-biological interactions
- 34. Chemistry & biodiversity
- 35. Chemotherapy
- 36. Chimerism
- 37. Clinical colorectal cancer
- 38. Clinical drug investigation
- 39. Clinical pharmacology in drug development
- 40. Clinical rheumatology
- 41. Clinical therapeutics
- 42. CNS & neurological disorders drug targets
- 43. CNS drugs
- 44. Cold Spring Harbor perspectives in biology
- 45. Cold Spring Harbor protocols
- 46. Combinatorial chemistry & high throughput screening



- 47. Connective tissue research
- 48. Critical reviews in eukaryotic gene expression
- 49. Critical reviews in therapeutic drug carrier systems
- 50. Current cancer drug targets
- 51. Current drug delivery
- 52. Current drug safety
- 53. Current drug targets
- 54. Current molecular medicine
- 55. Current opinion in pharmacology
- 56. Current opinion in rheumatology
- 57. Current pharmaceutical biotechnology
- 58. Current protocols in cytometry
- 59. Current rheumatology reports
- 60. Current rheumatology reviews
- 61. Current vascular pharmacology
- 62. Daru : journal of Faculty of Pharmacy, Tehran University of Medical Sciences
- 63. Diabetes, obesity & metabolism
- 64. DNA repair
- 65. Drug delivery
- 66. Drug development research
- 67. Drug discoveries & therapeutics
- 68. Drug discovery today. Technologies
- 69. Drug research
- 70. Drug safety
- 71. Drugs
- 72. Drugs & aging
- 73. Drugs of today (Barcelona, Spain : 1998)
- 74. EMBO molecular medicine
- 75. EMBO reports
- 76. Endocrine, metabolic & immune disorders drug targets
- 77. European journal of clinical pharmacology
- 78. Evolution & development
- 79. Experimental & molecular medicine
- 80. Expert opinion on drug delivery
- 81. Expert opinion on drug safety
- 82. Expert opinion on emerging drugs
- 83. Expert opinion on investigational drugs
- 84. Expert opinion on pharmacotherapy
- 85. Expert opinion on therapeutic targets
- 86. Expert review of molecular diagnostics
- 87. Expert review of neurotherapeutics
- 88. Expert review of pharmacoeconomics & outcomes research
- 89. FEBS letters
- 90. Folia biologica
- 91. Functional & integrative genomics
- 92. Fundamental & clinical pharmacology
- 93. Future cardiology
- 94. G3 (Bethesda, Md.)



- 95. Gene
- 96. Gene expression
- 97. Genes & development
- 98. Genes & genetic systems
- 99. Genes, chromosomes & cancer
- 100. Genome biology and evolution
- 101. Harm reduction journal
- 102. Hematology & medical oncology
- 103. Human molecular genetics
- 104. Infection
- 105. Infectious disorders drug targets
- 106. Inflammation & allergy drug targets
- 107. Inflammopharmacology
- 108. International journal for parasitology. Drugs and drug resistance
- 109. International journal of antimicrobial agents
- 110. International journal of biological macromolecules
- 111. International journal of clinical pharmacy
- 112. International journal of molecular medicine
- 113. International journal of molecular sciences
- 114. International journal of rheumatic diseases
- 115. IUBMB life
- 116. Joint, bone, spine : revue du rhumatisme
- 117. Journal of biomolecular NMR
- 118. Journal of biomolecular structure & dynamics
- 119. Journal of biomolecular techniques : JBT
- 120. Journal of cardiovascular pharmacology
- 121. Journal of chemotherapy (Florence, Italy)
- 122. Journal of computer-aided molecular design
- 123. Journal of drugs in dermatology : JDD
- 124. Journal of experimental therapeutics & oncology
- 125. Journal of global antimicrobial resistance
- 126. Journal of lipid research
- 127. Journal of molecular cell biology
- 128. Journal of molecular endocrinology
- 129. Journal of molecular evolution
- 130. Journal of molecular graphics & modelling
- 131. Journal of molecular histology
- 132. Journal of molecular medicine (Berlin, Germany)
- 133. Journal of molecular modeling
- 134. Journal of opioid management
- 135. Journal of pain & palliative care pharmacotherapy
- 136. Journal of plant physiology
- 137. Journal of structural biology
- 138. Journal of the American Pharmacists Association : JAPhA
- 139. Life sciences
- 140. Lupus
- 141. Magnesium research
- 142. Marine biotechnology (New York, N.Y.)



- 143. Medical molecular morphology
- 144. Methods in molecular biology (Clifton, N.J.)
- 145. MicroRNA (Shariqah, United Arab Emirates)
- 146. Mitochondrial DNA. Part A, DNA mapping, sequencing, and analysis
- 147. Modern rheumatology
- 148. Molecular & cellular biomechanics : MCB
- 149. Molecular biology of the cell
- 150. Molecular biology reports
- 151. Molecular bioSystems
- 152. Molecular biotechnology
- 153. Molecular brain
- 154. Molecular cancer
- 155. Molecular cancer research : MCR
- 156. Molecular carcinogenesis
- 157. Molecular diagnosis & therapy
- 158. Molecular diversity
- 159. Molecular ecology
- 160. Molecular ecology resources
- 161. Molecular human reproduction
- 162. Molecular immunology
- 163. Molecular informatics
- 164. Molecular medicine (Cambridge, Mass.)
- 165. Molecular medicine reports
- 166. Molecular microbiology
- 167. Molecular neurobiology
- 168. Molecular neurodegeneration
- 169. Molecular nutrition & food research
- 170. Molecular oncology
- 171. Molecular pain
- 172. Molecular pharmaceutics
- 173. Molecular pharmacology
- 174. Molecular psychiatry
- 175. Molecular systems biology
- 176. Molecular therapy : the journal of the American Society of Gene Therapy
- 177. Molecular vision
- 178. Nature medicine
- 179. Nature reviews. Drug discovery
- 180. Nature reviews. Rheumatology
- 181. Neuromolecular medicine
- 182. New biotechnology
- 183. Nucleic acid therapeutics
- 184. Omics : a journal of integrative biology
- 185. Oncogene
- 186. Paediatric drugs
- 187. Pediatric rheumatology online journal
- 188. PharmacoEconomics
- 189. Pharmacology & therapeutics
- 190. Physiological genomics



- 191. Plant molecular biology
- 192. Prescrire international
- 193. Pulmonary pharmacology & therapeutics
- 194. Recent patents on anti-cancer drug discovery
- 195. Recent patents on anti-infective drug discovery
- 196. Recent patents on endocrine, metabolic & immune drug discovery
- 197. Recent patents on inflammation & allergy drug discovery
- 198. Reumatologia clinica
- 199. Rheumatic diseases clinics of North America
- 200. Rheumatology (Oxford, England)
- 201. Rheumatology international
- 202. RNA biology
- 203. Scandinavian journal of rheumatology
- 204. Scandinavian journal of rheumatology. Supplement
- 205. Skin therapy letter
- 206. Structure (London, England : 1993)
- 207. The AAPS journal
- 208. The Annals of pharmacotherapy
- 209. The EMBO journal
- 210. The FEBS journal
- 211. The international journal of neuropsychopharmacology
- 212. The International journal of pharmacy practice
- 213. The Journal of antibiotics
- 214. The Journal of antimicrobial chemotherapy
- 215. The Journal of dermatological treatment
- 216. The journal of gene medicine
- 217. The Journal of membrane biology
- 218. The Journal of rheumatology
- 219. The Journal of rheumatology. Supplement
- 220. The pharmacogenomics journal
- 221. Theranostics
- 222. Therapeutic delivery
- 223. Therapeutic drug monitoring
- 224. Transcription
- 225. Transgenic research
- 226. Value in health regional issues
- 227. Virulence
- 228. Virus genes



#### Journals Listed under Broad Subject Term "Epidemiology" in the National Library of Medicine

- 1. Health promotion and chronic disease prevention in Canada : research, policy and practice
- 2. Public health research & practice
- 3. Journal of racial and ethnic health disparities
- 4. Journal of epidemiology and global health
- 5. Epidemiology and psychiatric sciences
- 6. Chronic diseases and injuries in Canada
- 7. Western Pacific surveillance and response journal : WPSAR
- 8. Spatial and spatio-temporal epidemiology
- 9. Epidemiology and health
- 10. Epidemics
- 11. Cancer epidemiology
- 12. Biodemography and social biology
- 13. World health & population
- 14. Journal of exposure science & environmental epidemiology
- 15. Population health metrics
- 16. Journal of cancer epidemiology and prevention
- 17. Vector borne and zoonotic diseases
- 18. Scandinavian journal of public health Supplement
- 19. Scandinavian journal of public health
- 20. Revista brasileira de epidemiologia = Brazilian journal of epidemiology
- 21. Journal of urban health : bulletin of the New York Academy of Medicine
- 22. Journal of epidemiology and biostatistics
- 23. MSMR
- 24. Health & place
- 25. Ophthalmic epidemiology
- 26. Journal of medical screening
- 27. Central European journal of public health
- 28. Pharmacoepidemiology and drug safety
- 29. Epidemiologia e psichiatria sociale
- 30. Journal of exposure analysis and environmental epidemiology
- 31. Journal of epidemiology
- 32. European journal of public health
- 33. Cancer epidemiology, biomarkers & prevention : a publication of the American Association for Cancer Research, cosponsored by the American Society of Preventive Oncology
- 34. New South Wales public health bulletin
- 35. Epidemiology
- 36. Cancer causes & control : CCC
- 37. Bacteriologia, virusologia, parazitologia, epidemiologia
- 38. Annals of epidemiology
- 39. Social psychiatry and psychiatric epidemiology
- 40. Journal of clinical epidemiology
- 41. Infection control and hospital epidemiology
- 42. Geographia medica Supplement = Geographia medica Sonderband
- 43. Paediatric and perinatal epidemiology
- 44. Epidemiology and infection
- 45. Genetic epidemiology Supplement
- 46. European journal of epidemiology



- 47. Genetic epidemiology
- 48. Neuroepidemiology
- 49. Zhonghua liu xing bing xue za zhi = Zhonghua liuxingbingxue zazhi
- 50. Epidemiological bulletin
- 51. Chronic diseases in Canada
- 52. Social science & medicine Part D, Medical geography
- 53. Journal of epidemiology and community health
- 54. Epidemiology and community health
- 55. Epidemiologic reviews
- 56. Contributions to epidemiology and biostatistics
- 57. Social science & medicine Medical geography
- 58. Journal of epidemiology and community health
- 59. Epidemiologia e prevenzione
- 60. Canada diseases weekly report = Rapport hebdomadaire des maladies au Canada
- 61. Scandinavian journal of social medicine
- 62. Scandinavian journal of social medicine Supplementum
- 63. Community dentistry and oral epidemiology
- 64. International journal of epidemiology
- 65. Geographia medica
- 66. Acta socio-medica Scandinavica
- 67. Acta socio-medica Scandinavica Supplement
- 68. Local population studies
- 69. American journal of epidemiology
- 70. Journal of hygiene, epidemiology, microbiology, and immunology
- 71. Population studies
- 72. Zhurnal mikrobiologii, epidemiologii, i immunobiologii
- 73. Bollettino dell'Istituto sieroterapico milanese
- 74. Journal of registry management
- 75. International journal of health geographics

#### Ovid MEDLINE Search Strategy

- 1. Observational Study/
- 2. (observational adj3 stud\$).tw.
- 3. exp Cohort Studies/
- 4. cohort\$.tw.
- 5. controlled clinical trial.pt.
- 6. Epidemiologic Methods/
- 7. exp case-control studies/
- 8. (case\$ adj3 control\$).tw.
- 9. Comparative Study/
- 10. prospective\$.tw.
- 11. retrospective\$.tw.



#### 12. Cross-Sectional Studies/

13. prevalence/

14. or/1-13

15. ("Health promotion and chronic disease prevention in Canada : research, policy and practice " or "Public health research & practice " or "Journal of racial and ethnic health disparities " or "Journal of epidemiology and global health " or "Epidemiology and psychiatric sciences " or "Chronic diseases and injuries in Canada " or "Western Pacific surveillance and response journal : WPSAR " or "Spatial and spatio-temporal epidemiology " or "Epidemiology and health " or "Epidemics " or "Cancer epidemiology " or "Biodemography and social biology " or "World health & population " or "Journal of exposure science & environmental epidemiology " or "Population health metrics " or "Journal of cancer epidemiology and prevention " or "Vector borne and zoonotic diseases " or "Scandinavian journal of public health. Supplement " or "Scandinavian journal of public health " or "Revista brasileira de epidemiologia = Brazilian journal of epidemiology " or "Journal of urban health : bulletin of the New York Academy of Medicine " or "Journal of epidemiology and biostatistics " or "MSMR " or "Health & place " or "Ophthalmic epidemiology " or "Journal of medical screening " or "Central European journal of public health " or "Pharmacoepidemiology and drug safety " or "Epidemiologia e psichiatria sociale " or "Journal of exposure analysis and environmental epidemiology" or "Journal of epidemiology" or "European journal of public health " or "Cancer epidemiology, biomarkers & prevention : a publication of the American Association for Cancer Research, cosponsored by the American Society of Preventive Oncology " or "New South Wales public health bulletin " or "Epidemiology " or "Cancer causes & control : CCC " or "Bacteriologia, virusologia, parazitologia, epidemiologia" or "Annals of epidemiology " or "Social psychiatry and psychiatric epidemiology " or "Journal of clinical epidemiology " or "Infection control and hospital epidemiology " or "Geographia medica Supplement = Geographia medica Sonderband " or "Paediatric and perinatal epidemiology " or "Epidemiology and infection " or "Genetic epidemiology Supplement " or "European journal of epidemiology " or "Genetic epidemiology " or "Neuroepidemiology " or "Zhonghua liu xing bing xue za zhi = Zhonghua liuxingbingxue zazhi " or "Epidemiological bulletin " or "Chronic diseases in Canada " or "Social science & medicine. Part D, Medical geography " or "Journal of epidemiology and community health " or "Epidemiology and community health " or "Epidemiologic reviews " or "Contributions to epidemiology and biostatistics " or "Social science & medicine. Medical geography " or "Journal of epidemiology and community health " or "Epidemiologia e prevenzione " or "Canada diseases weekly report = Rapport hebdomadaire des maladies au Canada " or "Scandinavian journal of social medicine " or "Scandinavian journal of social medicine. Supplementum " or "Community dentistry and oral epidemiology " or "International journal of epidemiology " or "Geographia medica " or "Acta socio-medica Scandinavica " or "Acta socio-medica Scandinavica Supplement " or "Local population studies " or "American journal of epidemiology " or "Journal of hygiene, epidemiology, microbiology, and immunology " or "Population studies " or "Zhurnal mikrobiologii, epidemiologii, i immunobiologii " or "Bollettino dell'Istituto sieroterapico milanese " or "Journal of registry management " or "International journal of health geographics ").jn.

16. 14 and 15

17. limit 16 to (english language and last year)



#### STROBE-Endorsing Journals [Search run and downloaded June 18, 2018]

- 1. Acta Gastroenterológica Latinoamericana
- 2. African Journal of Paediatric Surgery
- 3. Aging
- 4. American Journal of Kidney Diseases
- 5. American Journal of Preventive Medicine
- 6. American Journal of Respiratory and Critical Care Medicine
- 7. Annals of Behavioral Medicine
- 8. Annals of Cardiac Anaesthesia
- 9. Annals of Clinical Biochemistry
- 10. Annals of Emergency Medicine
- 11. Annals of Internal Medicine
- 12. Annals of Medicine and Surgery
- 13. Annals of Pediatric Cardiology
- 14. Annals of Saudi Medicine
- 15. Annals of Surgery
- 16. Annals of Thoracic Medicine
- 17. Annals of Tropical Medicine & Public Health
- 18. Arab Journal of Nephrology and Transplantation
- 19. Archives of Public Health
- 20. Australian Family Physician
- 21. BJOG
- 22. BMJ Open
- 23. British Journal of Dermatology
- 24. British Medical Journal
- 25. Bulletin of the World Health Organization
- 26. Butlletí
- 27. Cardiovascular Therapeutics
- 28. Cephalagia
- 29. Chest
- 30. Chiropractic Journal of Australia
- 31. Clinical Orthopaedics and Related Research
- 32. Clinical Toxicology
- 33. CNS Neuroscience & Therapeutics
- 34. Community Dental Health
- 35. Community Dentistry and Oral Epidemiology
- 36. Croatian Medical Journal
- 37. Down Syndrome Research and Practice
- 38. Drug and Alcohol Dependence
- 39. Deutsches Ärzteblatt International
- 40. East African Journal of Public Health
- 41. Epidemiologic Focus
- 42. Epidemiology & Infection
- 43. European Journal of Oral Implantology
- 44. European Respiratory Journal
- 45. Fertility and Sterility
- 46. Gaceta Sanitaria
- 47. Gastrointestinal Endoscopy



- 48. Global Health Action
- 49. Gut
- 50. Hematology/Oncology and Stem Cell Therapy
- 51. Hepatitis Monthly
- 52. Indian Journal of Medical Sciences
- 53. Indian Journal of Ophthalmology
- 54. Indian Journal of Pathology and Microbiology
- 55. Indian Pediatrics
- 56. Injury Prevention
- 57. International Journal for Ayurveda Research
- 58. International Journal of Clinical Practice
- 59. International Journal of Green Pharmacy
- 60. International Journal of Medical Students
- 61. International Journal of Nursing Studies
- 62. International Journal of Surgery
- 63. JAAD
- 64. Journal of American Physical Therapy Association
- 65. Journal of Athletic Training
- 66. Journal of Bone and Joint Surgery
- 67. Journal of Cutaneous and Aesthetic Surgery
- 68. Journal of Cytology Journal of Dental Research
- 69. Journal of Emergencies, Trauma and Shock
- 70. Journal of Global Infectious Diseases
- 71. Journal of Gynecological Endoscopy and Surgery
- 72. Journal of Human Nutrition and Dietetics
- 73. Journal of Investigational Allergology & Clinical Immunology
- 74. Journal of Ion Channels
- 75. Journal of Medical Ethics
- 76. Journal of Medical Sciences Research
- 77. Journal of the National Medical Association
- 78. Journal of Primary Health Care
- 79. Journal of Psychiatric and Mental Health Nursing
- 80. Journal of Orthopaedic & Sports Physical Therapy
- 81. Journal of Postgraduate Medicine
- 82. Journal of Shoulder and Elbow surgery
- 83. Journal of Thrombosis and Haemostasis
- 84. Journal of the Portuguese Society of Dermatology and Venereology
- 85. Journal of Reproductive Immunology
- 86. Lancet
- 87. Lancet Neurology
- 88. Lancet Oncology
- 89. Medical Decision Making
- 90. Medical Law Cases For Doctors
- 91. Medical Research Support Foundation
- 92. Nature Clinical Practice Cardiovascular Medicine
- 93. Neuroepidemiology
- 94. Neurology
- 95. Obstetrics & Gynecology



- 96. Open Medicine
- 97. Pain Practice
- 98. Pain Physician
- 99. Pakistan Journal of Medical and Health Sciences
- 100. Pflegezeitschrift
- 101. Philppine Journal of Otolaryngology Head and Neck Surgery
- 102. Physical Therapy
- 103. Physiotherapy
- 104. PLoS Computational Biology
- 105. PLoS Genetics
- 106. PLoS ONE
- 107. PLoS Medicine
- 108. PLoS Neglected Tropical Diseases
- 109. PLoS Pathogens
- 110. pt Zeitschrift für Physiotherapeuten
- 111. Radiology
- 112. Revista de Saude Publica
- 113. Revista Brasileira de Cirurgia Cardiovascular
- 114. Revista Peruana de Epidemiología
- 115. São Paulo Medical Journal
- 116. Scandinavian Journal of Work, Environment & Health
- 117. Scandinavian Journal of Trauma, Resuscitation and Emergency Medicine (SJTREM)
- 118. Sexually Transmitted Infection
- 119. Therapeutics, Pharmacology and Clinical Toxicology
- 120. Urology Annals
- 121. Veterinary Radiology & Ultrasound

#### **Ovid MEDLINE Search Strategy**

- 1. Observational Study/
- 2. (observational adj3 stud\$).tw.
- 3. exp Cohort Studies/
- 4. cohort\$.tw.
- 5. controlled clinical trial.pt.
- 6. Epidemiologic Methods/
- 7. exp case-control studies/
- 8. (case\$ adj3 control\$).tw.
- 9. Comparative Study/
- 10. prospective\$.tw.
- 11. retrospective\$.tw.
- 12. Cross-Sectional Studies/
- 13. prevalence/
- 14. or/1-13
- 15. ("Acta Gastroenterológica Latinoamericana" or "African Journal of Paediatric Surgery" or "Aging" or "American Journal of Kidney Diseases" or "American Journal of Preventive Medicine" or "American Journal of Respiratory and Critical Care Medicine" or "Annals of Behavioral Medicine" or "Annals of Cardiac Anaesthesia" or "Annals of Clinical Biochemistry" or "Annals of Emergency Medicine" or "Annals of Internal Medicine" or "Annals of Medicine and Surgery" or "Annals of Pediatric Cardiology"



or "Annals of Saudi Medicine" or "Annals of Surgery" or "Annals of Thoracic Medicine" or "Annals of Tropical Medicine & Public Health" or "Arab Journal of Nephrology and Transplantation" or "Archives of Public Health" or "Australian Family Physician" or "BJOG" or "BMJ Open" or "British Journal of Dermatology" or "British Medical Journal" or "Bulletin of the World Health Organization" or "Butlletí" or "Cardiovascular Therapeutics" or "Cephalagia" or "Chest" or "Chiropractic Journal of Australia" or "Clinical Orthopaedics and Related Research" or "Clinical Toxicology" or "CNS Neuroscience & Therapeutics" or "Community Dental Health" or "Community Dentistry and Oral Epidemiology" or "Croatian Medical Journal" or "Down Syndrome Research and Practice" or "Drug and Alcohol Dependence" or "Deutsches Ärzteblatt International" or "East African Journal of Public Health" or "Epidemiologic Focus" or "Epidemiology & Infection" or "European Journal of Oral Implantology" or "European Respiratory Journal" or "Fertility and Sterility" or "Gaceta Sanitaria" or "Gastrointestinal Endoscopy" or "Global Health Action" or "Gut" or "Hematology/Oncology and Stem Cell Therapy" or "Hepatitis Monthly" or "Indian Journal of Medical Sciences" or "Indian Journal of Ophthalmology" or "Indian Journal of Pathology and Microbiology" or "Indian Pediatrics" or "Injury Prevention" or "International Journal for Ayurveda Research" or "International Journal of Clinical Practice" or "International Journal of Green Pharmacy" or "International Journal of Medical Students" or "International Journal of Nursing Studies" or "International Journal of Surgery" or "JAAD" or "Journal of American Physical Therapy Association" or "Journal of Athletic Training" or "Journal of Bone and Joint Surgery" or "Journal of Cutaneous and Aesthetic Surgery" or "Journal of Cytology" or "Journal of Dental Research" or "Journal of Emergencies, Trauma and Shock" or "Journal of Global Infectious Diseases" or "Journal of Gynecological Endoscopy and Surgery" or "Journal of Human Nutrition and Dietetics" or "Journal of Investigational Allergology & Clinical Immunology" or "Journal of Ion Channels" or "Journal of Medical Ethics" or "Journal of Medical Sciences Research" or "Journal of the National Medical Association" or "Journal of Primary Health Care" or "Journal of Psychiatric and Mental Health Nursing" or "Journal of Orthopaedic & Sports Physical Therapy" or "Journal of Postgraduate Medicine" or "Journal of Shoulder and Elbow surgery" or "Journal of Thrombosis and Haemostasis" or "Journal of the Portuguese Society of Dermatology and Venereology" or "Journal of Reproductive Immunology" or "Lancet" or "Lancet Neurology" or "Lancet Oncology" or "Medical Decision Making" or "Medical Law Cases - For Doctors" or "Medical Research Support Foundation" or "Nature Clinical Practice Cardiovascular Medicine" or "Neuroepidemiology" or "Neurology" or "Obstetrics & Gynecology" or "Open Medicine " or "Pain Practice" or "Pain Physician" or "Pakistan Journal of Medical and Health Sciences" or "Pflegezeitschrift" or "Philippine Journal of Otolaryngology Head and Neck Surgery" or "Physical Therapy" or "Physiotherapy" or "PLoS Computational Biology" or "PLoS Genetics" or "PLoS ONE" or "PLoS Medicine" or "PLoS Neglected Tropical Diseases" or "PLoS Pathogens" or "pt Zeitschrift für Physiotherapeuten" or "Radiology" or "Revista de Saude Publica" or "Revista Brasileira de Cirurgia Cardiovascular" or "Revista Peruana de Epidemiología" or "São Paulo Medical Journal" or "Scandinavian Journal of Work, Environment & Health" or "Scandinavian Journal of Trauma, Resuscitation and Emergency Medicine (SJTREM)" or "Sexually Transmitted Infection" or "Therapeutics, Pharmacology and Clinical Toxicology" or "Urology Annals" or "Veterinary Radiology & Ultrasound ").jn.

- 16. 14 and 15
- 17. limit 16 to (english language and last year)



## Scimago Journal & Country Rank Top Ranked Journals in "Medicine" [Search run and downloaded July 6, 2018]

- 1. CA A Cancer Journal for Clinicians
- 2. Nature Reviews Genetics
- 3. MMWR. Recommendations and reports : Morbidity and mortality weekly report. Recommendations and reports / Centers for Disease Control
- 4. Nature Reviews Immunology
- 5. Nature Reviews Cancer
- 6. Annual Review of Immunology
- 7. Vital and health statistics. Series 10, Data from the National Health Survey
- 8. New England Journal of Medicine
- 9. Nature Medicine
- 10. Physiological Reviews
- 11. The Lancet Oncology
- 12. The Lancet
- 13. Immunity
- 14. Cancer Cell
- 15. Genome Research
- 16. Annual Review of Pathology: Mechanisms of Disease
- 17. The Lancet Neurology
- 18. Clinical Microbiology Reviews
- 19. Accounts of Chemical Research
- 20. Vital & health statistics. Series 3, Analytical and epidemiological studies / [U.S. Dept. of Health and Human Services, Public Health Service, National Center for Health Statistics]
- 21. Journal of the American College of Cardiology
- 22. MMWR. Surveillance summaries : Morbidity and mortality weekly report. Surveillance summaries / CDC
- 23. Journal of Clinical Oncology
- 24. Nature Reviews Drug Discovery
- 25. The Lancet Infectious Diseases
- 26. The Lancet Diabetes and Endocrinology
- 27. Science Translational Medicine
- 28. MMWR. Morbidity and mortality weekly report
- 29. European Heart Journal
- 30. Circulation
- 31. JAMA Journal of the American Medical Association
- 32. The Lancet Global Health
- 33. Annual Review of Genomics and Human Genetics
- 34. Journal of Experimental Medicine
- 35. European Urology
- 36. Molecular Systems Biology
- 37. JAMA Psychiatry
- 38. JAMA Internal Medicine
- 39. Journal of Extracellular Vesicles
- 40. Gastroenterology
- 41. Annual Review of Clinical Psychology
- 42. The Lancet Respiratory Medicine
- 43. Annals of the Rheumatic Diseases



- 44. Journal of Clinical Investigation
- 45. Acta Neuropathologica
- 46. Nature Reviews Disease Primers
- 47. Annals of Internal Medicine
- 48. American Journal of Human Genetics
- 49. Gut
- 50. Trends in Immunology
- 51. Nano Today
- 52. Nature Microbiology
- 53. eLife
- 54. NCHS data brief
- 55. FEMS Microbiology Reviews
- 56. EMBO Journal
- 57. World Psychiatry
- 58. Cancer Discovery
- 59. Circulation Research
- 60. Annual Review of Medicine
- 61. Diabetes Care
- 62. Nature Reviews Clinical Oncology
- 63. JACC: Heart Failure
- 64. Journal of Cell Biology
- 65. Blood
- 66. Endocrine Reviews
- 67. Cell Systems
- 68. Molecular Psychiatry
- 69. JAMA oncology
- 70. Annual Review of Public Health
- 71. The Lancet Haematology
- 72. American Journal of Respiratory and Critical Care Medicine
- 73. The Lancet HIV
- 74. PLoS Medicine
- 75. Brain
- 76. Science advances
- 77. European Journal of Heart Failure
- 78. Vital and health statistics. Series 2, Data evaluation and methods research
- 79. Progress in Retinal and Eye Research
- 80. Immunological Reviews
- 81. Annals of Neurology
- 82. Reports on Progress in Physics
- 83. Journal of Hepatology
- 84. Annals of Oncology
- 85. JAMA Cardiology
- 86. Hepatology
- 87. Nature Reviews Neurology
- 88. Journal of the National Cancer Institute
- 89. American Journal of Psychiatry
- 90. Nature Reviews Endocrinology
- 91. Human Reproduction Update



- 92. Chem
- 93. The Lancet Psychiatry
- 94. Clinical Psychology Review
- 95. Microbiome
- 96. Trends in Endocrinology and Metabolism
- 97. Leukemia
- 98. Clinical Infectious Diseases

**Ovid MEDLINE Search Strategy** 

- 1. Observational Study/
- 2. (observational adj3 stud\$).tw.
- 3. exp Cohort Studies/
- 4. cohort\$.tw.
- 5. controlled clinical trial.pt.
- 6. Epidemiologic Methods/
- 7. exp case-control studies/
- 8. (case\$ adj3 control\$).tw.
- 9. Comparative Study/
- 10. prospective\$.tw.
- 11. retrospective\$.tw.
- 12. Cross-Sectional Studies/
- 13. prevalence/
- 14. or/1-13
- 15. ("CA - A Cancer Journal for Clinicians" or "Nature Reviews Genetics" or "MMWR. Recommendations and reports : Morbidity and mortality weekly report. Recommendations and reports / Centers for Disease Control" or "Nature Reviews Immunology" or "Nature Reviews Cancer" or "Annual Review of Immunology" or "Vital and health statistics. Series 10, Data from the National Health Survey" or "New England Journal of Medicine" or "Nature Medicine" or "Physiological Reviews" or "The Lancet Oncology" or "The Lancet" or "Immunity" or "Cancer Cell" or "Genome Research" or "Annual Review of Pathology: Mechanisms of Disease" or "The Lancet Neurology" or "Clinical Microbiology Reviews" or "Accounts of Chemical Research" or "Vital & health statistics. Series 3, Analytical and epidemiological studies / [U.S. Dept. of Health and Human Services, Public Health Service, National Center for Health Statistics]" or "Journal of the American College of Cardiology" or "MMWR. Surveillance summaries : Morbidity and mortality weekly report. Surveillance summaries / CDC" or "Journal of Clinical Oncology" or "Nature Reviews Drug Discovery" or "The Lancet Infectious Diseases" or "The Lancet Diabetes and Endocrinology" or "Science Translational Medicine" or "MMWR. Morbidity and mortality weekly report" or "European Heart Journal" or "Circulation" or "JAMA - Journal of the American Medical Association" or "The Lancet Global Health" or "Annual Review of Genomics and Human Genetics" or "Journal of Experimental Medicine" or "European Urology" or "Molecular Systems Biology" or "JAMA Psychiatry" or "JAMA Internal Medicine" or "Journal of Extracellular Vesicles" or "Gastroenterology" or "Annual Review of Clinical Psychology" or "The Lancet Respiratory Medicine" or "Annals of the Rheumatic Diseases" or "Journal of Clinical Investigation" or "Acta Neuropathologica" or "Nature Reviews Disease Primers" or "Annals of Internal Medicine" or "American Journal of Human Genetics" or "Gut" or "Trends in Immunology" or "Nano Today" or "Nature Microbiology" or "eLife" or "NCHS data brief" or "FEMS Microbiology Reviews" or "EMBO Journal" or "World Psychiatry" or "Cancer Discovery" or "Circulation Research" or "Annual Review of Medicine" or "Diabetes Care" or "Nature Reviews Clinical Oncology" or "JACC: Heart



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Failure" or "Journal of Cell Biology" or "Blood" or "Endocrine Reviews" or "Cell Systems" or "Molecular Psychiatry" or "JAMA oncology" or "Annual Review of Public Health" or "The Lancet Haematology" or "American Journal of Respiratory and Critical Care Medicine" or "The Lancet HIV" or "PLoS Medicine" or "Brain" or "Science advances" or "European Journal of Heart Failure" or "Vital and health statistics. Series 2, Data evaluation and methods research" or "Progress in Retinal and Eye Research" or "Immunological Reviews" or "Annals of Neurology" or "Reports on Progress in Physics" or "Journal of Hepatology" or "Annals of Oncology" or "JAMA Cardiology" or "Hepatology" or "Nature Reviews Neurology" or "Journal of the National Cancer Institute" or "American Journal of Psychiatry" or "Nature Reviews Endocrinology" or "Human Reproduction Update" or "Chem" or "The Lancet Psychiatry" or "Clinical Psychology Review" or "Microbiome" or "Trends in Endocrinology and Metabolism" or "Leukemia" or "Clinical Infectious Diseases").jn.

- 16. limit 15 to (english language and last year)
- 17. 14 and 16



Supplemental Table 1. More Detailed Sample Demographics							
	Total	Group 1	Group 2	Group 3			
	Sample	Never Heard of,	Heard of,	Heard of,			
	_	Never Used	Never Used	Have Used			
	<i>n</i> = 1015	<i>n</i> = 195	<i>n</i> = 185	<i>n</i> = 635			
Time Spent in Research							
1-10 years	332 (32.7)	57 (29.2)	65 (35.1)	210 (33.1)			
11 - 20	362 (35.7)	61 ( <i>31.3</i> )	54 (29.2)	247 (38.9)			
21 - 30	212 (20.9)	46 (23.6)	41 (22.2)	125 (19.7)			
31 - 40	86 (8.5)	22 (11.3)	22 (11.9)	42 (6.6)			
41 +	17 (1.7)	8 (4.1)	3 (1.6)	6 (0.9)			
I do not work in research	3 (0.3)	1 (0.5)	0 (0)	2 (0.3)			
Prefer not to say	3 (0.3)	0 (0)	0 (0)	3 (0.5)			
Age							
18 - 24	7 (0.7)	3 (1.5)	3 (1.6)	1 (0.2)			
25 - 34	178 (17.5)	33 (16.9)	35 (18.9)	110 (17.3)			
35 - 44	336 (33.1)	46 (23.6)	48 (25.9)	242 (38.1)			
45 - 54	253 (24.9)	55 (28.2)	35 (18.9)	163 (25.7)			
55 - 64	177 (17.4)	37 (19.0)	52 (28.1)	88 (13.9)			
65 - 74	54 (5.3)	17 (8.7)	12 (6.5)	25 (3.9)			
75 or older	4 (0.4)	4 (2.1)	0 (0)	0 (0)			
Prefer not to say	6 (0.6)	0 (0)	0 (0)	6 (0.9)			
Gender							
Woman	469 (46.1)	97 (49.7)	82 (44.3)	289 (45.5)			
Man	525 (51.6)	94 (48.2)	101 (54.6)	329 (51.8)			
Trans	3 (0.3)	0 (0)	0 (0)	3 (0.5)			
Prefer not to say	20 (2.0)	4 (2.1)	2(1.1)	14 (2.2)			
Region							
Africa	22 (2.2)	5 (2.6)	2 (1.1)	15 (2.4)			
Asiatic region	31 (3.1)	7 (3.6)	4 (2.2)	20 (3.1)			
Eastern Europe	33 (3.3)	12 (6.2)	5 (2.7)	16 (2.5)			
Latin America	54 (5.3)	14 (7.2)	10 (5.4)	30 (4.7)			
Middle East	26 (2.6)	11 (5.6)	6 (3.2)	9 (1.4)			
Northern America	283 (27.9)	58 (29.7)	57 (30.8)	168 (26.5)			
Pacific Region	54 (5.3)	4 (2.1)	10 (5.4)	40 (6.3)			
Western Europe	465 (45.8)	69 (35.4)	83 (44.9)	313 (49.3)			
Not reported	47 (4.6)	15 (7.7)	8 (4.3)	24 (3.8)			



Supplemental Table 2. Intercorrelations Between Subscales (Subgroup 1: Have Not Heard of, Not Used)								
	Unified Theory of	Unified Theory of Acceptance and Use of Technology (UTAUT) Subscales						
	Effort Performance Social Facili							
	Expectancy Expectancy Influence Condition							
Effort Expectancy	1.00							
Performance Expectancy	Performance Expectancy .577 1.00							
Social Influence .444 .652 1.00								
Facilitating Conditions.892.552.4761.00								

Supplemental Table 3. Intercorrelations Between Subscales (Subgroup 2: Heard of, Have Not Used)								
	Unified Theory of	Unified Theory of Acceptance and Use of Technology (UTAUT) Subscales						
	Effort	Facilitating						
	Expectancy Expectancy Influence Con							
Effort Expectancy								
Performance Expectancy	Performance Expectancy .615 1.00							
Social Influence .400 .561 1.00								
Facilitating Conditions.828.749.4111.00								

Supplemental Table 4. Intercorrelations Between Subscales (Subgroup 3: Heard of, Have Used)									
	Unified Theory of	Unified Theory of Acceptance and Use of Technology (UTAUT) Subscales							
	Effort Performance Social Facilit								
Expectancy Expectancy Influence Cond									
Effort Expectancy	1.00								
Performance Expectancy	Performance Expectancy .549 1.00								
Social Influence	ocial Influence .355 .497 1.00								
Facilitating Conditions.822.693.4951.00									



		All	Group 1	Group 2	Group 3
			Never Heard of,	Heard of,	Heard of,
		n = 1015	Never Used	Never Used	Have Used
			<i>n</i> = 195	<i>n</i> = 185	<i>n</i> = 635
Items		$\overline{x} \pm SD$	$\overline{x} \pm SD$	$\overline{x} \pm SD$	$\overline{x} \pm SD$
Perfo	rmance Expectancy (PE)				
PE1	STROBE will be/is useful in my job	$5.35 \pm 1.28$	$5.21 \pm 1.26$	$4.53 \pm 1.23$	$5.64 \pm 1.18$
PE2	Using STROBE will enable/enables me to write papers more quickly	$4.35 \pm 1.40$	$4.63 \pm 1.33$	$3.81 \pm 1.13$	$4.43 \pm 1.45$
PE3	STROBE will increase/increases my productivity	$4.10 \pm 1.29$	$4.37 \pm 1.26$	$3.75 \pm 1.04$	$4.11 \pm 1.34$
PE4	If I use STROBE, I (will) increase my chances of getting published	$5.10 \pm 1.21$	$4.97 \pm 1.11$	$4.53 \pm 1.18$	$5.30 \pm 1.20$
PE5	If I use STROBE, I will get a more positive peer review of my paper	$4.97 \pm 1.19$	$4.89 \pm 1.13$	$4.57 \pm 1.14$	$5.12 \pm 1.19$
PE6	Using STROBE will make/makes it easier for me to write papers	$4.66 \pm 1.30$	$4.74 \pm 1.21$	$4.05 \pm 1.14$	$4.81 \pm 1.32$
PE7	Using STROBE will improve/improves the quality of my manuscripts	$5.35 \pm 1.22$	$5.10 \pm 1.21$	$4.86 \pm 1.18$	$5.58 \pm 1.18$
PE8	Using STROBE will make/makes my manuscript writing more efficient	$4.71 \pm 1.30$	$4.82 \pm 1.25$	$4.31 \pm 1.19$	$4.79 \pm 1.32$
PE9	Using STROBE increases the quality of my output for the same amount of effort	$4.55 \pm 1.29$	$4.65 \pm 1.24$	$4.18 \pm 1.16$	$4.63 \pm 1.33$
	Subscale Score	$4.79 \pm 1.02$	$4.82 \pm 1.04$	$4.29\pm0.93$	$4.93 \pm 1.00$
Effort	Expectancy (EE)				
EE1	I think STROBE will be/is easy to use	$4.92 \pm 1.25$	$4.80 \pm 1.07$	$4.06\pm0.99$	$5.21 \pm 1.24$
EE2	I think STROBE's content is clear and understandable	$5.25 \pm 1.14$	$5.18 \pm 1.08$	$4.42 \pm 1.09$	$5.50 \pm 1.06$
EE3	I think that it will be/is easy for me to become skillful at using STROBE	$5.14 \pm 1.12$	$4.98 \pm 1.09$	$4.61 \pm 1.08$	$5.35 \pm 1.08$
EE4	Using STROBE will take/takes too much time compared to my normal writing process*	$4.44 \pm 1.40$	$4.20\pm1.24$	$3.78 \pm 1.14$	$4.70 \pm 1.44$
EE5	STROBE is so complicated, it will be/is difficult to understand what to do*	$5.19 \pm 1.28$	$4.93 \pm 1.18$	$4.37 \pm 1.17$	$5.51 \pm 1.22$
EE6	Will take/takes too long to learn how to properly use STROBE to make it worth the effort*	$5.03 \pm 1.36$	$4.71 \pm 1.21$	$4.14 \pm 1.13$	$5.39 \pm 1.32$
	Subscale Score	$5.00 \pm 1.03$	$4.80\pm0.90$	$4.23\pm0.85$	$5.28\pm0.99$
Social	l Influence (SI)				
SI1	My peers will think/think that I should use STROBE	$4.29 \pm 1.36$	$4.02\pm1.18$	$3.46 \pm 1.37$	$4.61 \pm 1.29$
SI2	My superiors will think/think that I should use it	$4.29 \pm 1.46$	$4.69 \pm 1.29$	$3.31 \pm 1.39$	$4.45 \pm 1.41$
SI3	The research climate is helpful in promoting the use of reporting guidelines like STROBE	$4.70 \pm 1.41$	$3.87 \pm 1.33$	$4.16 \pm 1.41$	$5.11 \pm 1.27$
SI4	In general, I think that journals will support/support the use of STROBE	$5.30 \pm 1.17$	$4.99 \pm 1.06$	$4.53 \pm 1.23$	$5.63 \pm 1.04$
SI5	I will use STROBE because a lot of scientists in my field are using it	$4.24 \pm 1.37$	$3.91 \pm 1.23$	$3.77 \pm 1.27$	$4.48 \pm 1.39$
	Subscale Score	$4.56 \pm 1.05$	$4.29\pm0.94$	$3.85 \pm 1.02$	$4.86\pm0.97$
Facili	tating Conditions (FC)				
FC1	I have the knowledge necessary to use STROBE	$5.32 \pm 1.42$	$4.52 \pm 1.55$	$4.21 \pm 1.64$	$5.89 \pm 0.92$
FC2	STROBE is compatible with my current workflow	$5.13 \pm 1.28$	$4.73 \pm 1.30$	$4.36 \pm 1.26$	$5.47 \pm 1.14$
FC3	Given the format of STROBE, it will be/is easy to use	$5.32 \pm 1.42$	$4.83 \pm 1.16$	$4.30\pm1.06$	$5.36 \pm 1.13$
FC4	Using STROBE fits well with the way I like to work	$4.93 \pm 1.27$	$4.78 \pm 1.19$	$4.28 \pm 1.19$	$5.16 \pm 1.25$
	Subscale Score	$5.17 \pm 1.16$	$4.72 \pm 1.10$	$4.29 \pm 1.05$	$5.47\pm0.92$
Behav	vioral Intention				
BI1	I intend to use STROBE when writing my next manuscript (on an observational study)	$5.13 \pm 1.46$	$4.66 \pm 1.37$	$4.25 \pm 1.52$	$5.54 \pm 1.32$
Itoms	are second 1 to 7 where 1 is strongly disgeneral 7 is strongly general and $\overline{4}$ is neutral		*Douor	in a adad for factor	r analyses

Items are scored I to 7 where I is strongly disagree, 7 is strongly agree, and 4 is neutral.

\*Reverse coded for factor analyses



#### Supplemental Figure 1. Likert Scale Summaries

		Ite	em scores (M	±95%CI)	<ul> <li>Group</li> <li>Group</li> <li>Group</li> </ul>	<ul> <li>1 - Never Heard of,</li> <li>2 - Heard of, Never</li> <li>3 - Heard of, Have L</li> </ul>	Never Used (N=195) Jsed (N=185) Jsed (N=635)
		1	2	3	4	5	6 7
	STROBE will be/is useful in my job	     			⊢€⊣	¦⊢o−i I •••	
	Using STROBE will enable/enables me to write papers more quickly			⊢ <b>●</b>			
	STROBE will increase/increases my productivity			⊢●⊣	⊢0   ⊕		
	If I use STROBE, I (will) increase my chances of getting published					}	
Performance Expectancy	If I use STROBE, I will get a more positive peer review of my paper				HO-HO-	 	
	Using STROBE will make/makes it easier for me to write papers	+		+			
	Using STROBE will improve/improves the quality of my manuscripts				⊢● <sup>⊢</sup>		
	Using STROBE will make/makes my manuscript writing more efficient					1	
	Using STROBE increases the quality of my output for the same amount of effort						
6	I think STROBE will be/is easy to use			ŀ		H <b>-</b> H	
	I think STROBE's content is clear and understandable				H <b>0</b> -1		
Effort	I think that it will be/is easy for me to become skillful at using STROBE						
Expectancy	Using STROBE will take/takes too much time compared to my normal writing process*			⊢●⊣			
	STROBE is so complicated, it will be/is difficult to understand what to do*						
	Will take/takes too long to learn how to properly use STROBE to make it					нен	
-	My peers will think/think that I should use STROBE						
	My superiors will think/think that I should use it			⊢∙	HOH H		
Social Influence	The research climate is helpful in promoting the use of reporting guidelines like STROBE			⊢0-	+   -   •	⊦●-I	
	In general, I think that journals will support/support the use of STROBE					ç—i ¦ ⊫+	
	I will use STROBE because a lot of scientists in my field are using it				+		
12	I have the knowledge necessary to use STROBE					H	
Facilitating	STROBE is compatible with my current workflow					н <del>о</del> н	
Conditions	Given the format of STROBE, it will be/is easy to use						
	Using STROBE fits well with the way I like to work					H <b>e</b> H	
Behavioral Intention	I intend to use STROBE when writing my next manuscript (on an observational study)	0				н	



 Image: Constraint of the system
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Modol	Crown (N)	2	Jf	DMCEA (000/ CI)a	CDMDb	TT Tc.d	CEIC.d	ATC
Model	Group (N)	χ-	aı	<b>RMSEA</b> (90% CI) <sup>*</sup>	SKMK		CFI	AIC
4-Factor Model	Overall (1015)							
	1 (195)	776.900	266	.109 (.100, .118)	.087	.818	.838	12593.900
	2 (185)	730.552	266	.108 (.099, .117)	.085	.797	.802	12305.731
	3 (635)	1582.699	266	.102 (.097, .107)	<b>.077</b> b	.813	.834	42959.805
4-Factor Model, No FC3	Overall (1015)	2940.709	729	.106 (.102, .110)	.082	.808	.831	65783.337
	1 (195)	752.951	243	.114 (.105, .123)	.088	.804	.828	12237.318
	2 (185)	662.690	243	.107 (.098, .117)	.086	.800	.807	11939.113
	3 (635)	1483.440	243	.103 (.098, .108)	<b>.078</b> <sup>b</sup>	.811	.834	41606.906
4 Factor Model,								
No FC3,	Overall (1015)	1931.539	717	.078 (.074, .082)	.072 <sup>b</sup>	.895	<b>.909</b> <sup>d</sup>	64488.934
Method Effects								
	1 (195)	489.527	239	.079 (.069, .089)	<b>.077</b> <sup>b</sup>	<b>.904</b> <sup>d</sup>	<b>.917</b> <sup>d</sup>	11915.867
	2 (185)	496.303	239	.084 (.074, .095)	.075 <sup>ь</sup>	.877	.894	11734.401
	3 (635)	927.172	239	.076 (.071, .081)	<b>.070</b> b	.897	<b>.911</b> <sup>d</sup>	40838.666
3 Factor Model,								
No FC3,	Overall (1015)	2151.713	729	.084 (.080, .088)	<b>.076</b> <sup>b</sup>	.879	.893	64745.988
Method Effects								
	1 (195)	513.034	243	.082 (.072, .092)	.078 <sup>b</sup>	.899	<b>.911</b> <sup>d</sup>	11934.217
	2 (185)	535.677	243	.089 (.079, .099)	.078 <sup>b</sup>	.863	.879	11773.649
	3 (635)	1186.406	245	.089 (.084, .094)	<b>.078</b> <sup>b</sup>	.860	.876	41187.975
3-Factor Model, No FC3	Overall (1015)	3185.510	741	.111 (.107, .115)	.087	.790	.812	66073.173
	1 (195)	779.617	247	.115 (.106, .124)	.089	.800	.821	12256.876
	2 (185)	717.789	247	.113 (.103, .122)	.090	.780	.803	11997.739
	3 (635)	1631.759	247	.109 (.104, .114)	.085	.790	.812	41818.557

Supplemental Table 6. Comparisons of Model Fit

χ2: Chi-squared; df: degree of freedom; RMSEA: Root Mean Square Error of Approximation with 90% Confidence Intervals; SRMR: Square Root Mean Residual; TLI: Tucker-Lewis Index; CFI: Comparative Fit Index; AIC: Akaike Information Criterion

a) Within the range ( $\leq 0.06$ ) indicating a good fit between the model and the data

b) Within the range ( $\leq .08$ ) indicating a good fit between the model and the data

c) Within the range ( $\geq$  .95) indicating a good fit between the model and the data

d) Within the range ( $.90 \le x \le .95$ ) indicating an acceptable fit between the model and the data

\*Method Effects addresses the reverse-coded items EE4: EE5: and EE6 and the high covariance between PE4: PE5



#### **Supplemental Table 6 description**

Upon comparison of items, FC3 was dropped from the analysis as it was redundant with the phrasing of EE1. When item FC3 was removed, the overall model converged (Supplemental File 2, Table 6). The covariance between the EE and FC domains also was reduced to .826. Despite this improved covariance by dropping FC3, the intercorrelation was still above .80 which may imply poor discriminant validity and suggest that a more parsimonious model could be obtained (32). Therefore, we decided to see if a three-factor solution would address this further and better fit our data. It did not (Table 4).

Lastly, our attention was brought to the two pairs of items (EE4:EE5 and PE4:PE5) with highly correlated errors. Significant shared variance between items suggests that they covary for reasons other than the shared influence of the latent factor. EE4 and EE5 were both reverse-coded items. Item EE6 was also reverse-coded therefore, method effects [199] needed to account for all-or-none of these relationships [200]. Upon further investigation, EE6 was also highly ranked in terms of large modification indices across subgroups. As the shared error variance between these items was conceptually consistent with domains assessed, our final model was re-specified to free these correlated errors, resulting in the best model fit. (Table 4) To be completely thorough, we combined this paired item approach with the 3-factor approach.



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### Additional File 5. Recruitment Materials

#### EMAIL FOR JOURNAL EDITORS

Dear XX,

I am a Marie Curie doctoral research fellow working on a project about the Strengthening the Reporting of Observational Research (STROBE) reporting guidelines for observational studies. My work within the Methods in Research on Research (<u>MiRoR</u>) network aims to create an educational intervention for teaching research methods and writing. To lay the groundwork for the intervention, I want to first understand researcher's interactions with STROBE.

The goal of this study is to assess author's experiences with and attitudes towards STROBE. As the editorial contact for JOURNAL NAME, <u>I am writing you in hopes that you might extend an invitation to your authors to participate in a brief survey about their experiences with STROBE</u>. A drafted invitation letter is attached for your use should you choose to extend the invitation. The link below will direct you to the online survey for authors.

https://www.surveymonkey.com/r/ObsStudies

This project has received ethical approval from the University of Split. All information provided within the survey is confidential and data will only be presented in the aggregate.

Thank you for your time. Your contribution is greatly appreciated. Please let me know if you have any questions or concerns.

Best, Melissa Sharp, MPH Marie Curie Research Fellow University of Split, *Department of Psychology* Université Paris Descartes, Sorbonne Paris Cité, *School of Public Health (ED 393)* 



#### FOLLOWUP EMAIL FOR JOURNAL EDITORS

Dear xx,

I hope this email finds you well. I obtained your editorial contact information as part of previous study looking at author guidelines in relation to reporting guidelines. I am looking for authors of observational studies to take an online survey and your journal was identified as one that publishes such research designs. I have included text below that provides more details about the project as well as a link to the survey itself.

It would be greatly appreciated if you would consider extending an invitation to your authors via email. Alternately or additionally, if you or your journal has a Twitter account, you could retweet and share the survey information found here: https://twitter.com/sharpmelk/status/996017380353552385

Please let me know if you have any questions or thoughts about this request.

Best, Melissa

The message that could be circulated is as follows: \*\*\* Dear readers,

We invite you to participate in a study about the Strengthening the Reporting of Observational Research (STROBE) reporting guidelines for observational studies. This study is being led by a doctoral student at the University of Split and Université Paris Descartes, Sorbonne Paris Cité as a part of the European Union-funded Methods in Research on Research (MiRoR) network. This link will direct you to the survey: https://www.surveymonkey.com/r/ObsStudies

The goal of this study is to assess author's experiences with and attitudes towards the STROBE Statement (<u>https://www.strobe-statement.org/</u>). You can participate in this study if you currently work on or within the past 10 years have worked on manuscripts reporting the results of observational studies. You do not need to know anything about the STROBE Statement to participate. Participation includes completing an online survey that will take approximately 10 minutes. Survey questions will be about your interactions with STROBE, your understanding of its use, and your perceptions towards the Statement.

Please feel free to disseminate this message and/or the survey through your networks. Thank you for your time. Your contribution is greatly appreciated.

Best Regards, xx On behalf of Melissa Sharp, MPH; Marie Curie Doctoral Research Fellow University of Split | Université Paris Descartes, Sorbonne Paris Cité




## msharp@unist.hr | melissa.sharp@etu-paris-descartes.fr | melissaksharp@gmail.com EMAIL FOR INDIVIDUAL AUTHORS Dear xx,

We invite you to participate in a study about the Strengthening the Reporting of Observational Research (STROBE) reporting guidelines for observational studies. This study is being led by a doctoral student at the University of Split and Université Paris Descartes, Sorbonne Paris Cité as a part of the European Union-funded Methods in Research on Research (<u>MiRoR</u>) network.

This link will direct you to the survey: https://www.surveymonkey.com/r/ObsStudies

The goal of this study is to assess author's experiences with and attitudes towards the STrenghtening the Reporting of OBservational Studies in Epidemiology (STROBE) Statement (https://www.strobe-statement.org/), a reporting guideline for cohort, case-control and cross-sectional studies. You can participate in this study if, you currently work on or within the past 10 years have worked on manuscripts reporting the results of observational studies (e.g., cohort, case-control, cross-sectional). You do not need to know anything about the STROBE Statement to participate.

Your participation includes completing an online survey that will take approximately 10 minutes. Survey questions will be about your interactions with STROBE, your understanding of its use, and your perceptions towards the Statement.

This project has received ethical approval from the University of Split. All information provided within the survey is confidential and data will only be presented in the aggregate.

Please feel free to disseminate this message and/or the survey through your networks. Thank you for your time. Your contribution is greatly appreciated.

Best Regards,

On behalf of Melissa Sharp, MPH; *Marie Curie Doctoral Research Fellow* University of Split Université Paris Descartes, Sorbonne Paris Cité, <u>msharp@unist.hr | melissa.sharp@etu-paris-descartes.fr | melissaksharp@gmail.com</u>



## EMAIL FOR INDIVIDUAL AUTHORS (SHORTENED)

Dear xx,

I am a Marie Curie Research doctoral fellow working on a project about the Strengthening the Reporting of Observational Research (STROBE) reporting guidelines for observational studies. My work within the Methods in Research on Research (<u>MiRoR</u>) network aims to create an educational intervention for teaching research methods and writing. To lay the groundwork for the intervention, I want to first understand researcher's interactions with STROBE.

The goal of this study is to assess author's experiences with and attitudes towards STROBE. I am writing you to invite you to participate in a brief survey about your experiences with STROBE. You do not need to know anything about the STROBE Statement to participate. This link will direct you to the survey: <u>https://www.surveymonkey.com/r/ObsStudies</u>

This project has received ethical approval from the University of Split. All information provided within the survey is confidential and data will only be presented in the aggregate.

Thank you for your time. Your contribution is greatly appreciated. Please let me know if you have any questions or concerns.

Best, Melissa Sharp, MPH Marie Curie Research Fellow University of Split, *Department of Psychology* Université Paris Descartes, Sorbonne Paris Cité, *School of Public Health (ED 393)* 



## EMAIL FOR INDIVIDUAL AUTHORS

## Dear Dr. XX,

I hope this email finds you well. I am looking for authors of observational studies to take an online survey and believe that you *may* be eligible to participate. I have included text below that provides more details about the project as well as a link to the survey itself.

It would be greatly appreciated if you would take the survey

(https://www.surveymonkey.com/r/ObsStudies) and/or extend an invitation to others via email (drafted text below). Average completion time is 6 minutes. Alternately or additionally, if you have a Twitter account, you could retweet/share the survey information found here: https://twitter.com/sharpmelk/status/996017380353552385

Please let me know if you have any questions or thoughts about this request.

--

Best,

Melissa Sharp, MPH

Marie Curie Research Fellow

University of Split, Department of Psychology

Université Paris Descartes, Sorbonne Paris Cité

INSERM, U1153 Epidemiology and Biostatistics Sorbonne Paris Cité Research Center (CRESS), Methods of therapeutic evaluation of chronic diseases Team (METHODS)

## The message that could be circulated is as follows:

Dear xx,

I invite you to participate in a study about the Strengthening the Reporting of Observational Research in Epidemiology (STROBE) reporting guidelines for observational studies. This study is being led by a doctoral student at the University of Split and Université Paris Descartes, Sorbonne Paris Cité as a part of the European Union-funded Methods in Research on Research (MiRoR) network. This link will direct you to the survey: <u>https://www.surveymonkey.com/r/ObsStudies</u>

The goal of this study is to assess author's experiences with and attitudes towards the STROBE Statement (<u>https://www.strobe-statement.org/</u>). You can participate in this study if you currently work on or within the past 10 years have worked on manuscripts reporting the results of observational studies. You do not need to know anything about the STROBE Statement to participate. Participation includes completing an online survey that will take less than 10 minutes.

Please feel free to disseminate this message and/or the survey through your networks. Thank you for your time. Your contribution is greatly appreciated.

Best Regards,

## XX

On behalf of Melissa Sharp, MPH; Marie Curie Doctoral Research Fellow University of Split | Université Paris Descartes, Sorbonne Paris Cité



## FOLLOWUP EMAIL FOR INDIVIDUAL AUTHORS

Dear xx,

Apologies if you saw my previous email and are one of the nearly 1000 people that took the online survey. (Thank you!) Please feel free to let me know or ignore this message.

If not...I am looking for authors of observational studies to take an online survey and believe that you *may* be eligible to participate. I have included text below that provides more details about the project as well as a link to the survey itself.

It would be greatly appreciated if you would take the survey

(https://www.surveymonkey.com/r/ObsStudies) and/or extend an invitation to others via email (drafted text below). Average completion time is 6 minutes. Alternately or additionally, if you have a Twitter account, you could retweet/share the survey information found here: https://twitter.com/sharpmelk/status/996017380353552385

Please let me know if you have any questions or thoughts about this request.

--

Best,

Melissa Sharp, MPH

*Marie Curie Research Fellow* **University of Split**, Department of Psychology

Université Paris Descartes, Sorbonne Paris Cité

INSERM, U1153 Epidemiology and Biostatistics Sorbonne Paris Cité Research Center (CRESS), Methods of therapeutic evaluation of chronic diseases Team (METHODS)

## The message that could be circulated is as follows:

Dear xx,

I invite you to participate in a study about the Strengthening the Reporting of Observational Research in Epidemiology (STROBE) reporting guidelines for observational studies. This study is being led by a doctoral student at the University of Split and Université Paris Descartes, Sorbonne Paris Cité as a part of the European Union-funded Methods in Research on Research (<u>MiRoR</u>) network. This link will direct you to the survey: <u>https://www.surveymonkey.com/r/ObsStudies</u>

The goal of this study is to assess author's experiences with and attitudes towards the STROBE Statement (<u>https://www.strobe-statement.org/</u>). You can participate in this study if you currently work on or within the past 10 years have worked on manuscripts reporting the results of observational studies. You do not need to know anything about the STROBE Statement to participate. Participation includes completing an online survey that will take less than 10 minutes.

Please feel free to disseminate this message and/or the survey through your networks. Thank you for your time. Your contribution is greatly appreciated.

Best Regards,

XX

On behalf of Melissa Sharp, MPH; Marie Curie Doctoral Research Fellow University of Split | Université Paris Descartes, Sorbonne Paris Cité



# **BMJ Open** Usefulness of applying research reporting guidelines as Writing Aid software: a crossover randomised controlled trial

Dana Hawwash (),<sup>1</sup> Melissa K Sharp (),<sup>2,3</sup> Alemayehu Argaw,<sup>4</sup> Patrick Kolsteren,<sup>1</sup> Carl Lachat<sup>1</sup>

#### ABSTRACT

**Objectives** To assess the intention of using a Writing Aid software, which integrates four research reporting guidelines (Consolidated Standards of Reporting Trials, Preferred Reporting Items for Systematic Reviews and Meta-Analyses, Strengtheningthe Reporting of Observational Studies in Epidemiology and STrengtheningthe Reporting of Observational Studies in Epidemiology-nutritional epidemiology) and their Elaboration & Explanation (E&E) documents during the write-up of research in Microsoft Word compared with current practices.

**Design** Two-arms crossover randomised controlled trial with no blinding and no washout period.

Setting Face-to-face or online sessions.

**Participants** 54 (28 in arm 1 and 26 in arm 2) doctoral and postdoctoral researchers.

**Interventions** Reporting guidelines and their E&E document were randomly administered as Writing Aid or as Word documents in a single 30 min to 1 hour session, with a short break before crossing over to the other study intervention.

**Primary and secondary outcomes** Using the Technology Acceptance Model, we assessed the primary outcome: the difference in the mean of intention of use; and secondary outcomes: the difference in mean perceived ease of use and perceived usefulness. The three outcomes were measured using questions with a 7-point Likert-scale. Secondary analysis using structural equation modelling (SEM) was applied to explore the relationships between the outcomes.

**Results** No significant difference in reported intention of use (mean difference and 95% Cl 0.25 (-0.05 to 0.55), p=0.10), and perceived usefulness (mean difference and 95% Cl 0.19 (-0.04 to 0.41), p=0.10). The Writing Aid performed significantly better than the word document on researchers' perceived ease of use (mean difference and 95% Cl 0.59 (0.29 to 0.89), p<0.001). In the SEM analysis, participants' intention of using the tools was indirectly affected by perceived ease of use (beta 0.53 p=0.002). **Conclusions** Despite no significant difference in the intention of use between the tools, administering reporting guidelines as Writing Aid is perceived as easier to use, offering a possibility to further explore its applicability to enhance reporting adherence.

## Strengths and limitations of this study

- We developed and tested a novel offline Writing Aid, for authors to use reporting guidelines while writing research findings, using a validated measure for the intention of use.
- This is the first study to test the application of reporting guidelines in a real-life setting with a diverse group of participants, including researchers from low/middle-income countries, reporting results from a variety of study designs.
- The Writing Aid software was a prototype and improvements are required to resolve technical errors.
- The subjective nature of outcomes, short exposure to the intervention and the no washout period between the applications of both tools are limitations.
- The study did not assess completeness of reporting and further assessment is necessary in this regard.

#### **INTRODUCTION**

Incomplete reporting of study results in biomedical research is considered unethical and a waste of (often public) resources.<sup>1</sup> A way to increase the usefulness of research is to ensure that all essential information is included in a research manuscript.

Over the last decades, reporting guidelines have been developed and used to increase the completeness and transparency of research findings. A reporting guideline is commonly organised as a checklist of essential items that should be addressed when reporting research manuscripts, in combination with a flow diagram that specifies the items to be reported during the write up of the study.<sup>2</sup> Reporting guideline's Elaboration & Explanation (E&E) documents provide additional explanation and examples of the recommendations.<sup>2</sup>

The publication of the Consolidated Standards of Reporting Trials 'CONSORT' in 1996,<sup>3</sup> was followed by a steady increase in

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Correspondence to Dr Carl Lachat; carl.lachat@ugent.be reporting guidelines development for different types of study designs including the Preferred Reporting Items for Systematic Reviews and Meta-Analyses 'PRISMA' Statement<sup>4</sup> and the Strengthening the Reporting of Observational Studies in Epidemiology 'STROBE' Statement.<sup>5</sup> Extensions of reporting guidelines have also been developed for specific fields, such as the STrengthening the Reporting of Observational Studies in Epidemiology-nutritional epidemiology (STROBE-nut), which aims to improve the completeness of reporting for nutrition research.<sup>6</sup> Currently, there are >400 reporting guidelines indexed by the EQUATOR Network, an international organisation that promotes the use of reporting guidelines.<sup>7</sup>

However, present use of reporting guidelines requires consideration. Reporting guidelines are typically applied at the final stages of the writing process to address journal requirements.<sup>8</sup> As a result, reporting guidelines might be considered as an administrative burden rather than a tool to improve research quality. Moreover, research on the usefulness of reporting guidelines from the points of view of the authors is scarce. Previous studies have focused on the completeness of reporting as an outcome measure, which is tailored differently to each reporting guideline, <sup>9 10</sup> rather than their usefulness. Perceived intention of use can give an indication of researchers' willingness to adhere to reporting guidelines.

Long-term adherence to reporting guidelines will depend on how well they are integrated into day-to-day practices and workflows of researchers during the writing process.<sup>11</sup> In a survey conducted in 2012, among systematic review authors to test a PRISMA extension, authors recommended the integration of the reporting guideline elements into a systematic review software.<sup>12</sup> There have been initiatives attempting to develop new tools and test their impact on reporting guideline adherence. For example, the online COBWEB tool<sup>913</sup> guides authors on how to apply the CONSORT reporting guideline to their manuscript and Penelope, an automated online tool, generates automatic checks of manuscripts written in MS Word. Penelope is currently being integrated and tested in an online journal submission application.<sup>14–16</sup> A recent study also developed a writing tool, and a template with the minimum amount of information to report regarding data handling of biomarkers in metabolomics.<sup>17</sup> Nevertheless, none of these efforts focuses on the uptake of several reporting guidelines during the writing process, using common offline writing platforms such as Microsoft Word.

In recognition of these issues, we developed a Writing Aid tool that integrates the reporting guidelines and their E&E documents, in the form of an Add-in for Microsoft (MS) Word (V.1.0, Automaticals Consulting),<sup>18</sup> and assessed participants' intention to use it during the writing process, using the Technology Acceptance Model (TAM). The TAM model has been validated and applied previously to test software in similar settings. It has been used in office environment operations (eg, text

editor, voicemail), software application development (eg, software maintenance tool) and core business process software (eg, production control tools).<sup>19</sup> The overall objective was to investigate researchers' intention of using the reporting guidelines as a Writing Aid in Word versus the traditional approach of a Word document and the E&E document. Secondary objectives included perceived usefulness and perceived ease of use. We also assessed how perceived usefulness and perceived ease of use were associated with the intention of use. The questionnaires contained questions with a 7-point Likert-scale response ranging from extremely unlikely ('1') to extremely likely ('7') (online supplementary appendix 1). The intention of use outcome was constructed from two questions:

Q1—Assuming I have access to the reporting guidelines documents (as a MS Word table and elaboration and explanation document/Writing Aid), I intend to use it.

Q2—Given access to the reporting guidelines documents (as a MS Word table and elaboration and explanation document/Writing Aid), I predict that I would use it.

The perceived usefulness outcome was constructed from four questions. Participants were asked to rate the reporting guideline usefulness (using the Writing Aid and Word Document) based on the tool's ability to improve completeness of reporting, increase productivity, enhance effectiveness and usefulness. The perceived ease of use outcome was also constructed from four questions. Participants were asked to rate the ease of using the reporting guidelines (using the Writing Aid and Word Document) based on how flexible, easy to use, easy to provide guidance, clear and understandable it was to interact with.

The study was conceived by authors of STROBE-nut, as an approach to improve its uptake. However, during the study set up, and the software development, it became clear that the intention of use and the software developed are relevant for other reporting guidelines. As a proofof-concept study, CONSORT, PRISMA and STROBE were included to test the wider application within other research designs and fields.

#### **METHODOLOGY**

#### Study design and participants

We performed a randomised controlled crossover trial comparing two ways (tools) of administering research reporting guidelines and their E&E documents; that is, using the traditional Word checklists and documentation (Control: Word Document) versus using the Writing Aid software V.1.0 (intervention: Writing Aid).

Due to the crossover nature of the study design, each participant tested both tools in one of the two alternative sequences representing the two study arms. Arm 1 participants received the Writing Aid first followed by the Word Document and arm 2 participants received the Word Document first followed by Writing Aid. Participants were assigned to one of the two study arms randomly. For this purpose, at the start of the study, the lead investigator (DH) generated a randomisation list using Microsoft Excel, where numbers from 1 to 100 were randomised into either arm 1 or arm 2. Then, each randomisation number with its corresponding arm were written on a piece of paper, folded and put into a box to be picked by participants on the study day. There was no washout period between the testing of the two tools. Neither the study participants nor the researchers were blinded to the sequence of the intervention allocation, or assessment of outcomes. No formal sample size calculation was conducted and we aimed to collect as many responses as possible. This study was reported using the CONSORT recommendations<sup>20</sup> (see online supplementary appendix 2).

Purposive sampling and snowballing was used to recruit participants from May until the end of October 2018. Eligible subjects were doctoral and postdoctoral researchers who were writing or had recently published a paper in any biomedical research field in the previous 6 months. Personalised email invitations were disseminated to potentially eligible students at Ghent University, the University of Split, the Methods in Research on Research 'MiRoR' network, and at conferences (Federation of European Nutrition Societies, Belgrade 2018, Tropentag Gent 2018, The Cochrane Colloquium 2018). Twitter and posters were also used to circulate the invitation to a wider audience.<sup>21</sup>

## Tools

#### Writing Aid

The Writing Aid software was developed as a Microsoft Word Add-in in Visual Basic and it works offline on all versions of Microsoft Office, operating on a Windows system (Writing Aid software V.1.0, Automaticals Consulting).<sup>18</sup> For each checklist, the tool generates a specific checklist table, dropdown menu options containing the reporting requirements, and an information box that contains the text of the E&E manuscript for each checklist. The tool has the following functionalities:

- 1. Users can select a checklist applicable to their manuscript. Once selected, a reporting table is automatically added at the end of the manuscript.
- 2. Authors can annotate manuscript text (right mouse click) and tag it to the corresponding item of the checklist.
- 3. The annotation is visually displayed in the margins (similar to the Comments function in Word document) with the tagged text automatically copied into the reporting table at the end of the paper. When annotated text is edited, it is also updated in the table.
- 4. After completing the annotation process, users have the option to fill in the remaining blank items in the reporting table and provide additional explanations why certain items are not reported.

The flowcharts of PRIMSA and CONSORT were not included. The decision was made as the study mainly focused on the writing process. Although flowcharts provide crucial information for the manuscript, they are not typically part of the narrative sections. Moreover, their inclusion requires further sophistication of software programming, which time and resources did not allow. The user manual can be found on GitHub<sup>18</sup> and in online supplementary appendix 3.

#### Traditional tool-Word document

For the control (Word Document) tool, we used the relevant checklists of reporting guidelines and their E&E document which were downloaded from the relevant websites.<sup>22-25</sup>

#### **Study procedures**

The study was administered in the computer labs of Ghent University under the supervision of the lead investigator (DH). In the protocol, it was planned to conduct all sessions face-to-face. However, to recruit as many researchers as possible we used video calls through Skype for those residing outside Ghent. On the testing day, participants drew a randomisation code. When the study was done remotely using Skype video call, the lead investigator (DH) picked the piece of paper containing the code.

Participants could select whichever paper, with a relevant study design (systematic reviews, observational studies or randomised controlled trials), to test the tools.

Prior to the application of the Writing Aid, the lead investigator (DH) ensured the Writing Aid was correctly installed and functional. There was minimal social interaction with participants during the study to minimise social desirability bias. Apart from resolving technical errors, no additional assistance related to the study or use of checklists was provided. When technical errors could not be resolved, another computer was provided or participants were asked to use a different device if they were participating remotely.

After allocation, participants completed a baseline questionnaire and read a half page explanatory document (online supplementary appendix 1). The document included a list of points that summarised the concept of reporting guidelines. There were no clarifications regarding the content of reporting guidelines. Participants worked at their own pace and had a maximum of 1 hour to test each tool.

In arm 1, participants applied the Writing Aid to their document first. If they wanted to access the E&E document, they could use the information box. A user manual and a 3min video on the functionalities of the tool were provided.<sup>18</sup> In arm 2, participants manually applied the reporting guidelines as a Word Document by inserting the page number where the relevant information could be found in their manuscript. They were also given the E&E document.

On completion of testing the first tool, participants were asked to complete the first evaluation questionnaire (online supplementary appendix 1 with questionnaires). A break of a few minutes was given, and then participants began the test of the other tool. The second evaluation questionnaire was administered after the last test.

#### **Survey instruments**

#### Study outcomes and measurement

The primary study outcome was subjects' intention of using the reporting guideline as Writing Aid and Writing Document. Secondary outcome measures included subjects' perceived ease of use and perceived usefulness of the two tools.

Self-administered structured questionnaires applied via Qualtrics (Qualtrics XM, Provo, Utah, USAT) were used to collect data on study outcome measures and other relevant variables. All questionnaires were piloted by the primary investigators (DH, MKS and CL) for clarity. The study outcomes, that is, intention of use, perceived ease of use and perceived usefulness, were measured using validated instruments adapted from the TAM.<sup>26 27</sup>

In addition to the three outcomes based on the TAM instrument, the respondents' preferred tool for later use and the occurrence of technical errors encountered were assessed.

Baseline and relevant characteristics of participants were gathered, including their research experience, role in the study used, study design of the manuscript tested and previous experience with reporting guidelines. Previous experience included previous use, frequency of use and motivation of use. We also assessed participant's prior knowledge regarding reporting guidelines using a validated tool to assess knowledge regarding checklists.<sup>28</sup> Subjective knowledge, considering the utilisation and content of the reporting guidelines, was measured with two questions on a 5-point Likert scales ranging from very unknowledgeable (1) to very knowledgeable (5). Objective knowledge was measured using six true or false statements. Three true statements were included (1) it is acceptable to report that some items on the checklist are not applicable to my study; (2) reporting on items that are not carried out will add more clarity to my paper and

will not lead to rejection; (3) the checklists aim to make reporting more clear, complete and transparent. The three false statements were (1) the checklist should be used to evaluate the quality of papers; (2) the reporting checklists must be completely filled out, or my paper will be rejected; (3) the checklist aims to improve communication between coauthors.

#### **Statistical methods**

Data cleaning and analysis were conducted using Stata V.14.1 (StataCorp). All analyses were two-sided and statistical significance was considered at alpha <0.05. Data were checked for consistency, missing values, outliers and normality prior to analysis. Descriptive statistics were reported using percentage and mean with SD.

For the main analysis of the intervention effects on the outcome variables intention of use, perceived ease of use and perceived usefulness, we analysed the data according to the two period crossover trial design. Prior to testing the treatment effect, we confirmed the absence of any potential sequence or period effect using independentsample t-test and paired-sample t-test, respectively. The intervention effect was estimated by looking at the average of the treatment difference for each period using paired-sample t-test.<sup>29</sup> We used the t-test to test the difference in mean intention of use, perceived usefulness and ease of use after confirming normality of data. The intervention effect-size for the difference between Writing Aid and Word Document was reported using Cohen's d (mean difference/SD) with values  $\leq 0.2$ , 0.2> and < 0.8, and  $\geq 0.8$  considered as small, medium and large intervention effects, respectively.<sup>30</sup>

To provide an explanation for participants' intention of using the tools (Writing Aid vs Word Document), which is related to perceived ease of use and/or perceived usefulness, we also conducted structural equation modelling (SEM) guided by the TAM (figure 1). Based on TAM, we hypothesised that the use of the Writing Aid for reporting guidelines would result in increased subjects' intention







Figure 2 Participants flowchart. Period 0, period 1 and period 2 represent the baseline, first test and second test data collection, respectively.

of use compared with the use of the Word Document, which could be facilitated through: (1) immediate pathway between subjects' better perceived ease of use for the Writing Aid compared with the Word Document leading to a better intention of use and (2) chain pathway in which subjects' better perceived ease of use could lead to a better perceived usefulness of the Writing Aid compared with the Word Document and finally result in a better intention of use. We assumed that perceived usefulness would not be affected by the intervention used, as the same checklist content was applied in both arms. SEM with maximum likelihood estimation was fitted to model the hypothesised relationships described above. In the measurement models, factor analysis was employed to estimate the latent variables intention of use, perceived usefulness and perceived ease of use from their construct observed variables. We estimated both unstandardised and standardised estimates of the direct and indirect effect of the treatment (Writing Aid vs Word Document)

on intention of use through the hypothesised pathways. We evaluated the reliability of the measurement scales and the relative importance of each construct variable in a scale using Cronbach's alpha coefficient (alpha >0.7 was considered acceptable),<sup>31</sup> item-total correlation coefficients and factor loadings. Model goodness-of-fit was checked using fit statistics including the Comparative Fit Index >0.95, Tucker-Lewis Index>0.95, Standardised Root Mean Squared Residual <0.08, Root Mean Square Error of Approximation <0.06.<sup>32</sup>

#### **Ethics**

Informed consent was electronically collected and the study protocol was registered prior to the study (20 April 2018).<sup>33</sup>

#### Patient and public involvement

We did not involve patients or the public in our study.

Table 1         Sample characteristics	
Sample characteristics	N (%)
Research experience	
PhD student	43 (80)
Post-doctoral student	11 (20)
Affiliation regarding the current paper	
First author	50 (93)
Coauthor	4 (7)
Study design	
Systematic review	10 (19)
Randomised controlled study	11 (20)
Observational study (cross-sectional, cohort, case-control)	33 (61)
Previous reporting guidelines use*	
No, it will be my first time to use reporting guidelines	27 (50)
Yes, to write or co-write a paper	13 (22)
Yes, to write this paper	11 (17)
Yes, to review a paper	2 (2)
Frequency of reporting guidelines use	
Never	19 (35)
Rarely	12 (22)
Sometimes	9 (17)
Usually	12 (22)
Every time	2 (4)
Motivation of guideline use*†	
Self-motivation or motivation from colleagues or coauthors	12 (22)
Journal suggestions to use checklists within the writing process	1 (2)
Journal requirements to fill the checklist at the end	5 (9)
Subjective knowledge	
How do you rank your knowledge with respect to the content of the reporting guideline?‡	
Very knowledgeable	3 (6)
Somewhat knowledgeable	17 (31)
Neither knowledgeable nor unknowledgeable	8 (15)
Somewhat unknowledgeable	10 (19)
Very unknowledgeable	15 (28)
How do you rank your knowledge with respect to the utilisation of the reporting guideline?	
Very knowledgeable	2 (4)
Somewhat knowledgeable	17 (31)
Neither knowledgeable nor unknowledgeable	9 (17)
Somewhat knowledgeable	11 (20)
Very unknowledgeable	15 (28)
Objective Knowledge	

Continued

Table 1 Continued	
Sample characteristics	N (%)
Answer the following statement with true or false (frequency of the correct answer)	
The checklist should be used to evaluate the quality of papers* (FALSE)	9 (17)
The reporting checklists must be completely filled, or my paper will be rejected† (FALSE)	37 (69)
It is acceptable to report that some items on the checklist are not applicable to my study* ( <i>TRUE</i> )	49 (91)
Reporting on items that are not carried out will add more clarity to my paper and will not lead to rejection* ( <i>TRUE</i> )	36 (69)
The checklists aim to make reporting more clear, complete and transparent*( <i>TRUE</i> )	51 (94)
The checklist aim to improve communication between coauthor* (FALSE)	34 (63)
*Indicate a multiple-response question. †n = 27 ±n=53	

**RESULTS** Participants

We recruited 54 participants between May and October 2018, of which 28 and 26 were randomly allocated in arms 1 and 2, respectively; all participants completed the trial (figure 2). It was not possible to assess response rate, as recruitment methods used a snowballing approach. However, in this study only those who willingly wanted to participate n=54 completed the study.

As shown in table 1, 80% (n=42/54) of the sample was PhD students and nearly all (n=50/54, 93%) were the first author of the manuscript. Over half (n=33/54, 61%) reported findings of an observational study, (n=11/54, 20%) a randomised controlled trial and (n=10/54, 19%) a systematic review. Half of the sample (n=27) had never used any reporting guideline before and almost half of the sample (n=25) considered themselves unknowledgeable regarding reporting guidelines' content or their utilisation (n=26). Only 17% (n=9/52) correctly answered that reporting guidelines should not be used as an evaluation tool for the quality of the paper. Almost all participants (94% and 91%) correctly answered the two statements regarding the aim of the reporting guidelines.

#### **Outcomes**

We did not find a significant sequence or period effect (p>0.05) in the crossover design. Table 2 shows that there was no significant difference in the performance between the Writing Aid and the Word Document for both the primary outcome; intention of use (mean difference and 95% CI 0.25 (-0.05 to 0.55), p=0.10), and perceived usefulness (mean difference and 95% CI 0.19 (-0.04 to 0.41), p=0.10). A significant effect was found when comparing the perceived ease of use of the Writing Aid compared

in a crossover design ( $n=54$ )								
Outcomes (factor score)	MS Word Mean (SD)	Writing Aid Mean (SD)	Mean difference and 95% CI*	P value of mean difference	Effect-size 95% CI*			
Intention of use	5.51 (1.24)	5.84 (1.24)	0.25 (-0.05 to 0.55)	p=0.10	0.23 (-0.05 to 0.5)			
Perceived usefulness	5.38 (1.14)	5.63 (1.06)	0.19 (-0.04 to 0.41)	p=0.10	0.23 (-0.04 to 0.5)			
Perceived ease of use	5.25 (1.30)	5.98 (0.93)	0.59 (0.29 to 0.89)	p<0.001	0.54 (0.25 to 0.83)			

Effect of the intervention on primary and secondary outcomes, mean (SD), comparing Writing Aid and MS Word to

\*Cohen's d values used to estimate the effect size for the difference between the interventions (ie, Writing Aid minus MS Word documentation scores) in terms of SD scores; Cohen's d values ( $x \le 0.2$ , 0.2 < x < 0.8 and  $x \ge 0.8$ ), represents small, medium and large effects.

with the Word Document (mean difference and 95% CI 0.59 (0.29 to 0.89), p<0.001).

In the present sample, the Cronbach's alphas were 0.87, 0.89 and 0.97 for perceived usefulness, perceived ease of use and intention of use, respectively (online supplementary appendix 4). SEM indicated an acceptable goodness of fit, as the Comparative Fit Index and Tucker-Lewis Index were both above 0.95. The standardised root mean squared residual was below the cut-off of 0.08, but the root mean square error of approximation was not below its cut point of 0.06. Based on Schreiber *et al*, all reported measures indicate that there is an acceptable goodness of fit between our data and the model.<sup>32</sup>

As shown in table 3, the total effect of the Writing Aid on intention of use was significantly mediated through higher perceived ease of use vs the Word Document (*beta coefficient* 0.5, p=0.02). The direct component was negative 0.03; by contrast the indirect effect was 0.53, indicating that the effect of the Writing Aid on the intention of use was fully arbitrated by perceived ease of use. The total effect of the perceived ease of use (Writing Aid vs Word Document) on intention of use was 0.92. An estimated 25% (0.23/0.92) of the effect of perceived ease of use on intention of use is direct, while 75% of the effect was indirect and was mediated through perceived usefulness. A significant indirect effect of the tools (Writing Aid vs Word Document) on perceived usefulness mediated through perceived ease of use was observed.

#### **Other measures**

More than two-third of the sample (n=42, 77%) selected the Writing Aid as the preferred method of use for later use. Almost one-third of the study sample (n=17, 32%)encountered a technical issue when installing the Writing Aid.

#### DISCUSSION

This study attempted to test the intention to use of a novel Writing Aid software vs the traditional Word Document version of several widely used reporting guidelines. This paper extends prior knowledge by using an intervention to test the uptake of reporting guidelines in a real-life writing process, using all sections of a paper.

In the present study, participants indicated no significant difference in intention of use, and perceived usefulness between the two tools. This can be explained by the fact that the two applied interventions contained the same recommendations for reporting. However, participants perceived the Writing Aid to be easier to use than the Word Document with a significant effect. This can be attributed to the difference in application characteristics

beta coefficient and p values									
Standardised estimate									
Direct effect beta coefficient (SD)	P value	Indirect effect beta coefficient (SD)	P value	Total effect beta coefficient (SD)	P value				
0.56 (0.11)	<0.001*			0.56 (0.11)	<0.001*				
		0.33 (0.11)	0.003*	0.33 (0.11)	0.003*				
0.60 (0.18)	0.001*			0.60 (18)	0.001*				
1.23 (0.21)	<0.001*			1.23 (0.21)	<0.001*				
0.23 (0.14)	0.11	0.69 (0.14)	<0.001*	0.92 (0.15)	<0.001*				
-0.03 (0.16)	0.87	0.53 (0.17)	0.002*	0.50 (0.21)	0.02*				
	Standardised estin           Direct effect           beta coefficient           (SD)           0.56 (0.11)           0.60 (0.18)           1.23 (0.21)           0.23 (0.14)           -0.03 (0.16)	Standardised estimate           Direct effect beta coefficient (SD)         P value           0.56 (0.11)         <0.001*	Standardised estimate           Direct effect beta coefficient (SD)         Indirect effect beta coefficient (SD)           0.56 (0.11)         <0.001*	Standardised estimate           Direct effect beta coefficient (SD)         Indirect effect beta coefficient (SD)         P value           0.56 (0.11)         <0.001*	Standardised estimate           Direct effect beta coefficient (SD)         Indirect effect beta coefficient (SD)         Total effect beta coefficient (SD)           0.56 (0.11)         <0.001*				

 Table 3
 Structural equation modelling: parameter estimates for the hypothesised pathways: direct, indirect and total effects, beta coefficient and p values

Goodnessof fit results  $R^2$ : R-squared = 0.145; standardised root mean squaredresidual = 0.048, root mean square error of approximation = 0.074, CFI = 0.975, TLI = 0.965.

CFI, Comparative Fit Index; IU, intention of use; PEU, perceived ease of use; PU, perceived usefulness; TLI, Tucker-Lewis Index.

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(integrated software vs a MS Word document). The results further show that the perceived ease of use of the guidelines as a Writing Aid can indirectly affect the intention of use as an important condition to increase adherence to reporting guidelines.

Half of the sample had never used any reporting guideline before participating in this study. However, after being exposed to the two tools, more than two-thirds of the sample answered that the Writing Aid was their preferred method of use. It is important to note that preferences might not lead to intention of use and actual use. This study sheds light on subjective and objective knowledge as important prerequisites for the application of reporting guidelines. The findings support Shamseer et al's recommendation for a more active approach to improve reporting guidelines implementation, targeting the knowledge, beliefs, education and motivations of authors.<sup>34</sup> Earlier introduction of reporting guidelines as a Writing Aid could become a formative process, where researchers are continuously exposed to and reminded of the content and use of the reporting guidelines items, leading to more complete research papers. Moreover, writing is an iterative process, thus repeated exposures to guidelines within and throughout the process may result in the greatest benefits to adherence. In addition, a digital ecosystem of software is increasingly being used to do research (eg, reference management software), and integrated tools such as the Writing Aid can be of added value. Furthermore, a user friendly system of applying the reporting guidelines can enhance self-efficacy towards Authors are generally unaware of the value their use.<sup>3</sup> of reporting guidelines and those responding to peer reviewers have problems adhering to reporting guidelines.<sup>10</sup> Thus, aligning education efforts to integrate reporting guidelines into the workflow, as educational tools, could be the first step. A holistic system approach and support (universities, professors, peer reviewers, journals) is needed to encourage the use, and uptake of writing aids.<sup>35</sup>

Our study had several strengths. We applied the tools within an approximation of a real life setting with participants who were in the process of writing-up personal research findings. Second, the tool works offline, which allowed us to have participants from a variety of settings, including countries with poor internet connectivity (ie, Ethiopia). Third, we accommodated a variety of topics and research designs. Lastly, we assessed the subjective and objective knowledge of the participants at baseline. With a new version, the Writing Aid software could incorporate more reporting guidelines. Furthermore, the Writing Aid software is open access and constructive contributions to improve the software are welcomed.

Our study had some limitations. First, to minimise dropouts, we did not include a washout period and conducted both interventions on the same manuscript in one session. The fact that half of our sample was not exposed to reporting guidelines before could have increased the chances of treatment period interaction, including a ceiling effect. SEM, which was conducted as a secondary analysis, was potentially underpowered. A larger sample size could have increased the power of the study, the statistical significance and the bias in the parameter estimates used in the SEM.<sup>36</sup> Second, participants were asked to test both tools on the same manuscript in a testing session that lasted 1 hour. The length of exposure is not representative of the whole writing procedure, which is a lengthy process that contains several iterations between coauthors. Third, we did not assess actual reporting completeness or correct filling of the checklist. Most manuscripts were still in draft form and were not collected as a part of the study. Fourth, purposive sampling was used. The majority (80%) of the participants were PhD students, which might be unrepresentative for other authors. Further assessment in authors with more seniority is required. Fifth, intention of use, perceived ease of use and perceived usefulness were all collected at the same time, thus not allowing enough time for participants to experiment with the tools and assess the intention of use and actual use correctly. We consider the present study as a first step to assess the usefulness of our Writing Aid, whereas assessing reporting completeness was neither relevant, nor realistic at this stage.

#### **CONCLUSIONS**

The results of our study encourage a follow-up randomised controlled study with a longer exposure time and washout period. This will offer the possibility to further explore the potential applicability of our Writing Aid to enhance reporting guideline adherence. The findings of this study are encouraging for further product development and testing in a more representative sample of researchers.

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**Contributors** Conceptualisation: CL, DH and PK. Supervision: CL and PK. Wrote the first draft of the manuscript: DH. Contributed to the writing of the manuscript: CL, MKS and PK. Analysis: AA and DH. Agree with the study design, and findings: AA, CL, DH, MKS and PK. All authors have read, and confirm that they meet ICMJE criteria for authorship.

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**Competing interests** Authors (CL, DH and PK) have developed STROBE-nut for nutritional epidemiology cited in this manuscript. MKS works on the reporting guideline STROBE as a part of her doctoral studies. Writing Publication Aid version 1.0 Created by Automaticals Consulting http://www.automaticals.com/consulting. Authors: Carl Lachat (Project manager, concept), Dana Hawwash (Project manager, concept), Patrick Kolsteren (Concept), Nathalie De Cock (Concept), Chen Yang (Concept), Herwig Jacobs (Programming). Copyright (C) 2016, Ghent University www.ugent.be.

Patient consent for publication Not required.

Ethics approval The study was approved by the Ethics Committee of the Ghent University Hospital number EC/2018/0479.

Provenance and peer review Not commissioned; externally peer reviewed.

**Open access** 

Data availability statement Data are available upon reasonable request.

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## Additional File 2. Qualitative Assessment Non-Specific Content Distilled

Information below is the distilled suggestions from the STROBE-extensions which were coded as non-specific in the qualitative assessment detailed in Chapter One. They are all "some key items to consider adding" to the original STROBE checklist item.

Intro: Title and abstract (1)

- The study design (e.g., cohort, cross-sectional, case-control).
- Information about the data source (e.g., bibliometric, patient registry, etc.)
- Information about the timing of data collection (e.g., longitudinal, date ranges)
- The main results (e.g., "found high rates of x", " )

Intro: Background and Rationale (2)

- Cite/discuss systematic reviews and meta-analyses - Highlight the gap in research that your work is aiming to fill

Intro: Objectives (3)

Mention both primary and secondary pre-specified hypotheses. If the report does not cover all, consider explaining why (covered by another publication, reference protocol, etc.)
If the research is exploratory in nature, state it

Methods: Study Design (4)

- The reason why the specific sampling method was chosen

Methods: Setting (5)

- Formative research findings used to inform the study

- Describe any characteristics of the study settings that might affect the exposures of the participants, if applicable

Methods: Participants (6)

- Define the unit analysed (person, family, twin pairs, department, school, etc.)

- Report the source of participants/clinical specimens (e.g., if the participants were a subset from a larger study)

- Clearly describe sampling frame and strategy

- Report inclusion and exclusion criteria (psychological, dietary/nutritional, physiological, clinical conditions) etc. especially if they might affect key indicators or surrogate endpoints (e.g., biomarkers)

- Clear definitions of exposed and nonexposed cohorts. Justify the choice of comparator
- Describe the conditions where subjects may change from one cohort to the other

- Describe whether treatment is restricted to new starts or encompasses all individuals with ongoing treatment

- Describe incentives for participation and recruitment
- Describe follow-up methods and timepoints of assessemnt of serial follow-up

- For matched studies, describe matching criteria and the reasons (epidemiological and clinical) for this criteria



- For matched studies, detail the number of matched individuals per subject (e.g, number of controls per case)

Methods: Variables (7)

- The start and stop of any therapies or treatment

- The mean, median, and range for each exposure group

- The theoretical/conceptual rationale for the design of the intervention/ exposure

- The intervention/exposure described with sufficient detail to permit replication

- Description of potential confounders (other than epidemiological variables) and correlates

- For hypothesis-driven studies, the putative causal structure (consider a diagram like a directed acyclic graph)

- Sources of data and methods of assessment for each variable

- Comparability of assessment methods among groups and over time

- The level of organization at which each variable was measured

Methods: Data/Measurement (8)

- The validity/reliability of the assessment methods (survey development, validation, and evaluation)

- Timing, timepoints, and length of followup

- Any blinding of participants or data collectors

- Any methods used to support data integrity or the accuracy of the data (e.g., double-entry, methods for "data cleaning")

- Any methods used to enhance the quality of measurements

- Comparability of assessment methods among groups and over time

Methods: Bias (9)

- Describe the nature and magnitude of any potential biases and explain what approach was used to deal with these (e.g., discovery, ascertainment, selection, information, etc.)

- For quantitative outcome variables, specify if any investigation of potential bias resulting from pharmacotherapy was undertaken

- Report how bias in dietary or nutritional assessment was addressed, e.g., misreporting, changes in habits as a result of being measured, or data imputation from other sources

## Methods: Study Size (10)

- Any unique restrictions placed on the study sample size

- Different determinants of sample size for different levels of organization (e.g., parent and offspring, family unit, etc.)

- How non-independence of measurements was incorporated into sample-size considerations

- The parameters, assumptions, methods, and effect size justification of the sample size calculation

Methods: Quantitative variables (11)

- If applicable, describe how effects of treatment were dealt with

Methods: Statistical Methods (12)

- All statistical methods for each objective at a level of detail sufficient for a knowledgeable



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reader to replicate the methods

- Clearly indicate the unit of analysis (e.g., individual, team, family, unit, etc.)

- The validity and reliability of any measurements used - If any internal/external validation was done

- How items/variables were selected/introduced into statistical models

- Data analysis software version and options/settings used

- If the same association under study has previously been published, consider using a similar analysis model and definitions for replicative purposes

- Methods used to:

- Assess robustness of analyses (e.g, sensitivity analyses, quantitative bias assessment) - Adjust for measurement error, (i.e., from a validity or calibration study)

- Account for (complex) sampling strategy (e.g., estimator used)
- Address missing data or loss-to-follow-up
- Control for confounding

- Manage and correct for for non-independence (i.e., relatedness) of data

- Address multiple comparisons or to control for the risk of false positive findings

- Assess and address population stratification

- Identify and address repeated measures on subjects - Clean data

- Match, combine, or link data (person/individual/dataset level linkages) and an evaluation of the linkage quality

Results: Participants (13)

- The reasons for loss of data and/or participants at each stage

- The number of individuals excluded based on missing, incomplete, or implausible data

- The estimated design effect for outcomes of interest

- The use of an organizational structure diagram if you have dealing with related or matched participants (e.g., families, cases and controls)

Results: Descriptive Data (14)

- Give the distribution of measurements (including mean, median, range and variance)

- Average treatment duration for all groups

- Report any subjects that changed exposure status, those eligible for follow-up, those who completed follow-up and numbers remaining on treatment and/or in analysis at relevant time points during follow-up (eg, at yearly intervals)

- Summarize follow-up time (e.g, average and total amount), if appropriate to the study design

- Consider presenting number exposed, outcomes, and relatives risks as tabular or graphical presentations

- Give unweighted sample size and percentages

- Discuss estimated population proportions or means with estimated precision (e.g., 95% confidence interval)

Results: Outcome Data (15)

- Consider the use of a tabular or graphical presentation (Kaplan–Meier, cumulative incidence plot) of the outcome over time for the exposed and comparison cohort

- Report outcomes at all relevant levels of organization



- For proportions and rates, report the numerator and denominator

- For continuous outcomes, report the number of observations and a measure of variability

Results: Main Results (16)

- Present both relative risks and absolute measures such as event rates per person-time, risk differences or numbers needed to treat/numbers needed to harm

- Present results per time period of follow-up, if applicable, so as to indicate any time

dependence of the association between exposure and outcome

- Report methods to standardize the results from the study sample to the target population

- For assessments involving >1 rater, interrater reliability should be reported

- Give unadjusted estimates and, if applicable, adjusted estimates and their precision (e.g, 95% confidence interval). Make clear which confounders and interactions were adjusted. Report all relevant parameters that were part of the model

- Report results of any adjustments for multiple comparisons

Results: Other Analyses (17)

- Consider performing analyses to explore possible effect modification

- Consider performing sensitivity/robustness analyses for differing definitions of exposure and outcome or different statistical models

- If detailed results are available elsewhere, state how they can be accessed

- Report exclusion of misreporters, outliers, and data imputation

Discussion: Key Results (18)

- No non-specific items to add

**Discussion: Limitations (19)** 

- Describe the main limitations of the data sources and assessment methods (e.g., laboratory or collection procedures) used and implications for the interpretation of the findings

- Discuss implications of misclassification bias, unmeasured/residual confounding, missing data, and , selection factors for treatment, and changing eligibility over time

- Discuss the implications of using data that were not created or collected to answer the specific research question(s)

Discussion: Interpretation (20)

- Give an interpretation of results in terms of a priori biological plausibility

Discussion: Generalizability (21) - No non-specific items to add

Other: Funding (22)

- No non-specific items to add

Additional Information

- Describe informed consent proceduers and and approval from ethical committee(s)

- If ethical approval was not obtained, explain the reason why not (e.g., public health outbreak response/non-research designation)

- Report any special ethical considerations (e.g., recruitment of minors, children, nenoates, etc.)



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- Specify whether data/samples were anonymous, anonymized, or identifiable

- Describe any quality standards used in the conduct of the research

- Provide information on how to access supplemental information, the study protocol, data collection tools, raw data, and/or code

- Describe any conflicts of interest, or lack thereof, for each author
- Describe the authors' roles (CRediT and/or ICMJE criteria)



# **Biographical Note**

Melissa K Sharp is an epidemiologist with expertise in observational mixedmethods research. She previously earned a Masters in Public Health in Epidemiology with a Graduate Certificate in Public Health Informatics from Columbia University Mailman School of Public Health and a Bachelor of Science in Psychology with a Minor in Women and Gender Studies from Michigan State University.

This thesis contains work from her Marie Skłodowska-Curie doctoral research fellowship with the University of Split and University of Paris. Funded by the European Union Horizon 2020 research and innovation program, her work was a part of the Methods in Research on Research (MiRoR) Project, an international multidisciplinary network which aimed to reduce waste in research by investigating issues with the planning, conduct, reporting, and review of research. She focused on the reporting of observational studies and how reporting guidelines are used by authors and journals.

