## How should professors be promoted and tenured?

where's the evidence?

Clinical Epidemiology Program, Centre for Practice Changing Research, Ottawa Hospital Research Institute; School of Epidemiology, Public Health and Preventive Medicine, University of Ottawa, Canada

31st May 2016

### **Disclosures**

- Founding editor-in-chief Systematic Reviews
- Member of editorial board of several journals
- Advisory member International Congress on Peer Review and Biomedical Publication
- Member of PLoS ONE's Human Research Advisory Committee
- University of Ottawa Medical Journal Faculty Advisory Board member
- Member of the EQUATOR Network's executive group
- Member of the REWARD alliance team
- Received funding for journalology (publication science) research from the Cochrane Collaboration; BioMed Central, Elsevier; and Garfield Foundation

### **Outline of my presentation**

- The publication dance
- The quality of the published literature
- A program of discovery and evidence generation
- Other initiatives addressing academic promotion and tenure

### The status quo – the research portfolio

- Publish
- Publish
- Publish

### thresholds for appointment/promotion

# Type of phrasing Number of publications criteria, with minimum thresholds

### Example

'Research Full Professor: reserved only for those who have demonstrated sustained achievement and outstanding character. 50–60 publications total, with at least 10 statistical methods papers, at least 15 health science publication, at least 5–10 first authored papers and at least 5–10 top-tier publications' (Department of Biostatistics, University of North Carolina School of Public Health, http://sph.unc.edu/files/2013/10/bios\_june2013\_guidelines-for-promotion-and-tenure.pdf)

Moher, D et al. Eur J Clin Invest. 2016 May;46(5):383-5.

Multiple quantitative criteria, with specified thresholds

Tenure track professor: Must meet minimum criteria below... Publications: 60 peer-reviewed publications, 30 as first or senior author. Where relevant, corresponding author may be equivalent to senior author. At least 10 of the first or senior authored publications should be in major general or specialty journals. Clear evidence of sustained productivity in scholarly publications. H-index of at least 25. (Columbia University Mailman School of Public Health, https://www.mailman.columbia.edu/ sites/default/files/coap\_guidelines. pdf)

Moher, D et al. Eur J Clin Invest. 2016 May;46(5):383-5.

### Promotion and tenure: traditional model

- Counts
  - Journal publications
  - Journal Impact Factor
- Are any of these metrics meaningful?
  - Authorship inflation

### Journal impact factor

- Its calculation is imperfect
  - A fraction
- Can be manipulated
- Not a good predictor

### INCOMPLETE REPORTING

**MACLEOD ET AL., 2015** 

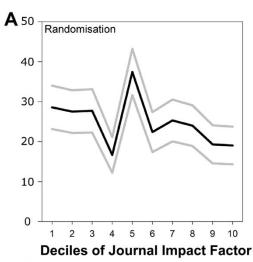


Fig 4. Prevalence of reporting of (A) randomisation, (B) blinded assessment of outcome, (C) sample size calculations, and (D) conflict of interest reporting by decile of journal impact factor in 2.671 publications describing the efficacy of interventions in animal models of eight different diseases identified in the context of systematic reviews. Black lines indicate the median value in that decile, and grey lines indicate the 95% confidence limits derived from nonparametric median regression (S4 Data).

### **LETTING GO**

What should medicine do when it can't save your life?

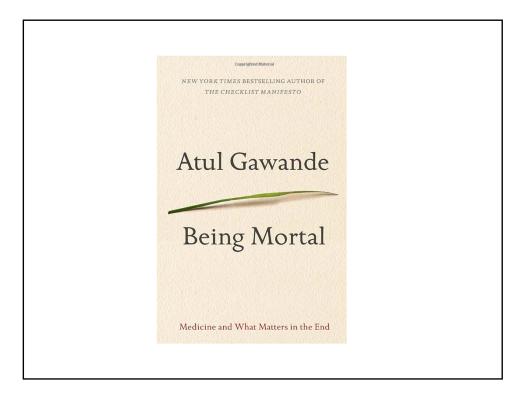
BY ATUL GAWANDE

Modern medicine is good at staving off death with aggressive interventions—and bad at knowing when to focus, instead, on improving the days that terminal patients bave left.

PHILLIP TOLEDANO, "BIRTHDAY BALLOON," FROM "DAYS WITH MY FATHER" (2008)



ara Thomas Monopoli was pregnant with her first child when her doctors learned that she was going to die. It started with a cough and a pain in her back. Then a chest X-ray showed that her left lung had collapsed, and her chest was filled with fluid. A sample of the fluid was drawn off with a long needle and sent for testing. Instead of an infection, as everyone had expected, it was lung cancer, and it had already spread to the lining of her chest. Her pregnancy was thirty-nine weeks along, and the obstetrician who had ordered the test broke the news to her as she sat with her husband and her parents. The obstetrician didn't get into the prognosis—she would bring in an oncologist for that—but Sara was stunned. Her mother, who had lost her best friend to lung cancer, began crying.



### LOOKING AT THE EVIDENCE

### The published record

- It's tarnished ⊗⊗⊗⊗
- There is considerable <u>avoidable</u> waste in the biomedical industrial complex

## Authors cannot adequately describe basic essential information for readers

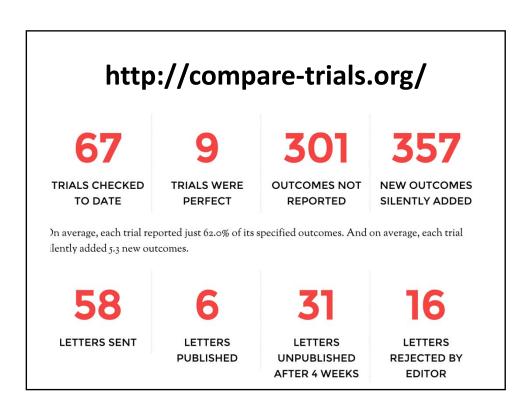
- 10 essential elements about intervention e.g., drug name, dose, route....
- examined 262 reports of randomized trials from most prominent oncology journals
- overall, only 11% of articles reported all 10 essential items

Duff JM et al. JNCI 2010 102:702-705

### **Delivering the best care to patients**

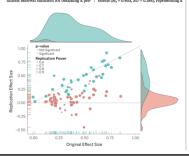
- "Thoughtful consideration of reporting trialrelated procedures that could assist with turning "best evidence" to "best Practice" would be worthwhile"
- "Careful and consistent reporting would help to promote safe and effective clinical application of oncology therapeutics ..."

Dancey JNCI 2010; 102:670-671



### RESEARCH ARTICLE SUMMARY

### Estimating the reproducibility of psychological science



### Replication

## NIH plans to enhance reproducibility

Francis S. Collins and Lawrence A. Tabak discuss initiatives that the US National Institutes of Health is exploring to restore the self-correcting nature of preclinical research.

growing chorus of concern, from scientists and laypeople, contends that the complex system for ensuring the reproducibility of biomedical research is failing and is in need of restructuring. As leaders of the US National Institutes of Health (NIH), we share this concern and here explore some of the significant interventions that we are planning.

Science has long been regarded as 'self-correcting', given that it is founded on the replication of earlier work. Over the long term, that principle remains true. In the

shorter term, however, the checks and

shorter term, however, the checks and balances that once ensured scientific fidelity have been hobbled. This has compromised the ability of today's researchers to reproduce others' findings.

Let's be clear: with rare exceptions, we have no evidence to suggest that irreproducibility is caused by scientific misconduct. In 2011, the Office of Research Integrity of the US Department of Health and Human Services pursued only 12 such cases'. Even if this represents only a fraction of the actual problem, fraudulent papers are vastly

ing agencies to establish or enforce policies that insist on data access.

### PRECLINICAL PROBLEMS

PRECLINICAL PROBLEMS
Reproducibility is potentially a problem in all scientific disciplines. However, human clinical trials seem to be less at risk because they are already governed by various regulations that stipulate rigorous design and independent oversight — including randomization, blinding, power estimates, pre-registration of outcome measures in standardized, public databases such as ClinicalTrials gov and oversight by institutional review boards and data safety monitoring boards. Furthermore, the clinical trials community has taken important steps towards adopting standard reporting elements.

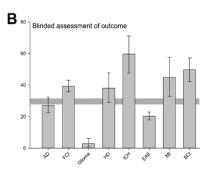
Preclinical research, especially work that uses animal models<sup>1</sup>, seems to be the area that is currently most susceptible to reproducibility issues. Many of these failures have simple and practical explanations: different

ductionly issues. Many of these failures have simple and practical explanations: different animal strains, different lab environments or subtle changes in protocol. Some irreproduc-ible reports are probably the result of coinci-dental findings that happen to reach statistical significance, coupled with publication bias.

612 | NATURE | VOL 505 | 30 JANUARY 2014

### **Incomplete Reporting**

### Macleod et al., 2015



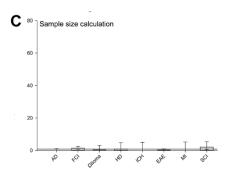


Fig 2. Prevalence of reporting of (A) randomisation, (B) blinded assessment of outcome, (C) sample size calculations, and (D) conflict of interest reporting in 2,671 publications describing the efficacy of interventions in animal models of Alzheimer's disease (AD, n = 324 publications), focal cerebral ischaemia (FCI, 704), glioma (175), Huntington's disease (HD, 113), intracerebral haemorrhage (ICH, 72), experimental autoimmune encephalomyelitis (EAE, 1029), myocardial infarction (MII, 69), and spinal cord injury (SCI, 185) identified in the context of systematic reviews. Vertical error bars represent the 95% confidence intervals, and the horizontal grey bar represents the 95% confidence interval of the overall estimate (S2

OPEN @ ACCESS Freely available online

PLOS BIOLOGY

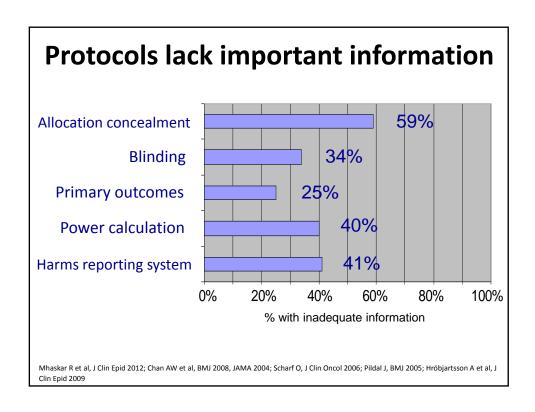
### **Publication Bias in Reports of Animal Stroke Studies** Leads to Major Overstatement of Efficacy

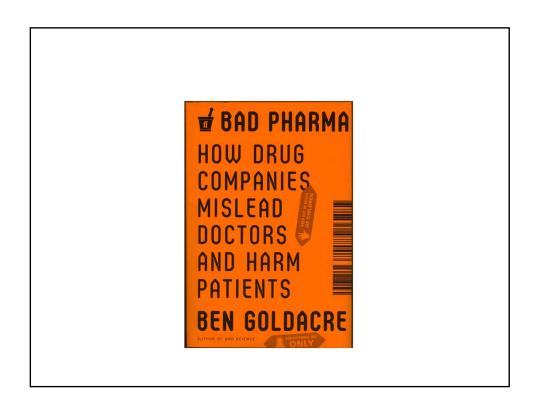
Emily S. Sena<sup>1,2,3</sup>, H. Bart van der Worp<sup>4</sup>, Philip M. W. Bath<sup>5</sup>, David W. Howells<sup>2,3</sup>, Malcolm R. Macleod<sup>1,6</sup>\* 1 Centre for Clinical Brain Sciences, University of Edinburgh, Edinburgh, United Kingdom, 2 National Stroke Research Institute, Austin Health, University of Melbourne, Wictoria, Australia, 3 Department of Medicine, Austra Health, University of Melbourne, Melbourne, Victoria, Australia, 4 Department of Neurology, Rudolf Magnus Institute of Neuroscience, University Medical Center, Utrack. The Netherlands, 5 Stroke Trials Unit, University of Nottingham, Nottingham, England, United Kingdom, 6 Department of Neurology, NHS Forth Valley, Stelling, Scotland, United Kingdom

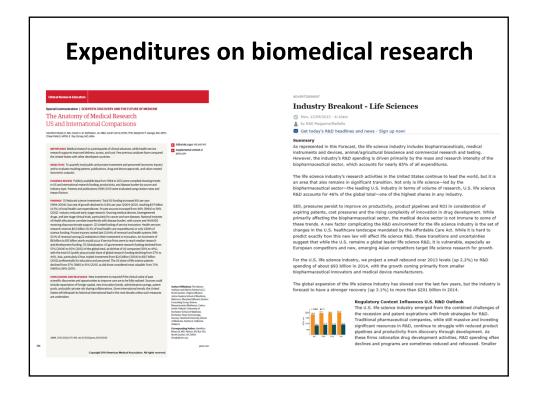
Abstract

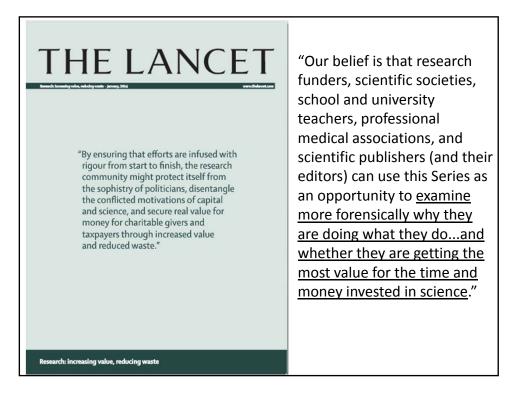
The consolidation of scientific knowledge proceeds through the interpretation and then distillation of data presented in research reports, first in review articles and then in textbooks and undergraduate courses, until truths become accepted as such both amongst "experts" and in the public understanding. Where data are collected but remain unpublished, they cannot contribute to this distillation of knowledge. If these unpublished data differ substantially from published work, conclusions may not reflect adequately the underlying biological effects being described. The existence and any impact of such "publication bias" in the laboratory sciences have not been described. Using the CAMARADES (Gollaborative Approach to Meta-analysis and Review of Animal Data in Experimental Studies) database we identified in systematic reviews of interventions tested in animal studies of acute ischaemic stroke involving 525 unique publications. Only ten publications (2%) reported no significant effects on infarct volume and only six (1.2%) did not report at least one significant effects on infarct volume and only six (1.2%) did not report at least one significant effects on infarct volume and only six (1.2%) did not report at least one significant bright in the six of the six

Of 525 unique publications involving 1,359 experiments: 31% overestimate efficacy; 16% experiments remain unpublished; 2% of publications reported no significant treatment effects









### **Annals of Internal Medicine**

### **IDEAS AND OPINIONS**

### Stop Predatory Publishers Now: Act Collaboratively

David Moher, PhD, and Ester Moher, PhD

Researchers trying to publish their work face a dualtity of tensions. To advance their careers, they must
be productive and publish in journals with high impact
factors. However, passing the scientific rigor of peer
review and editorial approval in these journals makes
publishing difficult. Morally corrupt businesses, posing
as legitimate publishers, have moved into this space.
They offer to publish anything quickly, thus circumventing the very fabric of scientific publishing. This cancer
has spread rapidly in part because these publishers
have no physical presence—instead, they conduct their
ruse through illegitimate online journals. Unless these
predatory publishers and journals are stopped immediately, they will permanently undermine the publication record.

There is no robust definition of predatory journals. They are best identified through behavior and practice: annoyingly high volumes of daily e-mails requesting submission of any type of manuscript, the promise of expedient peer review, and rapid publication. Predatory journals do not provide scientifically rigorous peer review: their feedback is rubbish. They also have dis-

Prospective authors need to be aware of the hazards of predatory journals and take the time to more fully assess the merits of submitting any manuscript to them. A previous assessment of these invitations (2) found that they can all be deleted; the Web sites can be added to personal and institutional e-mail filters and thus be blocked. Further, requests for submission should be viewed with caution. It is rare for a legitimate journal to send blanket requests for manuscript submission. An exception would be a personalized correspondence from a senior editor encouraging submission of a specific editorial, commentary, review, or research report.

or research report.

Why have legitimate publishers not done more to combat their predatory counterparts? This is in stark contrast to their progressive collaborative action to create the CrossRef products (www.crossref.org). Similarly, editorial groups seem silent and have not proposed any plan to stop predatory journals. Yet, they used their bully pulpit to demand clinical trial registration, which similarly posed a threat to the quality of reported health research (3).

## There is good evidence showing that much of this investment is wasted



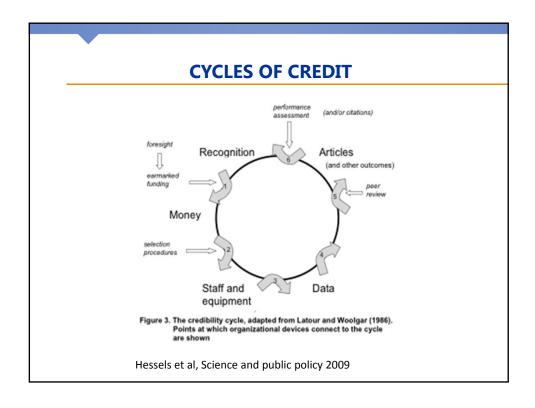
Begley CG, Buchan AM, Dirnagl U. Robust research: institutions must do their part for reproducibility. Nature 2015 525 (7567): 25-27

### Academic institutional incentives and rewards

- The perverse nature of the incentive-reward system that seems deeply entrenched
- Are incentives and rewards evidence based?
  - publish or perish
- We have evidence about the importance of:
  - replication, data sharing, making all research accessible, peer review
- Do incentives and rewards need a reboot?

 One question to ask is whether the reward criteria used by promotion and tenure committees to explicitly and implicitly incentivize scientists plays a role?  Is it possible that some reward criteria used by promotion and tenure committees is overvalued (publications), while other criteria is undervalued (study registration), or not valued at all (replication research)?

# BRUNO LATOUR AND STEVE WOOLGAR (1979) LABORATORY LIFE Reading Recognition ARTICLES ARGULENTS DATA Figure 5.1 This figure represents the conversion between one type of capital and another which is necessary for a scientist to make a move in the scientific field. The diagram shows that the complete circle is the object of the present analysis, rather than any one particular section, and another interest of the present analysis, rather than any one particular section, and any of the present analysis, rather than any one particular section, and any of the present analysis, rather than any one particular section, and the present analysis and the present and th



### Research rewards system

	Different examples	Different examples of reward systems		
	Current	Change 1	Change 2	
CURRENCIES				
Publication (per unit)	Win 1	No value	No value	
Replicated publication (per unit)	Win 1	Win 2	Win 2	
Successfully translated publication (per unit)	Win 1	Win 5	Win 5	
Refuted publication (per unit)	Win 1	Lose 1	Lose 1	
Sharing data, protocols, analysis codes (per unit)	No value	Win 2	Win 2	
Contribution to peer-review (per unit)	No value	Win 2	Win 2	
Contribution to education/training (per unit)	No value	Win 1	Win 1	
Grant funding (per one R01)	Win 5	Win 5	Lose 5	
OTHER WEALTH ITEMS				
Assistant professor, title in good university	Win 3	Win 3	No value	
Associate professor, title in good university	Win 10	Win 10	No value	
Tenured professor, title in good university	Win 20	Win 20	No value	
Team leader/director				
Per 1 doctoral student/post-doc	Win 2	Win 2	Lose 2	
Administrative power, networking, lobbying	Win up to 200	No value	Lose up to 200	

doi:10.1371/journal.pmed.1001747.t002

Ioannidis JPA (2014) How to Make More Published Research True. PLoS Med 11(10): e1001747

### Research rewards system

	Operationalization			
Item in PQRST Index	Example	Data Source		
P (productivity)	Number of publications in the top tier % of citations for the scientific field and year	ISI Essential Science Indicators (automated)		
	Proportion of funded proposals that have resulted in ≥1 published reports of the main results	Funding agency records and automated recording of acknowledged grants (eg, PubMed)		
	Proportion of registered protocols that have been published 2 y after the completion of the studies	Study registries such as ClinicalTrials.gov for trials		
Q (quality of scientific work)	Proportion of publications that fulfill ≥1 quality standards	Need to select standards (different per field/design) and may then automate to some extent; may limit to top-cited articles, if cumbersome		
R (reproducibility of scientific work)	Proportion of publications that are reproducible	No wide-coverage automated database currently, but may be easy to built especially if limited to the top-cited pivotal papers in each field		
S (sharing of data and other resources)	Proportion of publications that share their data, materials, and/or protocols (whichever items are relevant)	No wide-coverage automated database currently, but may be easy to built eg, embed in PubMed at the time of creation of PubMed record and updat if more is shared later		
T (translational influence of research)	Proportion of publications that have resulted in successful accomplishment of a distal translational milestone, eg, getting promising results in human trials for intervention tested in animals or cell cultures, or licensing of intervention for clinical trials	No wide-coverage automated database currently, would need to be curated by appraiser (eg., funding agency) and may need to be limited to top-cited papers, if cumbersome		

Ioannidis JP, Khoury MJ. Assessing value in biomedical research: the PQRST of appraisal and reward. JAMA 2014;312:483–84

- Would modifications to the reward criteria currently used have important downstream benefits and help improve the rigor, quality and impact of biomedical research reducing waste and increasing research value
  - reduce author inflation
  - reduce reporting biases
  - increase data sharing
  - increase replication research

### What criteria are used to reward members of faculties of medicine?

- Very little evidence to answer this question
- A well-known research (i.e., excluding teaching and service) criterion is the quantitative 'publish or perish phenomenon'
- In many institutions, faculty members are rewarded with – promotion and tenure - for publishing as much as possible and in the highest possible impact factor journals

### Methods – formal document analysis

- We will use the QS World University Rankings (a partnership with Elsevier Science) to select Dutch, English, French, and Greek language promotion and tenure documents from 100 faculties of medicine
- The QS rankings are based on academic reputation, employer reputation, and research citations per paper, and broken down by world region. We will use stratified sampling by world region to select faculties (by random numbers generator).

### Methods – formal document analysis

- A data extraction form will be developed to capture detailed information from each included document. One reviewer, with a second reviewer conducting 100% data verification for accuracy, will extract the data
- Consensus or a third member of the research team will resolve disagreements between reviewers

### Methods – formal document analysis

- We will create a comprehensive list of statements and descriptions related to promotion and tenure criteria, including sources of data
- We will thematically categorize the lists and descriptions. We will group similar criteria across the included documents
- This process will facilitate themes emerging from the data, based on consensus from the two-team members synthesizing the data and a third team member in case of disagreements
- A training exercise in document analysis will be completed prior to formal synthesizing of the data

### **Implicitness**

 While some promotion and tenure documents are precisely detailed others are more vague. This vagueness maybe purposeful as it provides promotion and tenures committees more latitude about implicit criteria (e.g., evaluating the importance of public versus private funding in a faculty member's portfolio) that can be discussed during committee meetings

### **Interviews**

- To document possible social response bias we will interview promotion and tenure committee members from 10-15% of the committees included in our sample (purposefully sampled; facilitating maximum response variation and saturation).
- The interviews will help identify potential differences between explicit (written documentation) and implicit (oral decisionmaking) reward criteria and metrics.

### **Outcome**

• The outcome of the document analysis will include a list of criteria and metrics currently used by promotion and tenures committees.

ARE THERE EMERGING EVIDENCE-BASED CRITERIA TO CONSIDER WHEN REWARDING MEMBERS OF FACULTIES OF MEDICINE?

### **Annals of Internal Medicine**

### **EDITORIAL**

### Sharing Clinical Trial Data: A Proposal From the International Committee of Medical Journal Editors

The International Committee of Medical Journal Editors (ICMJE) believes that there is an ethical obligation to responsibly share data generated by interventional clinical trials because participants have put themselves at risk. In a growing consensus, many funders around the world-foundations, government agencies, and industry-now mandate data sharing. Here we outline ICMJE's proposed requirements to help meet this obligation. We encourage feedback on the proposed requirements. Anyone can provide feedback at www.icmje.org by 18 April 2016.

The ICMJE defines a clinical trial as any research

The ICMJE defines a clinical trial as any research project that prospectively assigns people or a group of people to an intervention, with or without concurrent comparison or control groups, to study the cause-and-effect relationship between a health-related intervention and a health outcome. Further details may be

added an element to its registration platform to collect data-sharing plans. We encourage other trial registries to similarly incorporate mechanisms for the registration of data-sharing plans. Trialists who want to publish in ICMJE member journals (or nonmember journals that choose to follow these recommendations) should choose a registry that includes a data-sharing plan element as a specified registry item or allows for its entry as a free-text statement in a miscellaneous registry field. As a condition of consideration for publication in our member journals, authors will be required to include a description of the data-sharing plan in the submitted manuscript. Authors may choose to share the deidentified IPD underlying the results presented in the article under less restrictive, but not more restrictive, conditions than were indicated in the registered data-sharing plan.

Taichman DB, et al. Sharing clinical trial data: a proposal from the International Committee of Medical Journal Editors. CMAJ 2016; 188 (2): 91-2

### EDITORIAL

### The measure of research merit

ach year, \$1.4 trillion are invested in research by governments, foundations, and corporations. Hundreds if not thousands of high-profile prizes and medals are awarded to the best researchers, boosting their careers. Therefore, establishing a reliable predictor of future performance is a trillion-dollar matter. Last month, the Alexander von Humboldt Foundation convened an international assembly of leaders in academia, research management, and policy to discuss "Beyond Bibliometrics: Identifying the Best." Current assessment is largely based on counting publications, counting citations, taking note of the impact factor of the iournals where research-

journals, suggest that downloads of online papers poorly track eventual citations. This could indicate that some papers were found unworthy of being cited, or that some papers were found unworthy of being cited, or that some papers were influential, but just not cited because the author did not feel that the concept required a citation. Adding more context in referencing could reduce some ambiguity and encourage more appropriate referencing, but such proposals have not gained traction. Counting citations is also quantitatively inconsistent. If an author publishes a better method or an improved estimate for a physical parameter, other researchers who use those improvements are obligated to cite that paper. On the other hand, if a researcher pub-



Marcia McNutt Editor-in-Chief Science Journals

McNutt M. The measure of research merit. Science 2014; 346:1155

 "....[A]ssess young scientists according to their willingness to take risks, ability to work as part of a diverse team, creativity in complex problem-solving, and work ethic?"



## Is data sharing associated with added value?

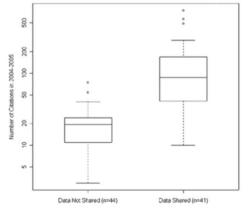


Figure 1. Distribution of 2004–2005 citation counts of 85 trials by data availability. The 41 clinical trial publications which publicly shared their microarray data received more citations, in general, than the 44 publications which did not share their microarray data. In this plot of the distribution of citation counts received by each publication, the extent of the box encompasses the interquartile range of the citation counts, whiskers extend to 1.5 times the interquartile range, and lines within the boxes represent medians.

Piwowar HA, Day RS, Fridsma DB (2007) Sharing Detailed Research Data Is Associated with Increased Citation Rate. PLoS ONE 2(3): e308.

### **Scoping reviews**

 "A scoping review or scoping study is a form of knowledge synthesis that addresses an exploratory research question aimed at mapping key concepts, types of evidence, and gaps in research related to a defined area or field by systematically searching, selecting, and synthesizing existing knowledge."

Colquhoun H, let al. Scoping reviews: time for clarity in definition, methods, and reporting. J Clin Epidemiol. 2014 Dec;67(12):1291-4; Arksey H, O'Malley L. Scoping studies: towards a methodological framework. Int J Social Res Methodol 2005; 8(1), 19-32

### **Outcomes**

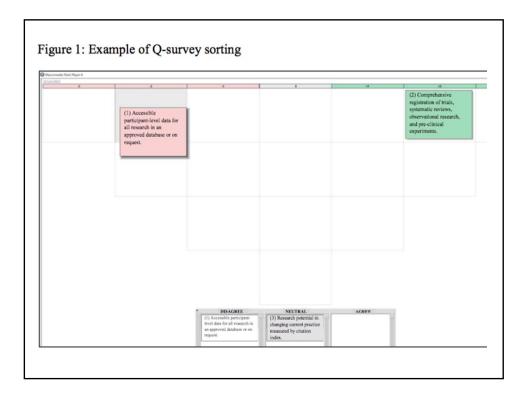
 Categories and lists of emerging/proposed evidence-based criteria and metrics for consideration by promotion and tenure committees

HOW DO PROMOTION AND TENURE COMMITTEES AND OTHER STAKEHOLDERS VALUE REWARD CRITERIA AND METRICS

### **Q Sort methodology**

 In a Q methods study participants are shown a sample of statements on a topic and asked to rank-order them from their point of view according to some preference, judgment or feeling about each statement.

Brown SR. Q methodology and qualitative research. Qual Health Res 1996;6(4):561-567



### **Q** Sort methodology

- Q methods enable the systematic study of subjectivity, revealing participants' viewpoint, opinion, and understanding, by combining both qualitative and quantitative methods
- What makes Q methodology unique is the premise that it is possible to investigate the subjectivity of any situation in an objective, orderly, and scientific manner

### **Participants**

- We will invite several groups to participate in the Q-Sort survey:
  - members of promotion and tenure committees and deans from 100 faculties of medicine
  - a broad spectrum of academics (e.g., assistant to full professors), identified from the membership of the Cochrane Collaboration and METRICS,
  - major public research funders and charities

### **Outcomes**

 Rankings of traditional and emerging reward criteria by promotion and tenure committees and a broad spectrum of stakeholders.

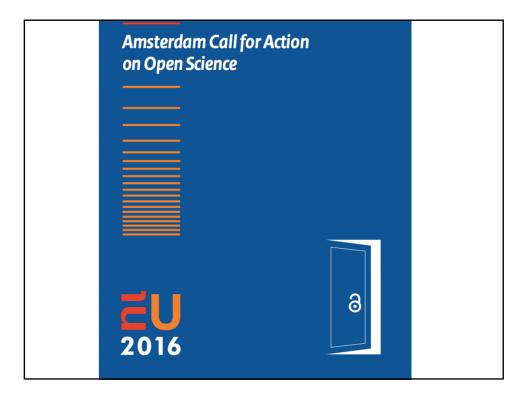
### **Knowledge Translation (implementation)**

- Two faculty of Medicine Deans will be our knowledge users
- We have access to the Science in Transition network and the METRICS Network
- The results will be of interest nationally and internationally to promotion committees, deans and a broad array of academics and funders
- Several end of grant KT strategies are planned
  - passive dissemination through journal publications
  - dynamic activities through educational sessions with our knowledge users and their affiliates

### **New model**

- Giving more credit for:
  - Reproducibility
  - More complete reporting of research
  - Sharing data
  - Depositing all research reports in a publically accessible repository
- Giving less credit for:
  - Volume of publications





- Should we put a limit on the number of publications as part of the promotion and tenure game?
- Should we explicitly recognize other indicators?

### Peer review

- Should we give lots more real credit for peer reviewing?
- F1000 peer reviews are citable

